

What is the SHAPE of data? → NON TRIVIAL

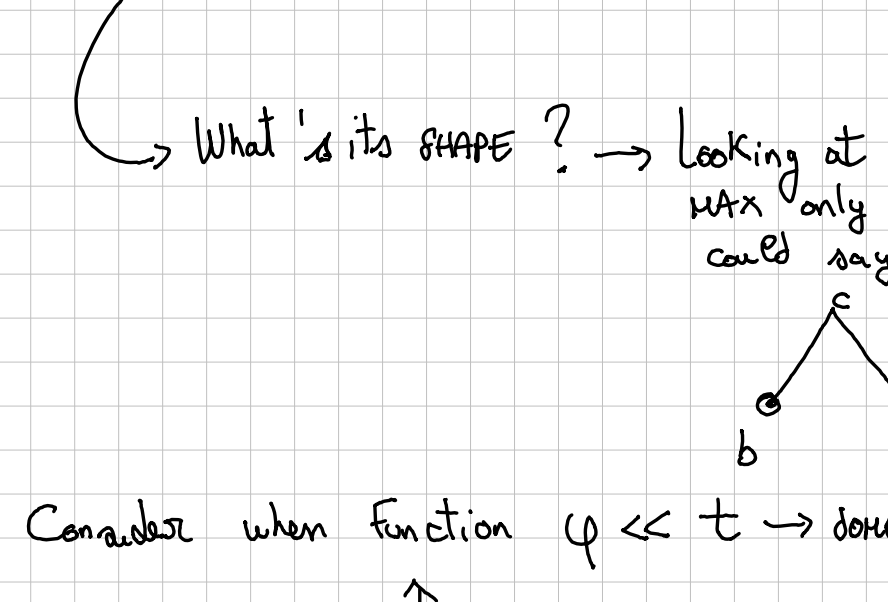
- 1- Geometric simplicial Complexes
- 2- Homology Groups → Algebraic tools that describe holes in a simplicial complex
- 3- Natural pseudodistance → Comparing data under the action of a group



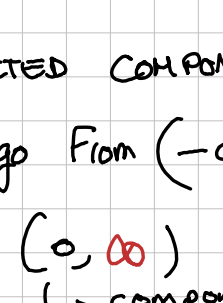
→ How much DIFFERENT?
 Can I translate the TS?
 Can I not translate it?

4- Persistence Homology & Persistence Diagrams

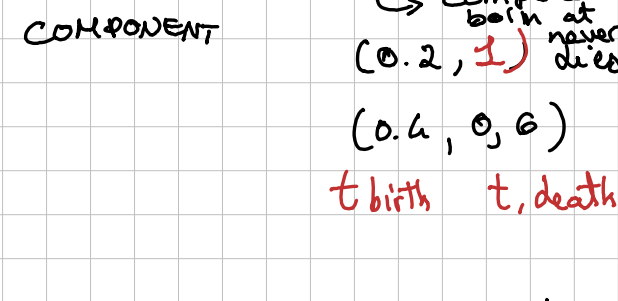
Imagine discrete Function $\varphi: [0, 1] \rightarrow [0, 1]$



What's its SHAPE? → Looking at MIN & MAX only we could say



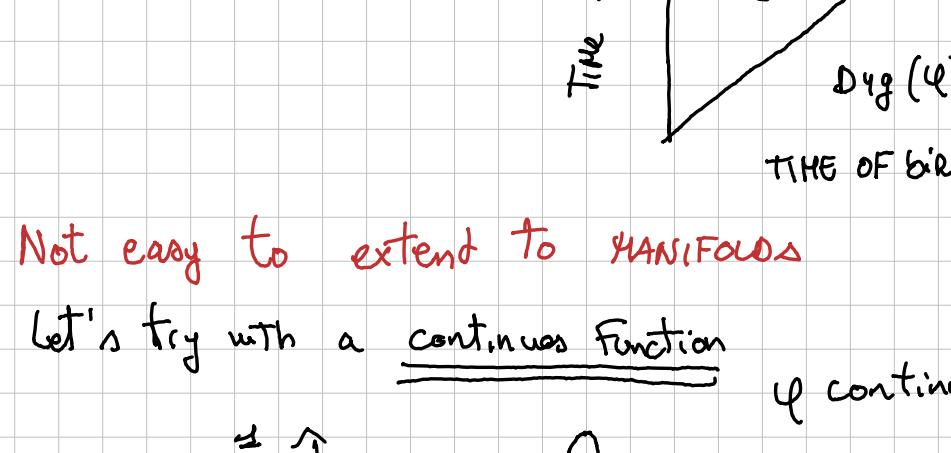
Consider when function $\varphi \leq t \rightarrow$ done THRESHOLD



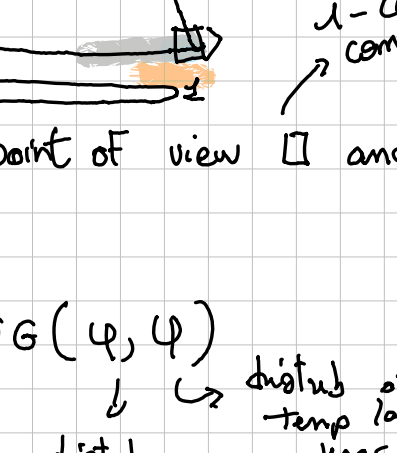
When $\varphi \leq s \Rightarrow$ 3 connected components
 What if our threshold can go from $(-\infty, +\infty)$?

$t = 0 \Rightarrow$ 1 component $(0, 0)$
 $t = 0.2 \Rightarrow$ 2 component $(0.2, 1)$
 $\vdots$
 $(0.4, 0, 0)$
 t birth t, death

The younger component born at 0.4 dies at 0.6

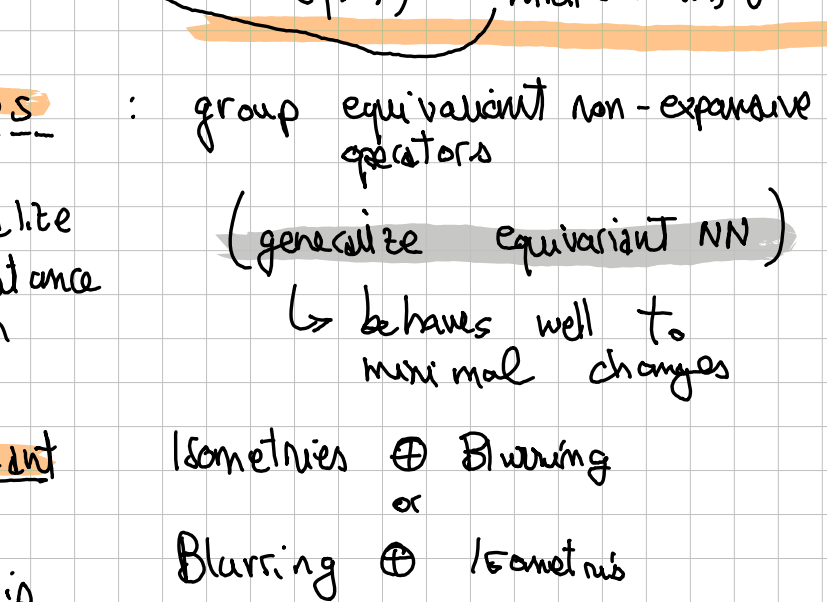


Obviously we keep only more important info



Not easy to extend to MANIFOLDS

Let's try with a continuous function



From the homological point of view $\square$ and $\hookrightarrow$ are the same

Ex. Natural PSEUDODISTANCE $d_G(\varphi, \psi)$

$d_G(\varphi, \psi) \geq d_{\text{MATCH}}(DGM(\varphi), DGM(\psi))$

We now that: STABILITY THEOREM

$O(n \log n)$

GENEOS: group equivariant non-expansive operators

they generalize the persistence diagram (generalize equivariant NN)

Behaves well to minimal changes

Equivariant Isometries $\oplus$ Blurring

Blurring is equivariant (doesn't change distance of data)

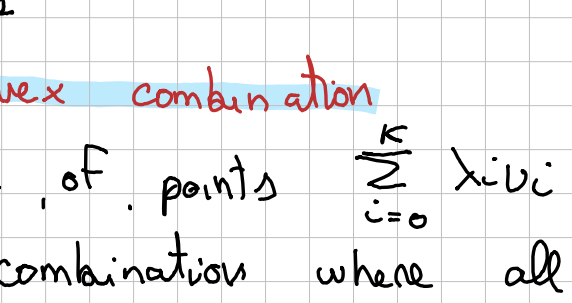
Geometric simplicial Complexes

Def: Affine combinations of vectors $u_0, \dots, u_k$ with coefficients $\lambda_0, \dots, \lambda_k$

$\mathbb{R}^d$ Euclidean

$$\sum_{i=0}^k \lambda_i u_i \quad \text{under the assumption } \sum_{i=0}^k \lambda_i = 1$$

So $L_0 u_0 + L_1 u_1 = L_0 + L_1 = 1$
 $L_0 u_0 + (1 - L_0) u_1$ with $L_0 \in \mathbb{R}$



Now $u_0 - u_2$ and $u_1 - u_2$ are linearly independent

$\lambda_0 u_0 + \lambda_1 u_1 + \lambda_2 u_2$
 $\lambda_0 + \lambda_1 + \lambda_2 = 1$

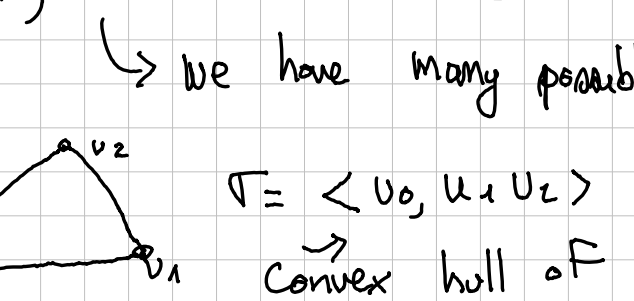
Plane with 3 free points.

The set of any affine combination of a point $u_0, \dots, u_k \in \mathbb{R}^d$ is called the AFFINE HULL of $u_0, \dots, u_k$

$\langle u_0, \dots, u_k \rangle$

Not always points are in general positions!!

EXCLUDE THIS

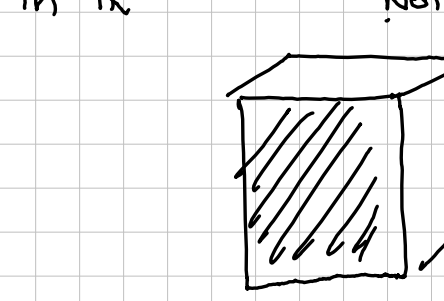


We assume that our points $u_0, \dots, u_k$ are AFFINELY INDEPENDENT

$$\left. \begin{aligned} \sum \lambda_i u_i &= \sum p_i u_i \\ \sum \lambda_i &= 1 \quad \sum p_i = 1 \end{aligned} \right\} \Rightarrow \forall i \quad \lambda_i = p_i$$

Def: Affine Independence (intuitive definition, not formal)

The points $u_0, \dots, u_k$ are affinely independent iff and only iff $u_1 - u_0, u_2 - u_0, \dots, u_k - u_0$ are linearly independent



Seems to depend on the ORDER of points
 Turns out it doesn't

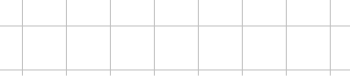
Def: Convex set

We are in a vector space $\in \mathbb{R}^d$

A subset $S \subseteq \mathbb{R}^d$ is convex

iff $\forall x_1, x_2 \in S, \forall \lambda \in [0, 1]$

we have that $(1 - \lambda)x_1 + \lambda x_2 \in S$



Def: Compact set

In $\mathbb{R}^d$, a compact set are sets verifying:

- They are closed (The boundary belongs to the set)
- They are bounded

PROPERTIES

When S is compact set, if $\{U_i\}_{i \in I}$ is an infinite family of open subsets of $\mathbb{R}^n$ t.c.

$S \subseteq \bigcup_{i \in I} U_i \Rightarrow \exists U_{i_1}, \dots, U_{i_k} \text{ finite, t.c.}$

$S \subseteq \bigcup_{i=1}^k U_i$

Def: Convex combination

A C. c. of points $\sum_{i=0}^k \lambda_i u_i$ is a CONVEX combination where all coefficients λ_i are NON NEGATIVE

AFFINE $\lambda_0 u_0 + (1 - \lambda_0) u_1$
 $u_1 + \lambda_0 (u_0 - u_1)$
 $0 \leq \lambda_0 \leq 1$

Now, $\lambda_0 u_0 + \lambda_1 u_1 + \lambda_2 u_2$
 $\lambda_0, \lambda_1, \lambda_2 \geq 0$
 $\sum \lambda_i = 1$

Def: k-simplex

Convex hull of k-points that are affinely independent

Every set is a 0-simplex, in dim = -1

Def: simplicial Complex

A collection of K-simplices in $\mathbb{R}^d$, verifying:

1) $\sigma \in K, \tau \leq \sigma \Rightarrow \tau \in K$

↳ we have many possible

$\sigma = \langle u_0, u_1, u_2 \rangle$
 Convex hull of $\tau = \langle u_0, u_1 \rangle$ convex hull but also FACE of σ

2) $\sigma_1, \sigma_2 \in K \Rightarrow \sigma_1 \cap \sigma_2 \in K$

↳ SIMPLEX & A FACE of σ_1 & σ_2

Ex. In $\mathbb{R}^2$

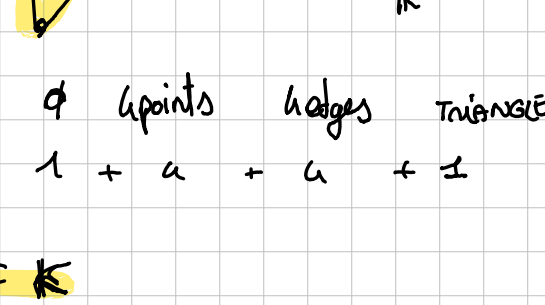
Ex. In $\mathbb{R}^2$

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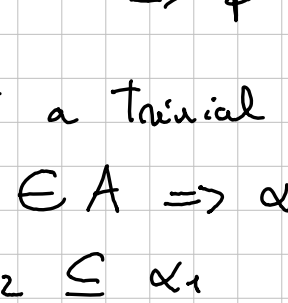
Ex. In $\mathbb{R}^2$

Def: Body of a Geometric Simplicial Complex

$$|K| = \bigcup_{\sigma \in K} \sigma \quad (\text{body of } K)$$

 $\bigcap_{\sigma \in K} \sigma \rightarrow \text{compact}$
The body is $\square$ 
 d points $1 + 1 + 1 + 1$
Def: Subcomplex L of K Complex L s.t. $\sigma \in L \Rightarrow \sigma \in K$

$$(L \subseteq K)$$

Def: j -skeleton of K The collection of all simplices of K having $\dim \leq j$  d -skeleton $j=1$
 ϕ , the points, the edges
 (-1) (0) (1)

Def: Abstract Simplicial Complexes

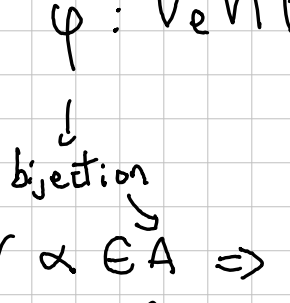
$$\{\emptyset\} \neq A \subseteq \{\alpha_i\}_{i \in I} \text{ (Finite) t.c.}$$

 $\forall \alpha_i \subseteq \mathbb{R}^d$ verifying:
 $\rightarrow \beta \subseteq \alpha \in A \Rightarrow \beta \in A \rightarrow$ derives from finiteness of A & α_i

There exist a trivial property (NOT REQUIRED):

- $\alpha_1, \alpha_2 \in A \Rightarrow \alpha_1, \alpha_2$ is Finite
- $\alpha_1 \cap \alpha_2 \subseteq \alpha_1$
- $\alpha_1 \cap \alpha_2 \subseteq \alpha_2$ (like geometric complex)

What is an Abstract simplex?



Geometric Complex

Abstract Complex

$$\{\emptyset, \{Alice\}, \{Paul\}, \{Bob\}, \{Alice, Paul\}, \{Alice, Bob\}, \{Paul, Bob\}\}$$

 $\rightarrow$ no distance!

Is there a connection between Abstract & Geometric?

Def: Subcomplex abstract L Subcollection L_α of K_α t.c.

$$\sigma \in L_\alpha \Rightarrow \sigma \in K_\alpha$$

Def: Isomorphism between A & B (Abstract)

$$\varphi: \text{Vert}(A) \rightarrow \text{Vert}(B)$$

bijection

$$\left(\begin{array}{l} \alpha \in A \Rightarrow \varphi(\alpha) \in B \\ \beta \in B \Rightarrow \varphi^{-1}(\beta) \in A \end{array} \right)$$

ex. $B' = \{\emptyset, \{Alice\}, \{Bob\}, \dots\}$ $B = \{\emptyset, \{Alice\}, \{Bob\}, \dots\}$ $\varphi: B \rightarrow B'$ trivial isomorphismAdding $\{Alice, Bob, Paul\}$ wouldn't give an isomorphism anymore!

Geometric & Abstract simplicial complexes

Let K be a g.s.c., we get $Ab(K)$ called SCHEME of K .

$$Ab(K) = \{\{\alpha_1, \dots, \alpha_n\} \text{ s.t. } \langle \alpha_1, \dots, \alpha_n \rangle \in K\}$$



$$Ab(K) = \{\emptyset, \{A\}, \{B\},$$

$$\{C\}, \{D\}, \{A, B\},$$

$$\dots, \{A, B, C\}\}$$

Can we go from $Ab(K) \rightarrow K$?

Geometric Realization Theorem

What is a REALIZATION of a complex?

 $\hookrightarrow$ The g.s.c. K realizes the abstract simplicial complex B

$$B \cong Ab(K)$$

 $\hookrightarrow$ ISOMORPHIC

Let's try!

$$B = \{\emptyset, \{Alice\}, \{Paul\}, \{Bob\}, \{Alice, Paul\},$$

$$\{Alice, Bob\}, \{Bob, Paul\}\}$$

$$K \dim = d \text{ (max simplex dim)}$$

$$Ab(K) = \{\emptyset, \{(0,1)\}, \{(1,0)\}, \{(0,0)\},$$

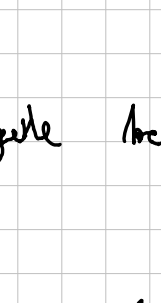
$$\{(0,1), (0,0)\}, \dots\}$$

 $B \cong Ab(K) \rightarrow$ you can assign to each element of B ONE of $Ab(K)$ (and the opposite)

Thm: Geometric Realization Theorem

Let B be an a.s.c. of dim d . B can be realized as a g.s.c. $K \in \mathbb{R}^{2d+1}$

$$d=1 \quad \mathbb{R}^{2d+1} = \mathbb{R}^3$$

 $[K_5]$ & $K_{3,3}$ don't follow the THEOREMAdjacent Relation $\rightarrow$ non simplex (there are intersections) $[K_{3,3}]$ 

No isomorphism! intersections

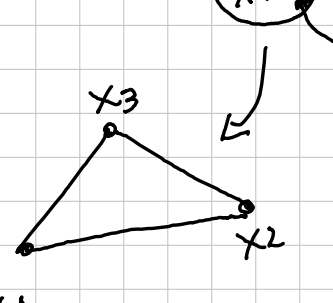
Def: Nerve of a collection of sets

Assume to have a FINITE collection F of open sets:

$$F = \{\alpha_i\}_{i \in I} \quad (\alpha_i \subseteq Y)$$

We call NERVE $Nrv(F)$ the collection of all subsets of F having NON EMPTY INTERSECTION

$$\{\alpha_i\}_{i \in I} \text{ t.c. } \bigcap \alpha_i \neq \emptyset$$



$$F = \{\alpha_1, \alpha_2, \alpha_3\}$$

$$Nrv(F) = \{\{\alpha_1, \alpha_2\}, \{\alpha_1, \alpha_3\},$$

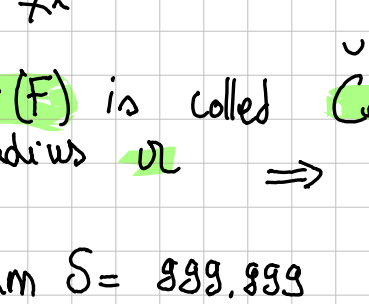
$$\{\alpha_2, \alpha_3\}, \{\alpha_1, \alpha_2, \alpha_3\}\}$$

$$\{\alpha_1\}, \{\alpha_2\}, \{\alpha_3\}$$

$$\emptyset\}$$

$$\hookrightarrow \bigcap \emptyset \neq \emptyset$$

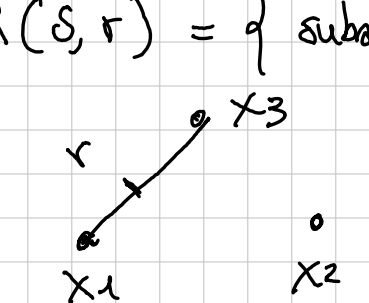
$$(\text{if you have a set})$$



EX. Imagine being able to SCAN some object

DISCRETEZ π

$$\{(x_1, x_2, x_3), (y_1, y_2, y_3), \dots\} \text{ POINT CLOUD in } \mathbb{R}^3$$

POINT CLOUDS $\rightarrow$ SIMPLICIAL COMPLEXESLet assume $S \subseteq \mathbb{R}^d$, choose $r \geq 0$, set $F = \{B(x, r) : x \in S\}$ 

$$Nrv(F) = \{\emptyset, \{B(x_1, r)\},$$

$$B(x_2, r), B(x_3, r),$$

$$\{B(x_1, r), B(x_2, r)\},$$

$$\{B(x_2, r), B(x_3, r)\},$$

$$\{B(x_1, r), B(x_3, r)\},$$

$$\{B(x_1, r), B(x_2, r), B(x_3, r)\}\}$$

 $Nrv(F)$ is called Cech complex of S with radius r

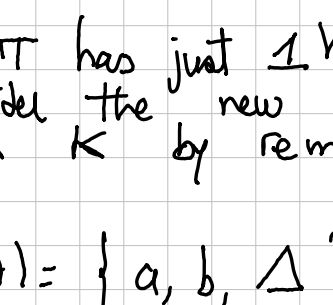
$$\Rightarrow \check{Cech}(S, r)$$

dim $S = 888.888$ with POINT CLOUD of 100.000.000 points

Vietoris - Rips

$$S = \{x_1, \dots, x_n\} \quad r \geq 0$$

$$VR(S, r) = \{\text{subsets of } S \text{ having diameter } \leq 2r\}$$

increasing r i get higher dimensions

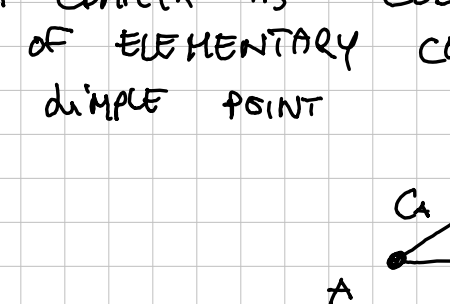
Theorem: Vietoris - Rips Lemma

$$\check{Cech}(S, r) \subseteq \text{Vietoris-Rips}(S, r) \subseteq \check{Cech}(S, 2r)$$

considers the center of the balls

$$\bigcirc \bigcirc \bigcirc \rightarrow \bigcirc$$

Collapsibility

 $\pi \leq \sigma$

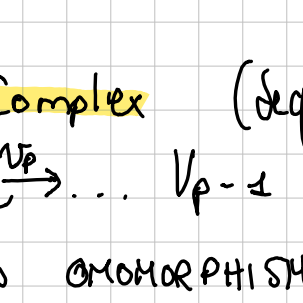
It has a coface

if π has just 1 coface σ , we can consider the new complex L obtained from K by removing π & σ

$$Gf(A) = \{a, b, \Delta\}$$

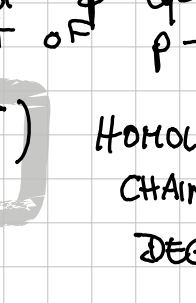
$$Gf(\pi) = \{\sigma\}$$

ELEMENTARY COLLAPSE



A complex is COLLAPSIBLE iff a sequence of ELEMENTARY COLLAPSES bring to a simple point

NOT collapsible



$$\mathbb{Z}_2 = \{0, 1\}$$

$$(\mathbb{Z}_2, +, \cdot)$$

$$+ \begin{array}{|c|c|c|} \hline 0 & 0 & 1 \\ \hline 0 & 0 & 1 \\ \hline 1 & 1 & 0 \\ \hline \end{array}$$

$$\cdot \begin{array}{|c|c|c|} \hline 0 & 0 & 0 \\ \hline 0 & 0 & 0 \\ \hline 1 & 0 & 1 \\ \hline \end{array}$$

Def: Quotient Space

Let V be a VECTOR SPACE. Assume that W is a vector subspace of V $W \subseteq V$

$$\frac{V}{W}$$

Def: Chain Complex (sequence of vector spaces)

$$\leftarrow V_{p+1} \dots V_p \xrightarrow{d_p} \dots V_{p-1} \rightarrow$$

$$d_p \text{ is HOMOMORPHISM } d_p: V_p \rightarrow V_{p-1}$$

Also, it's true that:

$$d_p \circ d_{p+1} = 0$$

Def: Kernel, Image, Homology of a Chain Complex

Ker d_p = set of p -cyclesIm d_{p+1} = set of p -boundaries

$$\frac{\text{Ker } d_p}{\text{Im } d_{p+1}} = H_p(\mathcal{C})$$

HOMOLOGY GROUP OF

CHAIN COMPLEX in

DEGREE p

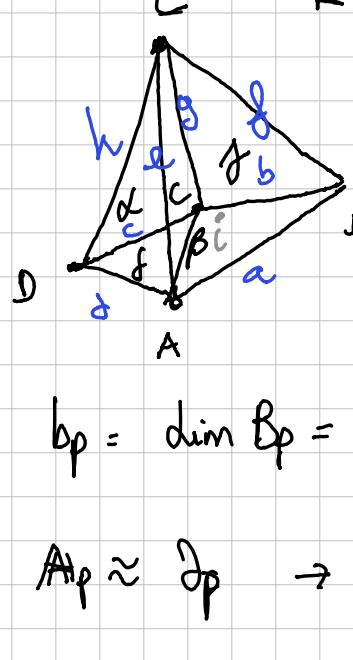
$$\text{Im } d_{p+1} \subseteq \text{Ker } d_p \Rightarrow d_{p+1}(u) \in \text{Ker } d_p$$

$$d_p(d_{p+1}(u)) = 0$$

Def: FORMAL LINEAR COMBINATIONS

$$X = \{\alpha_i\}_{i \in I}, \text{ take } \alpha_i \in \mathbb{Z}$$

$$\sum_{i \in \{0, \dots, n\}} \alpha_i x_i \rightarrow \text{Formal way to select some } x_i$$



$$\beta_p = n_p - b_p - b_{p-1}$$

$$n_p = 13 \quad (n_2 = 4, n_1 = 9, n_0 = 5)$$

$$b_p = \dim B_p = \dim \text{Im } \partial_{p+1}$$

$$A_p \approx \partial_p \rightarrow b_p = \text{rank}(A_{p+1})$$

$$\begin{matrix} b_1 = 1 \\ b_0 = 4 \\ b_{-1} = 0 \\ b_{-2} = 0 \\ \vdots = 0 \end{matrix}$$

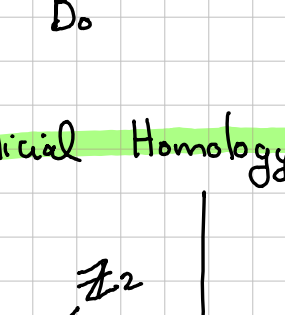
$$\beta_2 \approx n_2 - b_2 - b_1 = 4 - 0 - 1 = 3 \Rightarrow \text{TRIVIAL SPACE}$$

$$\beta_1 \approx n_1 - b_1 - b_0 = 9 - 1 - 4 = 4 \Rightarrow H_1(K) \in \mathbb{Z}_2$$

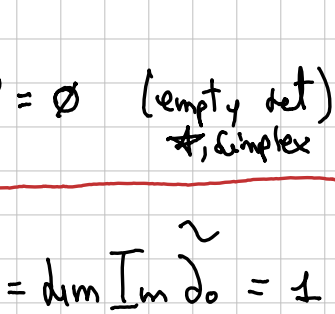
$$\beta_0 \approx n_0 - b_0 - b_{-1} = 5 - 4 - 0 = 1$$

$$\beta_{-1} \approx n_{-1} - b_{-1} - b_{-2} = 1 - 0 - 0 = 1$$

$$\beta_3 \approx n_3 - b_3 - b_2 = 0 - 0 - 0 = 0$$



NOTE: a 0-dim hole it's just a DISCONNECTION



$$\partial(A+B) = \partial A + \partial B$$

$$\partial \emptyset + \partial \emptyset = 2 \emptyset = \emptyset$$

A+B is a 0-cycle but NOT a 0-boundary

$$[A+B] \in \frac{\mathbb{Z}_2}{B_0}$$

class $\times \emptyset$

ONE HOLE ONLY
(Same class of equivalence)

Reduced simplicial Homology VS Classical dim. Homology

RSH

$$b_{-1} = 1 \Rightarrow \mathbb{Z}_2$$

$$\text{Here } \partial_0: C_0 \rightarrow \tilde{C}_{-1}$$

with: $\partial_0 P = \emptyset$ (empty set) $\neq$ complex

$$\tilde{b}_{-1} = \dim \text{Im } \partial_0 = 1$$

CSH

$$b_{-1} = \emptyset$$

$$\partial_0: C_0 \rightarrow \tilde{C}_{-1}$$

with: $\partial_0 P = \emptyset$ (null chain)

$$b_{-1} = \dim \text{Im } \partial_0 = \emptyset$$

$\mathbb{Z}^2$: space generated by the empty set

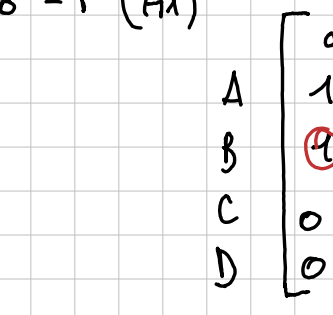
$$\tilde{\beta}_0 = n_0 - b_0 - \tilde{b}_{-1} < \beta_0 = n_0 - b_0 - b_{-1}$$

$$\beta_0 - \tilde{\beta}_0 = 1$$

Counts # of disconnection

Counts # of connected components (disconnections) + 1

EX.



$$n_2 = 4$$

$$n_1 = 6$$

$$n_0 = 4$$

$$b_2$$

$$b_1$$

$$b_0$$

$b_2 = r(A_3)$ but A_3 is empty

$b_2 \subseteq \dim B_2 \approx \dim \text{Im } \partial_3$ but $G = \emptyset \Rightarrow \text{Im } \partial_3 = \emptyset$

$$b_1 = r(A_2)$$

$$A_2 = \begin{bmatrix} \alpha & \beta & \gamma & \delta \\ a & 1 & 1 & 1 \\ b & 1 & 1 & 1 \\ c & 1 & 1 & 1 \\ d & 1 & 1 & 1 \\ e & 1 & 1 & 1 \\ f & 1 & 1 & 1 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix} = r(A_2) = 3$$

$(\alpha + \beta + \gamma + \delta = \emptyset)$ is a cycle in b_1

$$b_0 = r(A_1)$$

$$A_1 = \begin{bmatrix} a & b & c & d & e & f \\ A & 1 & 0 & 1 & 1 & 0 \\ B & 0 & 1 & 0 & 0 & 0 \\ C & 0 & 1 & 0 & 1 & 1 \\ D & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \Rightarrow r(A_1) = 3$$

actually reduce 1!

Now:

$$- \beta_2 = n_2 - b_2 - b_1 = 4 - 0 - 3 = 1$$

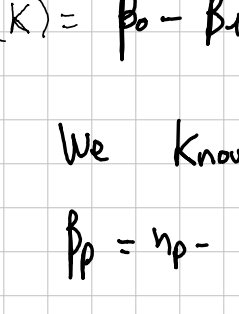
$$- \beta_1 = n_1 - b_1 - b_0 = 6 - 3 - 3 = 0$$

$$- \beta_0 = n_0 - b_0 - b_{-1} = 4 - 3 - 1 = 0$$

$H_2(K) \in \mathbb{Z}_2$

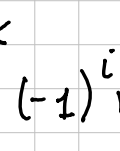
We have 1 hole that's THE CAVITY inside the prism.

Theorem: If L simplicial complex is obtained from K by applying an ELEMENTARY COLLAPSE, then $H_p(L) = H_p(K) \quad \forall p \in \mathbb{Z}$



STEP 1: Remove σ & τ

STEP 2: Repeat $\forall$ edge



STEP 3: Remove A & B and coface



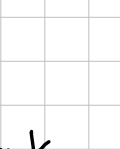
$$H_p(L) = H_p(K)$$

Theorem: Euler-Poincaré Theorem

We call EULER CHARACTERISTIC:

$$\chi(K) = \sum_{i=0}^{\dim K} (-1)^i n_i$$

EX.



$$\chi(K) = 4 \text{ VERTICES} - 6 + 4 = 2$$

$$n_0 \quad n_1 \quad n_2$$

The Theorem states that:

$$\chi(K) = \sum_{i=0}^{\dim K} (-1)^i n_i = \sum_{i=0}^{\dim K} (-1)^i \beta_i$$

WE USE CLASSICAL SIMPLICIAL HOMOLOGY

$$\chi(K) = \beta_0 - \beta_1 + \beta_2 = 1 - 0 + 1 = 2$$

PROOF. We know that

$$\beta_p = n_p - b_p - b_{p-1}$$

$$\sum_{i=0}^{\dim K} (-1)^i \beta_i = \sum_{i=0}^{\dim K} (-1)^i n_i - \sum_{i=0}^{\dim K} (-1)^i b_i$$

$$- \sum_{i=0}^{\dim K} (-1)^i b_{i-1}$$

Now $j \rightarrow i-1$

$$\Rightarrow \sum_{j=-1}^{\dim K-1} (-1)^{j+1} b_j = - \sum_{i=-1}^{\dim K-1} (-1)^i b_i$$

$$\dots = \sum_{i=0}^{\dim K} (-1)^i n_i - \sum_{i=0}^{\dim K-1} (-1)^i b_i - (-1)^{\dim K} b_{\dim K}$$

$$+ \sum_{i=0}^{\dim K-1} (-1)^i b_i + (-1)^{-1} b_{-1}$$

0 in CLASSICAL HOMOLOGY

$$= \sum_{i=0}^{\dim K} (-1)^i n_i$$

Def. Group $(G, *)$

Set of elements that follow some properties:

1) $e * g = g * e = g$ $g, e \in G$ e identity

2) $(g_1 * g_2) * g_3 = (g_2 * g_3) * g_1 \in G$

3) $\forall g_i \in G, \exists g_i^{-1}$ s.t. $g * g^{-1} = g^{-1} * g = e$

ABELIAN GROUP $\rightarrow$ order of operation doesn't matter

EX. $(G, \circ) \rightarrow$ isometries on the plane

Def. Pseudometric

It's a metric without the property:

$$d(x_1, x_2) = 0 \Rightarrow x_1 = x_2$$

Def. Group Action $(G, \circ)$

Take a set X , $x \in X$, $g \in G$

$$g * x := g(x)$$

(in R)

The Action is a MAP (this is a LEFT-GROUP ACTION)

$$\Phi: G \times X \rightarrow X$$

with property:

(HOMOGENEITY)

1) $e * g = g$

2) $g_2 * (g_1 * x) = (g_1 * g_2) * x$

GENES

Point cloud

FUNCTION

GRAPH

$$\{g_i * x\}$$

ORBIT OF A GROUP

$\rightarrow$ FUNCTION & SPACE

Let us take a set of function φ defined from a fixed domain X to $[0, 1]$ (metric space is enough)

$\rightarrow$ ADMISSIBLE & NOT DATA

$\rightarrow$ Choose a Group G acting on φ by RIGHT COMPOSITION

$$\varphi \mapsto \varphi \circ g$$

Formalize

Consider a g.s.c. K and its set of vertices $\mathcal{V} \subseteq K$

Now consider a set of functions Φ'

$$\Phi': \mathcal{V} \rightarrow \mathbb{R}$$

if $\varphi' \in \Phi'$ ($\varphi': \mathcal{V} \rightarrow \mathbb{R}$)

$$\sigma = \langle u_0, \dots, u_k \rangle \quad \varphi(\sigma) = \max(\varphi'(u_0), \dots, \varphi'(u_k))$$

$$\text{So } \varphi(\sigma) = 10$$

$$\varphi(\sigma) = 10$$

we extended the value of the max $\varphi'(u_i)$

We obtain Φ by extending Φ'

EX.

(We need a group acting on this functions)

Actually we need ISOMORPHISM acting on our g.s.c.

$$K \mapsto L$$

We start taking a map f BIBIJECTIVE:

$$f: \text{Vert } K \rightarrow \text{Vert } L$$

EX.

$$\begin{matrix} A \mapsto G \\ B \mapsto F \\ C \mapsto H \\ D \mapsto E \end{matrix}$$

I extend f :

$$\text{Vert } K \rightarrow \text{Vert } L \quad \sigma = \langle u_0, \dots, u_k \rangle$$

$$K \rightarrow L$$

$$g(\sigma) = \langle g(u_0), \dots, g(u_k) \rangle$$

Since bijection we go from simplex to simplex

Let us now consider the set of all isomorphism

$$g: K \rightarrow K$$

s.t. $\varphi \in \Phi \rightarrow \varphi \circ g \in \Phi \rightarrow \varphi \circ g^{-1} \in \Phi$ (preserves Φ)

We call this set ISO $\Phi(K)$

Lec 5 - TDA

17/01

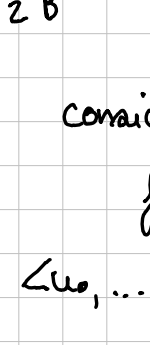
Pseudodistance - what do we need? ①, ②, ③ & ④

- Let's get a g.s.c K , and a function $\varphi: \text{Vert } K \rightarrow \mathbb{R}$

We extend this to:

$$\varphi: K \rightarrow \mathbb{R} \quad (\varphi \in \mathbb{F} \text{ MEASURES})$$

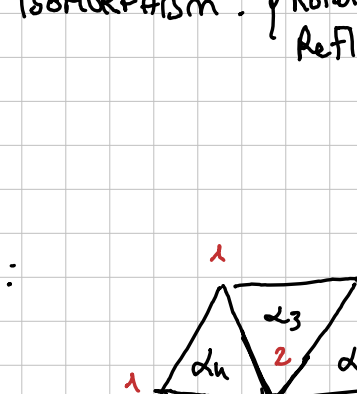
Using that $\varphi(K) = \max(\varphi'(u_0), \dots, \varphi'(u_K))$



$$\alpha = \max(\varphi'(A), \varphi'(B), \varphi'(C)) = 2$$

- Now consider the set of the isomorphism on K
 $f: K \rightarrow K$
 $\langle u_0, \dots, u_K \rangle \in K \Leftrightarrow \langle f(u_0), \dots, f(u_K) \rangle \in K$

- A group s.t. $\text{Iso}_{\mathbb{F}}(K) \subseteq \text{Iso}(K)$



The set of isomorphism: $\left. \begin{array}{l} \text{Rotation of } p_1, \dots, p_5, \\ \text{Reflection on symmetries} \end{array} \right\}$

$$(p_0 \rightarrow p_0)$$

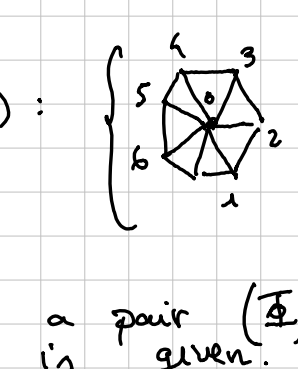
$$\downarrow$$

$$\text{Iso}(K)$$

If $\text{Iso}_{\mathbb{F}}(K)$:

$$\begin{cases} \varphi'(p_1) = 1 \\ \varphi'(p_2) = 1 \\ \varphi'(p_3) = 1 \\ \vdots \\ \varphi'(p_0) = 2 \end{cases}$$

$$\Rightarrow \text{Iso}_{\mathbb{F}}(K) = \text{Iso}(K)$$



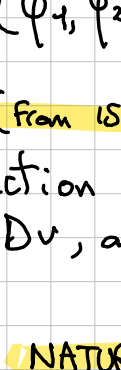
But if $\text{Iso}_{\mathbb{F}}(K)$: $\left\{ \begin{array}{l} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \end{array} \right\} \Rightarrow \text{Iso}_{\mathbb{F}} \neq \text{Iso}(K)$

- Assume that a pair $(\mathbb{F}, G)$ with $G \subseteq \text{Iso}_{\mathbb{F}}(K)$ is given.

We call this pair a **SIMPLICIAL PERCEPTION PAIR**

$$(\varphi \circ g \text{ \& } \varphi \circ g^{-1} \in \mathbb{F})$$

PSEUDOMETRIC $D_{\mathbb{F}}$ on $V = \text{Vert of } K$



Not using the metric in $\mathbb{R}^2$!!
Derives from isomorphism

$$1) D_{\mathbb{F}}(v_1, v_2) = \sup_{\varphi \in \mathbb{F}} |\varphi(v_1) - \varphi(v_2)| \quad \text{METRIC FOR VERTICES}$$

$$2) D_G(g_1, g_2) = \sup_{\varphi \in \mathbb{F}} \|\varphi \circ g_1 - \varphi \circ g_2\|_{\infty} \quad \text{METRICS FOR } g \in \text{Iso}_{\mathbb{F}}(K)$$

$$3) D_{\mathbb{F}}(\varphi_1, \varphi_2) = \|\varphi_1 - \varphi_2\|_{\infty} = \max_{v \in V} |\varphi_1(v) - \varphi_2(v)| \quad \text{METRICS FOR SIGNAL SPACE}$$

TRIVIAL (from isometry)

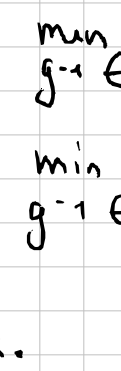
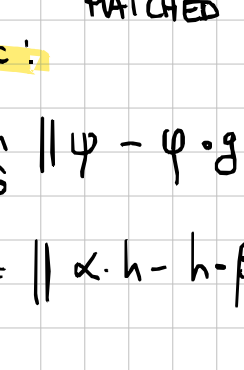
The action of any $G \subseteq \text{Iso}_{\mathbb{F}}(K)$ preserves $D_{\mathbb{F}}$, $D_{\mathbb{F}}$, and D_G .

DEF: NATURAL PSEUDODISTANCE

φ, ψ functions

Don't compare φ, ψ , only, but them under the action of the group

$$\varphi, \psi: K \rightarrow \mathbb{R}$$

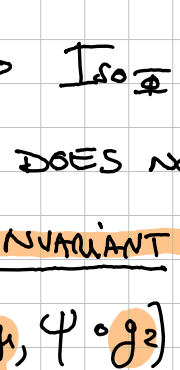
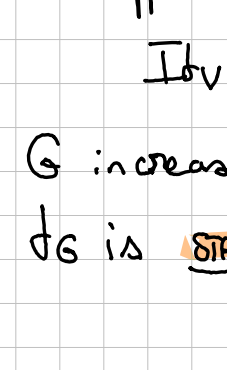


$$\mathbb{F} = \mathbb{R}^{\text{Vert } K}$$

$$\left. \begin{array}{l} f: K \rightarrow K \\ f(A) = B \\ \vdots \\ f(E) = E \end{array} \right\} \text{Iso}_{\mathbb{F}}(K)$$

The N.P.D is defined as:

$$d_G(\varphi, \psi) = \min_{g \in G} \|\varphi - \psi \circ g\|_{\infty}$$



MATCHED

PROOF IT'S SYMMETRIC:

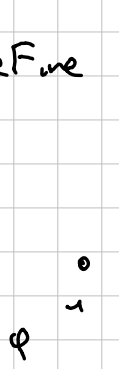
$$d_G(\varphi, \psi) = \min_{g \in G} \|\varphi - \psi \circ g\|_{\infty} = \min_{g^{-1} \in G} \|\varphi - \psi \circ g^{-1}\|_{\infty}$$

$$(\text{use } \|\alpha - \beta\|_{\infty} = \|\alpha \circ h - h \circ \beta\|_{\infty})$$

$$= \min_{g^{-1} \in G} \|\varphi \circ g - \psi \circ g^{-1} \circ g\|_{\infty}$$

$$= \min_{g^{-1} \in G} \|\varphi \circ g - \psi\|_{\infty} = \min_{g \in G} \|\varphi - \psi \circ g\|_{\infty}$$

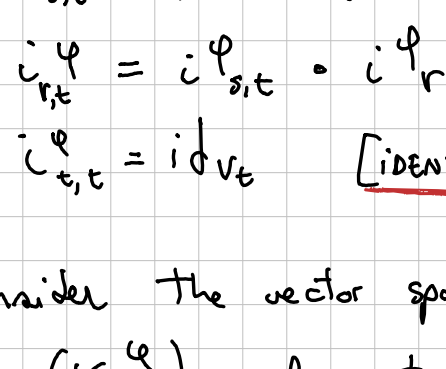
Ex.



$$G = \{A \rightarrow A, A \rightarrow B, B \rightarrow A, B \rightarrow B\} = \{1, \text{sym}\}$$

$$\|\varphi_1 - \varphi_2\|_{\infty} = \phi \rightarrow \varphi_1 \neq \varphi_2 \text{ on } \phi$$

Very useful with time series



Can be compared IF TRANSFORMED
 $d_T(\varphi, \psi)$

What happens when we increase the group?

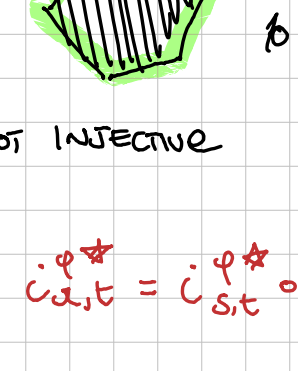
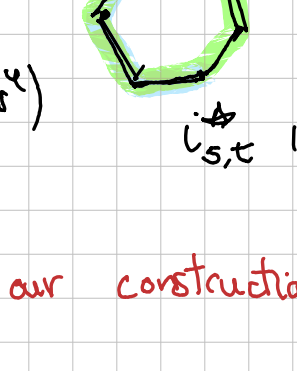
$$\text{Iso}_{\mathbb{F}} \rightarrow \text{Iso}_{\mathbb{F}}(K)$$

- G increases, d_G DOES NOT INCREASE

- d_G is **STRONGLY INVARIANT**

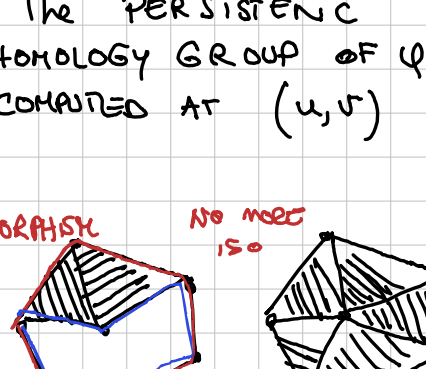
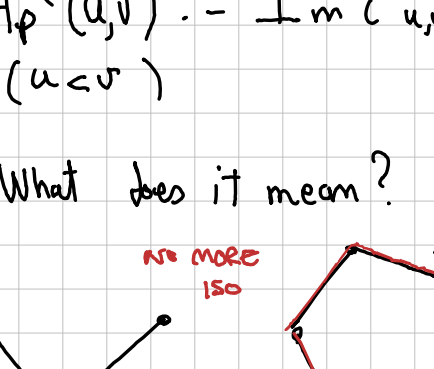
$$d_G(\varphi \circ g_1, \varphi \circ g_2) = d_G(\varphi, \psi)$$

EX.



Choose some level

OF ANALYSIS!!



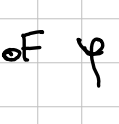
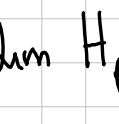
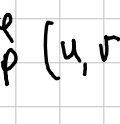
Noise

- Δ is 1 ABSTRACT PART WITH MULTIPLE MULTIPLICATION
- Line to ∞ means there is a connected component
- Point $\circ$ gives behaviour

Closer to diagonal $\Rightarrow$ MORE DETAILS

Let's consider a function $\varphi: K \rightarrow \mathbb{R}$ (extension of φ')

$$\text{we define } K_{\mu}^{\varphi} = \{v \in K : \varphi(v) \leq \mu\}$$



$$K_1^{\varphi}$$

$$K_2^{\varphi}$$

$$K_3^{\varphi}$$

Sequence of $K \rightarrow$ Sequence of $H(K)$

DEF: Persistence Module

Collection of vector spaces $\{V_t\}_{t \in \mathbb{R}}$ with

$$i_{s,t}^{\varphi}: V_s \rightarrow V_t \quad \text{with } s \leq t$$

s.t. 1) $i_{r,t}^{\varphi} = i_{s,t}^{\varphi} \circ i_{r,s}^{\varphi}$ [FUNCTIONALITY]

2) $i_{t,t}^{\varphi} = \text{id}_{V_t}$ [IDENTITY]

Now, consider the vector spaces:

$$H_p(K_{\mu}^{\varphi}) \quad \text{for } \mu \in \mathbb{R}$$

$$\downarrow$$

$$V_t$$

Let's consider, for $s \leq t$, the **INCLUSION**:

$$i_{s,t}: K_s^{\varphi} \rightarrow K_t^{\varphi} \quad (K_1 \subseteq K_2)$$

This map induces a linear map:

$$i_{s,t}^{\star}: H_p(K_s^{\varphi}) \rightarrow H_p(K_t^{\varphi})$$

ex. let's take a p -cycle $z \in C_p(K_s^{\varphi})$

cycle

$H_1(K_s^{\varphi})$

$i_{s,t}^{\star}$ IS NOT INJECTIVE

boundary

$$b \in H_1(K_t^{\varphi})$$

[In our construction $i_{s,t}^{\star} = i_{s,t}^{\varphi} \circ \varphi_{s,t}^{\star}$]

DEF: Persistence Homology Group

$H_p^{\varphi}(u, v) := \text{Im } i_{u,v}^{\star}$ is the PERSISTENCE HOMOLOGY GROUP OF φ , COMPUTED AT (u, v)

What does it mean?

NO MORE ISO

NO MORE ISO

ISOMORPHISM

NO MORE ISO

NO MORE ISO

NO MORE ISO

NO MORE ISO

NO MORE ISO

t_0

t_1

t_2

t_3

- $t_1 \Rightarrow$ Homology group changes, a cycle is born at t_1 ($[z] \in H_1(K_{t_1}^{\varphi})$)

$$H_1(K_{t_0}^{\varphi}) \xrightarrow{i_{t_0,t_1}^{\star}} H_1(K_{t_1}^{\varphi}) \quad \text{A BIRTH}$$

- $t_3 \Rightarrow H_1(K_{t_3}^{\varphi}) = \emptyset$ (BOUNDARY) A DEATH

DEF: Persistent Betti number Function (RANK INvariant)

$$\beta_p^{\varphi}(u, v) = \dim H_p^{\varphi}(u, v) \quad \text{of } \varphi \text{ in degree } p.$$

Lec 6 - TDA

Persistence module

$$H_p(K_u^\varphi) \xrightarrow{i_{u,v}^*} H_p(K_v^\varphi) \quad v \geq u$$

- with ① Identity
② Functionality

Persistent Homology Group

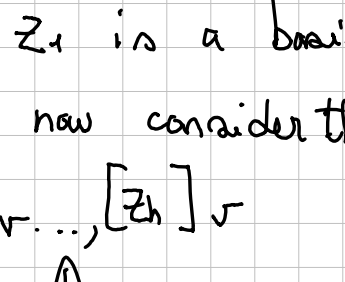
$$H_p^\varphi(u,v) := \text{Im } i_{u,v}^*$$

$$H_p(K_u^\varphi) \xrightarrow{i_{u,v}^*} H_p(K_v^\varphi)$$

↓
Im $i_{u,v}^*$

Let's take a set $\{z_1, \dots, z_h\}$ of p -cycles in $C_p(K_u^\varphi)$ s.t. $\{[z_1]_u, \dots, [z_h]_u\}$ is a basis in $H_p(K_u^\varphi)$

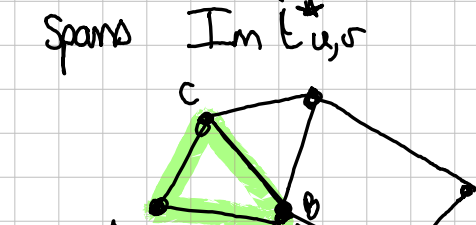
K_4 $z_1 = \{A, B, C\}$ in H_1



$[z_1]_u = [z_1]_v$ are equivalent (represent the same class) in $H_1(K_u^\varphi)$

So z_1 is a basis vector in H_1 (time step)

Let's now consider the equivalence classes $[z_1]_v, [z_1]_u, \dots, [z_h]_v$



$$H_1^\varphi(u,v) = \mathbb{Z}_2$$

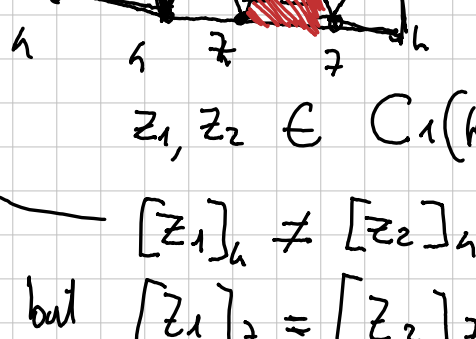
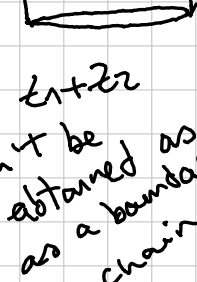
1-HOLE ALREADY PRESENT in K_4

$\{[z_1]_u, \dots, [z_h]_u\}$ is a basis for $H_p(K_u^\varphi)$

$\{[z_1]_v, \dots, [z_h]_v\}$ is a basis for $H_p(K_v^\varphi)$

↳ Holes already present under v

Ex.



$$z_1, z_2 \in C_1(K_u^\varphi)$$

$$[z_1]_u \neq [z_2]_u \text{ here independent}$$

$$\text{but } [z_1]_v = [z_2]_v \text{ here dependent}$$

$z_1 + z_2$ can't be obtained as a boundary as a chain

Def: Betti dimension properties

We define $\beta_p^\varphi(u,v) = \dim H_p(u,v)$

1) β_p^φ is RIGHT-CONTINUOUS in BOTH its VARIABLES

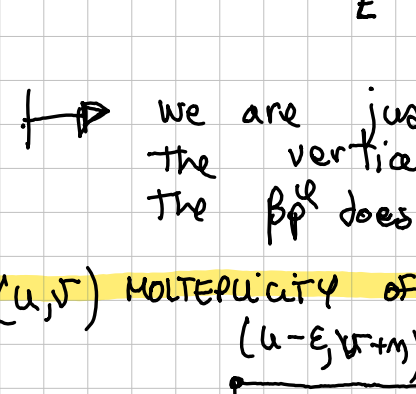
$$(u,v) \rightarrow (u+\epsilon, v+\epsilon)$$

$\forall \epsilon > 0$ with ϵ small enough

$$\beta_p^\varphi(u,v) = \beta_p^\varphi(u+\epsilon, v+\epsilon)$$

2) $\beta_p^\varphi(u,v)$ is NON-INCREASING in v and NON-DECREASING in u

3) $\beta_p^\varphi \equiv \beta_p^{\varphi \circ g^{-1}}$ $\forall$ ISOMORPHISM $g \in \text{Iso}_g(K)$



$$g: K \rightarrow K$$

$$A \mapsto E$$

$$B \mapsto D$$

$$F \mapsto F$$

$$C \mapsto C$$

we are just renaming the vertices of K the β_p^φ does not change

Def: $\mu_{\epsilon,\eta}^\varphi(u,v)$ MULTIPLICITY OF (u,v)

$$(u-\epsilon, v-\eta)$$

$$(u+\epsilon, v+\eta)$$

$$(u-\epsilon, v+\eta)$$

$$(u+\epsilon, v-\eta)$$

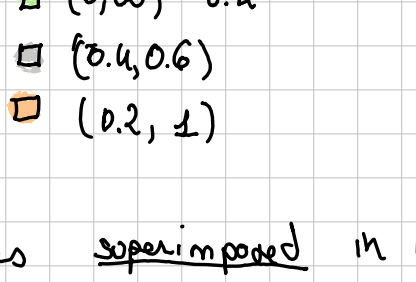
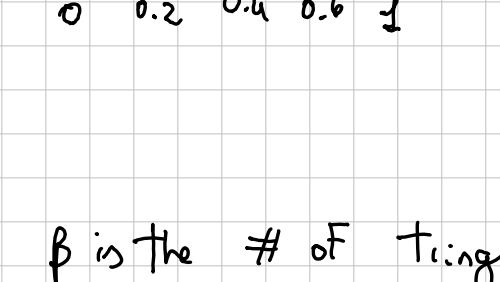
$$\mu_{\epsilon,\eta}^\varphi(u,v) = \beta(u+\epsilon, v-\eta) + \beta(u-\epsilon, v+\eta)$$

$$- \beta(u-\epsilon, v-\eta) - \beta(u+\epsilon, v+\eta)$$

Also, ENLARGING the rectangle $\mu_{\epsilon,\eta}^\varphi \geq \mu_{\epsilon,\eta}^\varphi(u,v)$

Discontinuities of $\beta_p^\varphi(u,v)$

1) The discontinuities of β_p^φ propagate VERTICALLY & HORIZONTALLY towards the diagonal



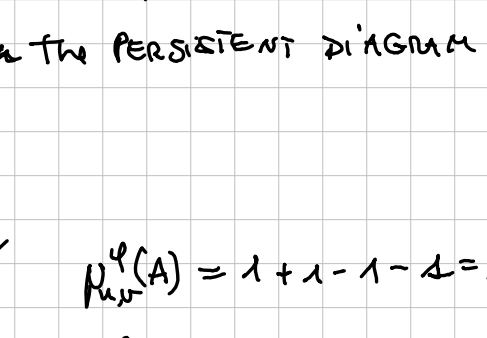
- $(0, \infty)$
- $(0.4, 0.6)$
- $(0.2, 1)$

β is the # of triangles superimposed in (u,v)

Def: Persistence diagram

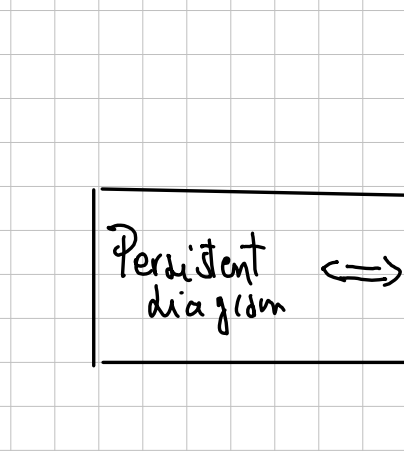
β_p defined as above,

$$\text{if } \lim_{\epsilon \rightarrow 0} \mu_{\epsilon,\epsilon}^\varphi(u,v) > 0$$



(u,v) is a POINT in the PERSISTENT DIAGRAM $Dgm_p(\varphi)$

Ex.



$$\mu_{u,v}^\varphi(A) = 1 + 1 - 1 - 1 = 0$$

$$\mu_{u,v}^\varphi(B) = 2 + 2 - 2 - 2 = 0$$

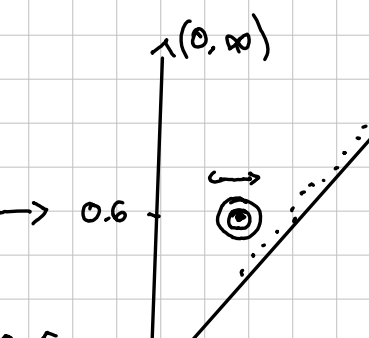
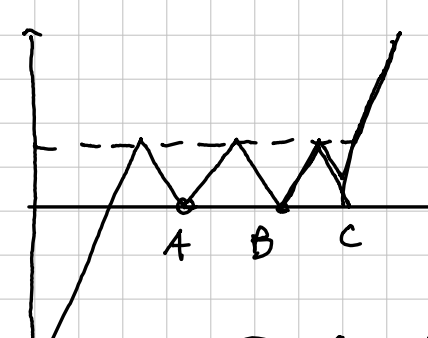
$$\mu_{u,v}^\varphi(C) = 2 + 1 - 2 - 1 = 0$$

$$\mu_{u,v}^\varphi(D) = 2 + 1 - 1 - 1 = 1$$

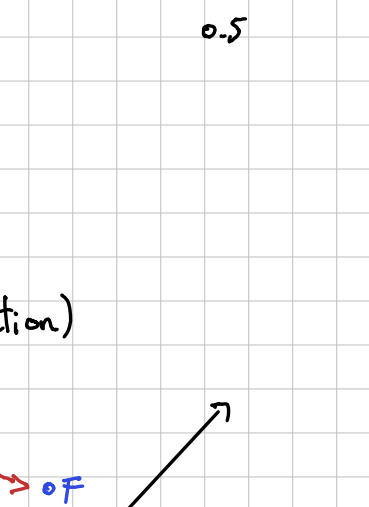
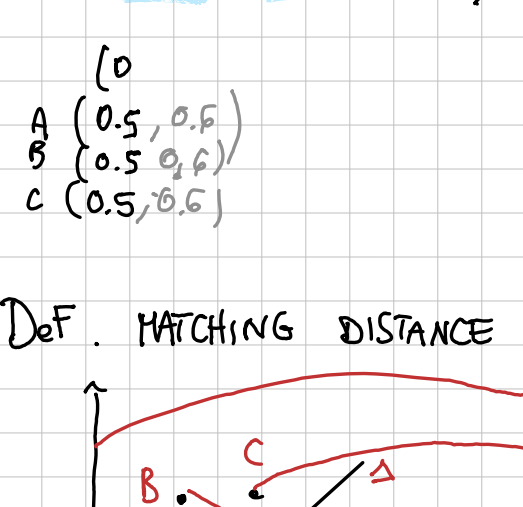
$$\mu_{u,v}^\varphi(E) = 3 + 2 - 2 - 2 = 1$$

$$\text{Persistent diagram} \iff \beta$$

EX.

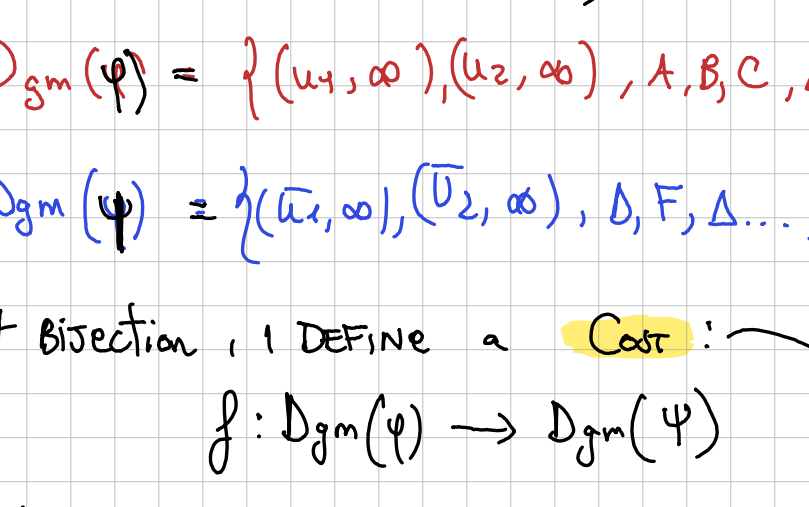


EX.



- $A(0.5, 0.5)$
- $B(0.5, 0.6)$
- $C(0.5, 0.6)$

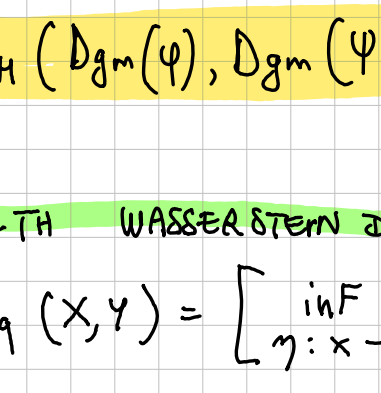
Def: MATCHING DISTANCE (bijection)



$$Dgm(\varphi) = \{(u_1, \infty), (u_2, \infty), A, B, C, \Delta, \dots\}$$

$$Dgm(\psi) = \{(\bar{u}_1, \infty), (\bar{u}_2, \infty), \bar{A}, \bar{B}, \bar{C}, \bar{\Delta}, \dots\}$$

$\forall$ Bijection, I DEFINE a Cost: $f: Dgm(\varphi) \rightarrow Dgm(\psi)$ cost(f)?



$d(B, D)$?
① Max norm $d_{\max}(B, D)$
② Move to diagonal first

$$\inf d(B, D) = \text{MATCHING DISTANCE}$$

BOTTLENECK distance

When $\varphi, \psi \in \Phi$

this itself is diff.icult to compute

$$d_H(Dgm(\varphi), Dgm(\psi)) \leq d_G(\varphi, \psi) \quad (\text{Stability Theorem})$$

q-TH WASSERSTEIN DISTANCE

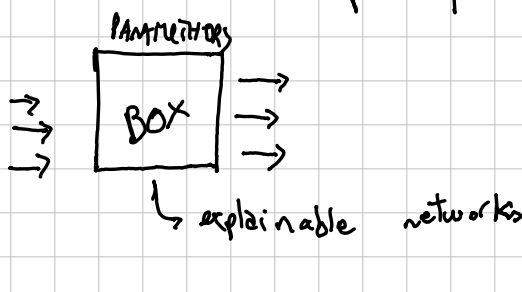
$$W_q(X, Y) = \left[\inf_{\gamma: X \rightarrow Y} \sum_{x \in X} \|x - \gamma(x)\|_q^q \right]^{1/q}$$

X, Y PERSISTENCE DIAGRAMS

Bottleneck distance

$$W_\infty(X, Y) = \inf_{\gamma: X \rightarrow Y} \sup_{x \in X} \|x - \gamma(x)\|_\infty$$

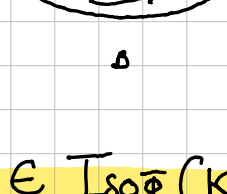
GENEO - Group Equivariant Non Expansive Operators



Main points:

→ Data is not so important, but rather (DATA, OBSERVER) "a measure"

Ex. (Φ, G) PERCEPTRON PAIR



MANIFOLDS

Prop. Any $g \in \text{Iso}(\Phi(K))$ is an isometry

Proof. $D_\Phi(x_1, x_2) := \sup_{\varphi \in \Phi} |\varphi(x_1) - \varphi(x_2)|$

$$\begin{aligned} \text{Now we want } D_\Phi(g(x_1), g(x_2)) &= \\ &= \sup_{\varphi \in \Phi} |\varphi(g(x_1)) - \varphi(g(x_2))| \quad \text{use } \varphi \circ g \in \Phi \\ &= \sup_{\varphi \circ g \in \Phi} |\varphi(x_1) - \varphi(x_2)| \quad \blacksquare \end{aligned}$$

Recall:

$$D_g(x_1, x_2) = \sup_{\varphi \in \Gamma} \|\varphi \circ g_1 - \varphi \circ g_2\|_\infty$$

IF one measurement REVEALS a difference between g_1 & g_2 , $\Rightarrow$ GOOD

Prop. If D_Φ is a metric, D_G is a metric too.

Def. GEO

Let us consider two simplicial pairs: (Φ, G)

Now consider:

1) $F: \Phi \rightarrow \Psi$

2) a homomorphism $T: G \rightarrow H$

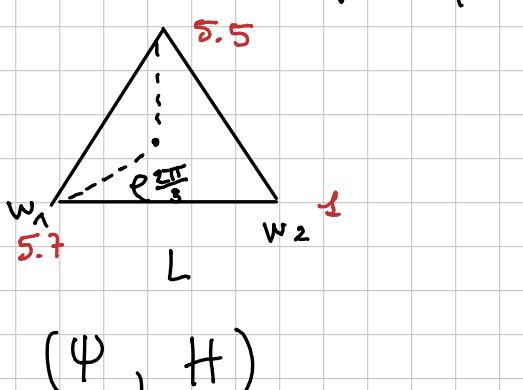
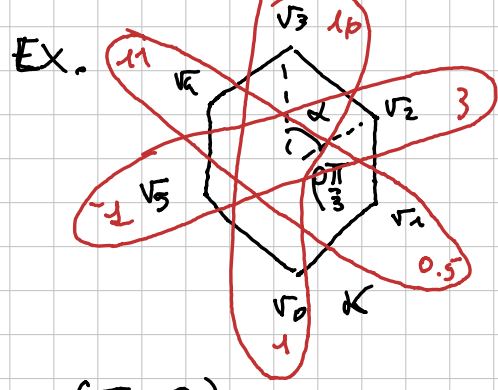
if $F(\varphi \circ g) = F(\varphi) \cdot F(g) \quad \forall \varphi, \forall g$

(F, T) is a GEO (Group Equivariant Operators)

Def. GENEO

if a GEO (F, T) is s.t. F is NON-EXPANSIVE we say that (F, T) is a group equivariant non expansive operator.

$$D_\Psi(F(\varphi_1), F(\varphi_2)) \leq D_\Phi(\varphi_1, \varphi_2)$$



Ex. $(\Phi, G) \cong \mathbb{R}^k \hookrightarrow \text{ROTATIONS}$ $(\Psi, H) \cong \mathbb{R}^L \hookrightarrow \text{ROTATIONS}$ $T: G \rightarrow H$

$$\text{Now } F(\varphi) = \varphi \Rightarrow \varphi(w_i) = \frac{\varphi(v_i) + \varphi(v_{i+3 \bmod 3})}{2}$$

Is it EQUIVARIANT? $A = \frac{\pi}{3}$

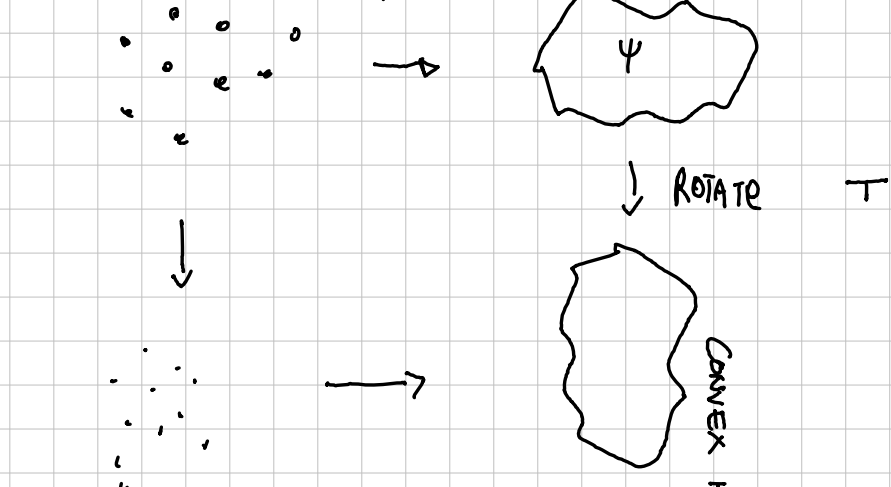
Proof.

$$F(\varphi \circ \rho_{\frac{\pi}{3}})(w_0) = \varphi \circ \rho_{\frac{\pi}{3}}(v_0) + \varphi \circ \rho_{\frac{\pi}{3}}(v_3) = \frac{\varphi(v_1) + \varphi(v_4)}{2}$$

$$(F(\varphi) \circ \rho_{\frac{2\pi}{3}})(w_0) = F(\varphi)(w_1) = \frac{\varphi(v_1) + \varphi(v_4)}{2}$$

$$\rho_{\frac{2\pi}{3}}(w_0) = w_1 \quad \text{This holds } \forall \text{ point } \blacksquare$$

GENEO's in Topological settings



If I wish to model invariance instead of equivariance, it is sufficient to consider the trivial homomorphism $G \rightarrow \mathbb{1}$:

$$(F, T): (\Phi, G) \rightarrow (\Psi, \mathbb{1})$$

$$T: G \rightarrow \mathbb{1}$$

if T is trivial, $T(g) = \mathbb{1}_g \Rightarrow$

$$F(\varphi \circ g) = F(\varphi) \cdot T(g)$$

$$F(\varphi) \circ \mathbb{1}_g = F(\varphi) \quad \blacksquare$$

Prop:

Assume that (F, T) is a GENEO

$$D_\Psi(F(\varphi_1), F(\varphi_2)) \leq D_\Phi(\varphi_1, \varphi_2) \quad \text{known}$$

and $(F, T): (\Phi, G) \rightarrow (\Psi, H)$

Then:

$$d_H(F(\varphi_1), F(\varphi_2)) \leq d_G(\varphi_1, \varphi_2)$$

Let us consider the set $\mathcal{F}_T^{\text{all}}$ of all maps F s.t. (F, T) is a GENEO.

Thm.

if Φ & Ψ are compact $\Rightarrow \mathcal{F}_T^{\text{all}}$ is compact too.

$\mathcal{F}_T^{\text{all}}$ is compact with respect to which topology?

WHEN INPUT & OUTPUT IS A COMPACT, THE AGENT IS COMPACT TOO

$$D_{\text{GENEO}}(F_1, F_2) = \sup_{\varphi \in \Phi} D_\Psi(F_1(\varphi), F_2(\varphi))$$

$$\hookrightarrow \|F_1(\varphi) - F_2(\varphi)\|_\infty$$

Thm

Let us assume that $(F_1, T) \dots (F_n, T)$ are GENEO's from (Φ, G) to (Ψ, H) . Choose $(a_1 \dots a_n) \in \mathbb{R}^n$ with $\sum |a_i| \leq 1 \Rightarrow (\sum a_i F_i, T)$ is a GENEO

Proof.

EQUIVANCE

$$F_z(\varphi \circ g) = \sum a_i F_i(\varphi \circ g) =$$

$$= \sum a_i F_i(\varphi) \cdot T(g) = (\sum a_i F_i) \cdot T(g) = \cdot T(g)$$

NON-EXPANSIVE

$$D_\Psi(F_z(\varphi_1), F_z(\varphi_2)) \leq D_\Phi(\varphi_1, \varphi_2)$$

$$D_\Psi(\sum a_i F_i(\varphi_1), \sum a_i F_i(\varphi_2))$$

$$= \|\sum a_i F_i(\varphi_1) - \sum a_i F_i(\varphi_2)\|_\infty$$

$$= \|\sum a_i (F_i(\varphi_1) - F_i(\varphi_2))\|_\infty$$

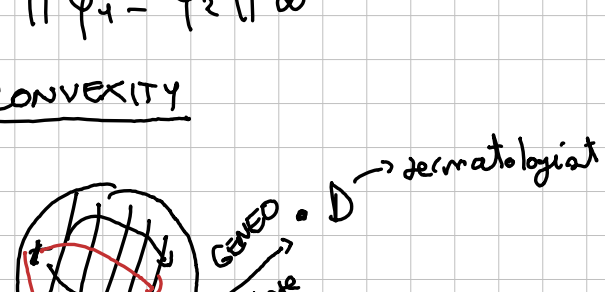
$$\leq \sum |a_i| \|F_i(\varphi_1) - F_i(\varphi_2)\|_\infty$$

$$\leq \sum |a_i| \|\varphi_1 - \varphi_2\|_\infty$$

$$\leq \|\varphi_1 - \varphi_2\|_\infty \sum |a_i|$$

$$\leq \|\varphi_1 - \varphi_2\|_\infty$$

CONVEXITY



(GENEA looking a GENEA $\approx$)

set of observers

Ex. $\varphi_1, \varphi_2 \in \Phi$. Consider $\mathcal{F}$ of GENEOs

$$D_{\mathcal{F}, \Phi}(\varphi_1, \varphi_2) = \sup_{F \in \mathcal{F}} \|F(\varphi_1) - F(\varphi_2)\|$$

Now consider:

$$D_{\text{match}}^{\mathcal{F}, K}(\varphi_1, \varphi_2) = \sup_{F \in \mathcal{F}} d_{\text{match}}(D_{\text{match}}(F(\varphi_1)), D_{\text{match}}(F(\varphi_2)))$$

$$D_{\text{match}}^{\mathcal{F}, K} \leq D_{\mathcal{F}, \Phi}(\varphi_1, \varphi_2) \quad \text{STABILITY}$$

$\hookrightarrow$ IS INVARIANT ONLY IN RESPECT TO F .