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Open foundation models: scaling laws & generalization

Jülich Supercomputing Center (JSC)

Scalable Learning & Multi-Purpose AI Lab (SLAMPAI)

Large-scale Artificial Intelligence Open Network (LAION)

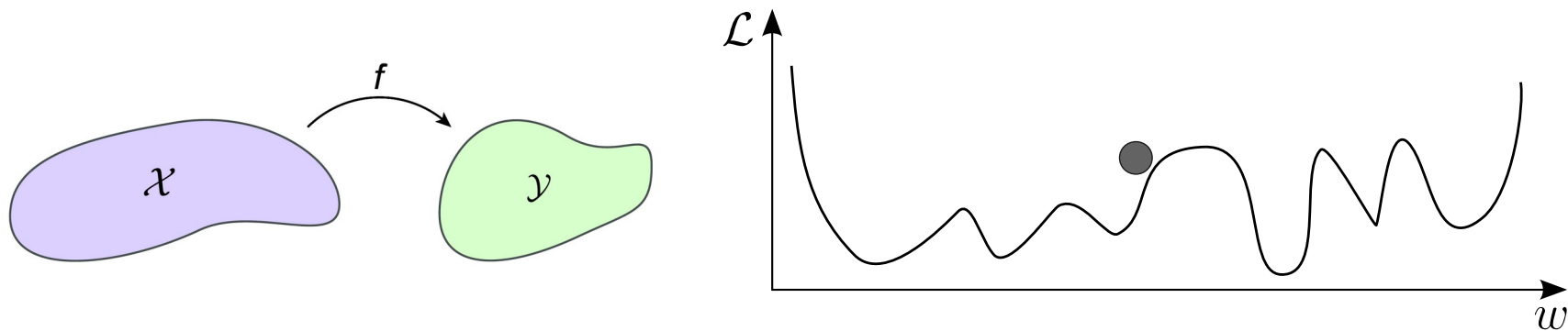
European Laboratory for Learning and Intelligent Systems (ELLIS)

Foundation models: generic transferable learning

Modern Machine Learning

Optimizing loss (objective) of a (complex) model f using (a lot of) data $\mathcal{D}$

- a (complex) model: function (or distribution) family $f(X; \theta)$ ($p(X; \theta)$)
 - parameters θ (often $\mathbf{W}$ is used) are to adapt (“fit”) given the data samples $X \in \hat{\mathcal{D}}$
- optimization:
 - defining a loss $\mathcal{L}(f(X; \theta), \hat{\mathcal{D}})$
 - loss $\mathcal{L}$: measure of quality (“fit”) of $f(X; \theta)$ in terms of a task solution on $\hat{\mathcal{D}}$
 - seeking to minimize $\mathcal{L}(f, \hat{\mathcal{D}})$ with respect to all possible $\hat{\mathcal{D}} \sim P(\mathcal{D})$!

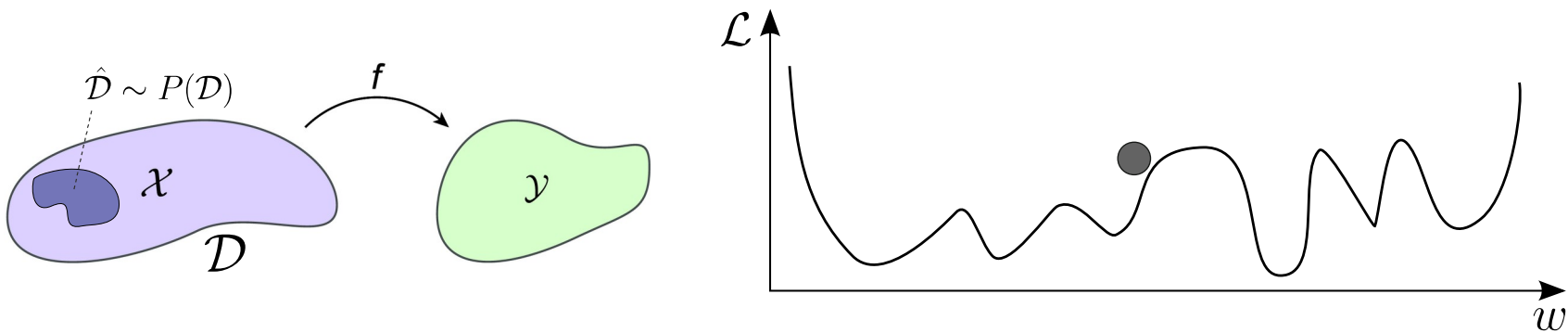


Foundation models: generic transferable learning

Modern Machine Learning

- General formulation: estimate unknown true data density $P(X)$ from observed data $\hat{\mathcal{D}}$
- Optimizing loss (objective) of a (complex) model f using (a lot of) generic data $\mathcal{D}$
- model $f(X; \theta)$: recipes for “solutions” to “problems” posed by $\mathcal{L}$
- optimization: looking for a “good” model f^* by minimizing $\mathcal{L}(f, \hat{\mathcal{D}})$ for all possible $\hat{\mathcal{D}} \sim P(\mathcal{D})$!
 - general principle of expected risk minimization:

$$f^* = \arg \min_f \mathbf{R}(f) = \mathbb{E}_{\hat{\mathcal{D}} \sim P(\mathcal{D})} [\mathcal{L}(f, \hat{\mathcal{D}})] = \int \mathcal{L}(f, \hat{\mathcal{D}}) P(\hat{\mathcal{D}}) d\hat{\mathcal{D}}$$



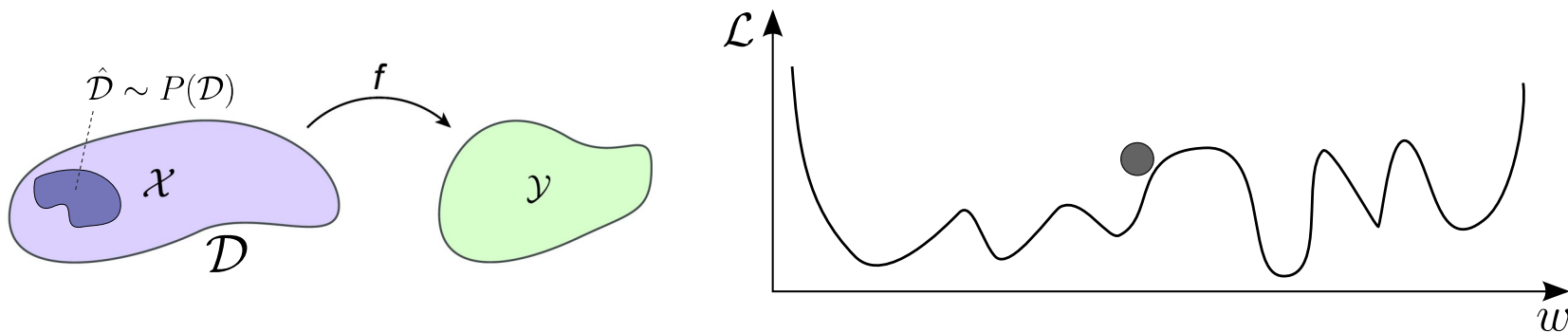
Foundation models: generic transferable learning

Important

Estimate loss $\mathcal{L}(f(X; \theta), \mathcal{D})$ on data $\mathcal{D}$ yet unseen!

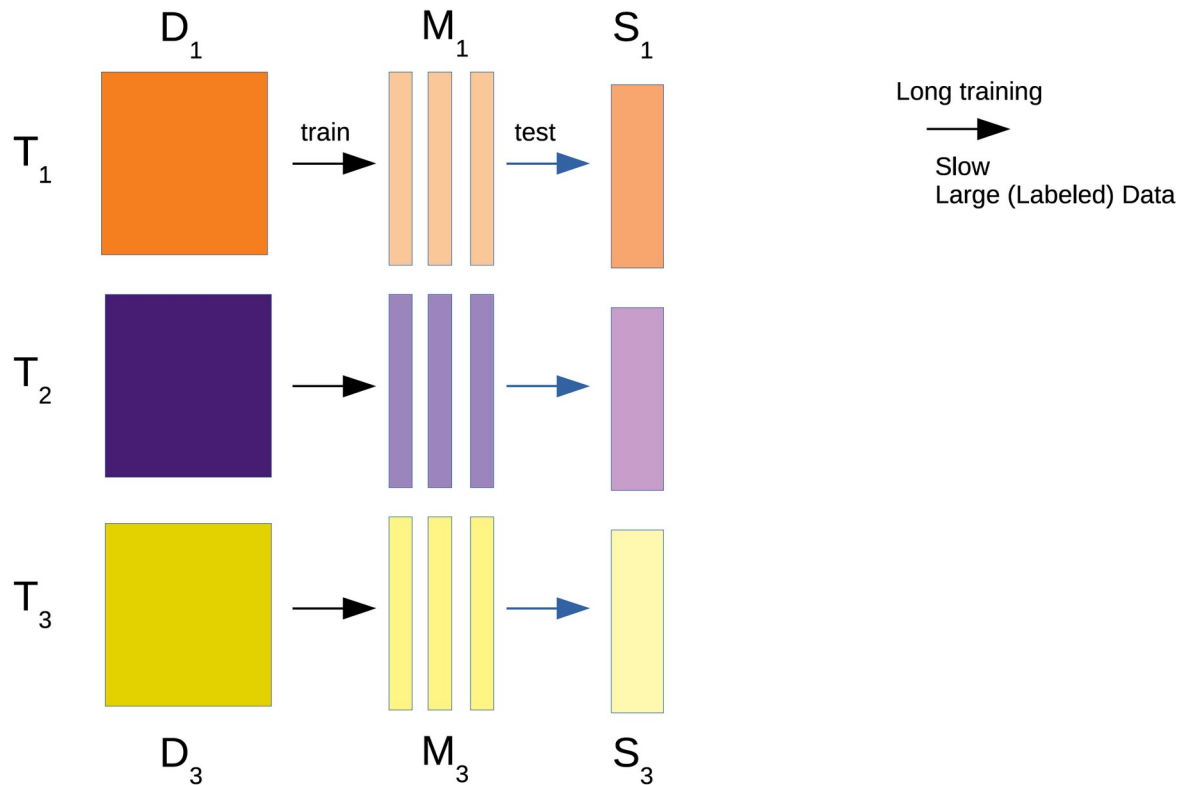
- estimating “true” $\mathcal{L}$, expected risk: **generalization** error estimation
 - Challenge: estimate based on observed data only – “true” $\mathcal{L}$, true loss landscape, true data distribution $P(\mathcal{D})$ unknown
 - Model should perform tasks well not only on observed $\hat{\mathcal{D}}$, but on unseen data and conditions -> **Strong generalization**

$$f^* = \arg \min_f \mathbf{R}(f) = \mathbb{E}_{\hat{\mathcal{D}} \sim P(\mathcal{D})} [\mathcal{L}(f, \hat{\mathcal{D}})] = \int \mathcal{L}(f, \hat{\mathcal{D}}) P(\hat{\mathcal{D}}) d\hat{\mathcal{D}}$$



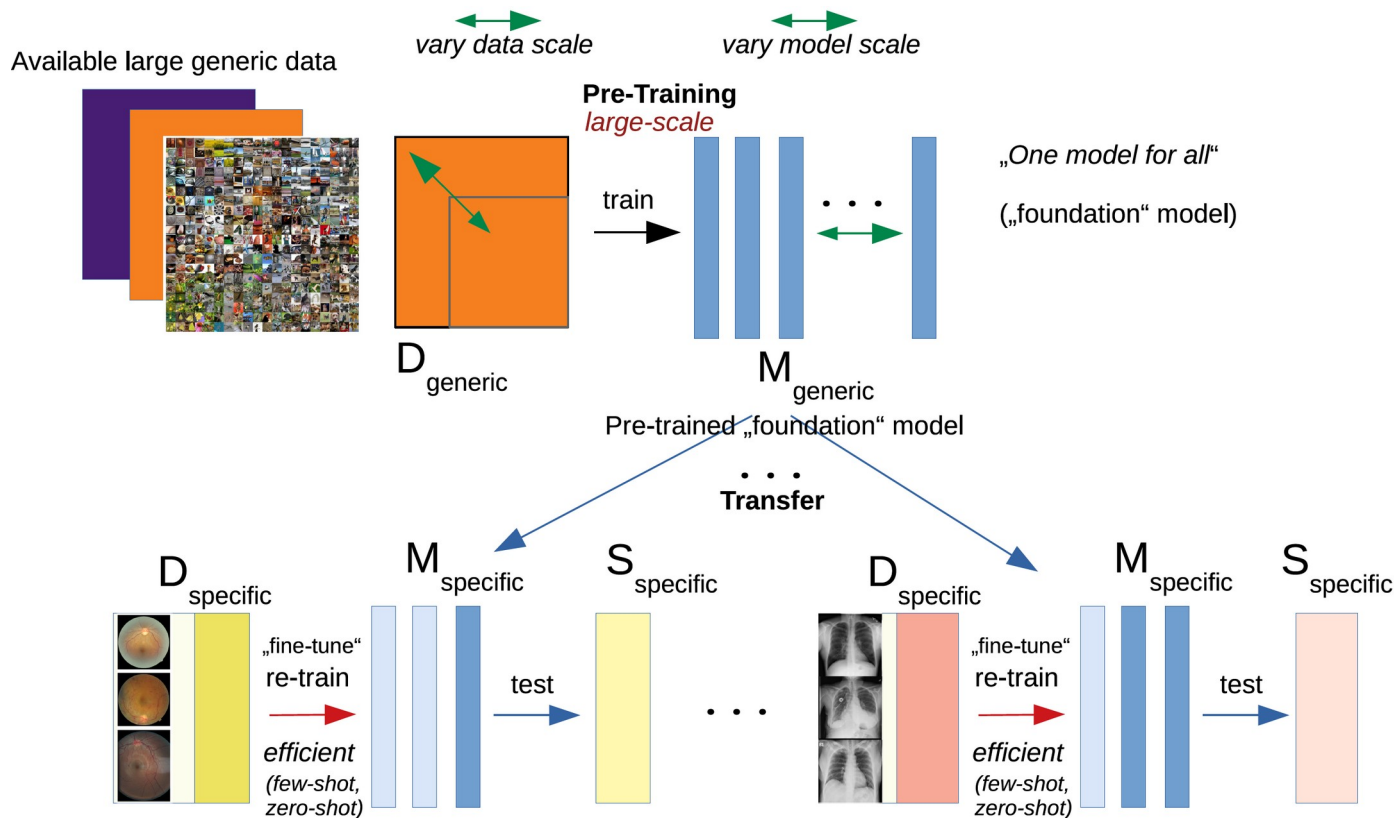
Foundation models: generic transferable learning

- Machine learning before (< 2012): **poor generalization, poor transfer**
- Relying on **labeled data for each task, specialized models (no re-use)**



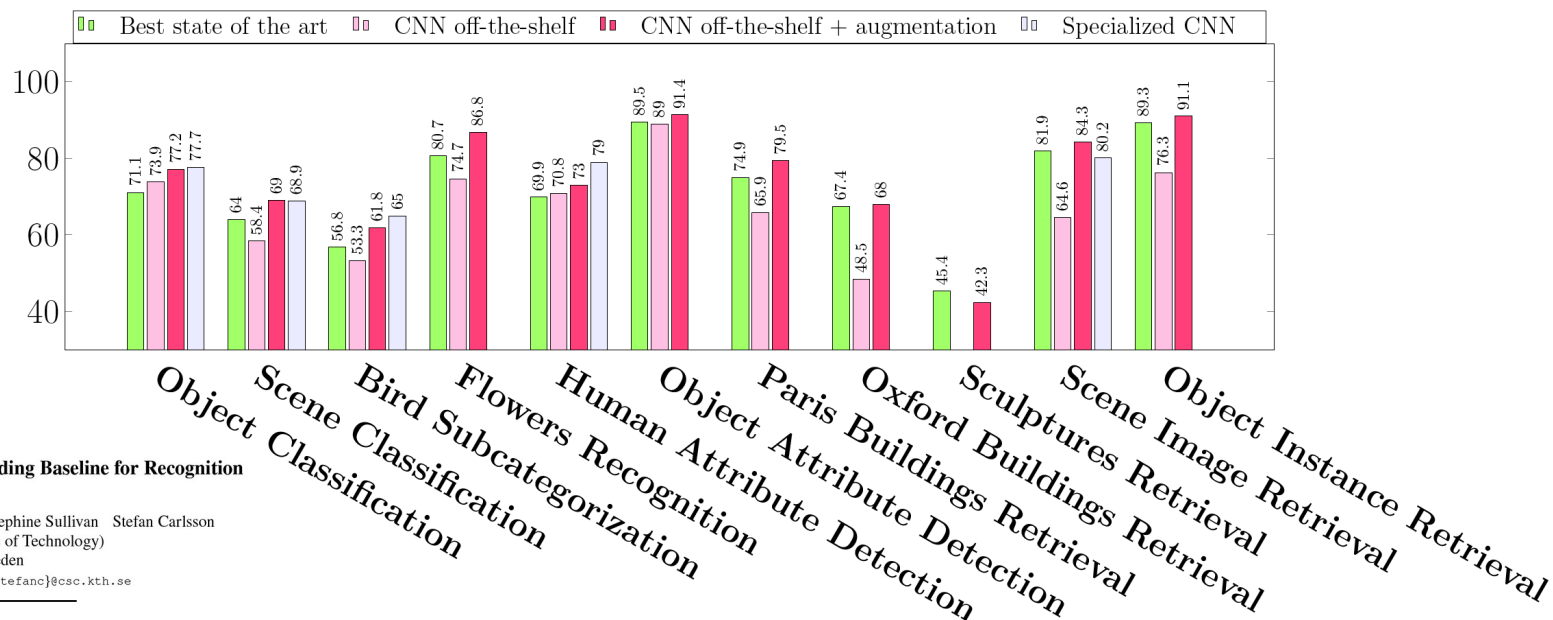
Foundation models: generic transferable learning

- Core breakthroughs (since ca. 2012): **learning that transfers across tasks**



Foundation models: generic transferable learning

- **Strong transferability:** evidence for early convolutional networks (OverFeat, VGG16) dating back to 2013
- „Off-the-shelf“ **transferable models:** ConvNets (CNNs) pre-trained on ImageNet-1k (1.4M images), 2012-2017 (eg ResNet – Winner ILSVRC 2015)

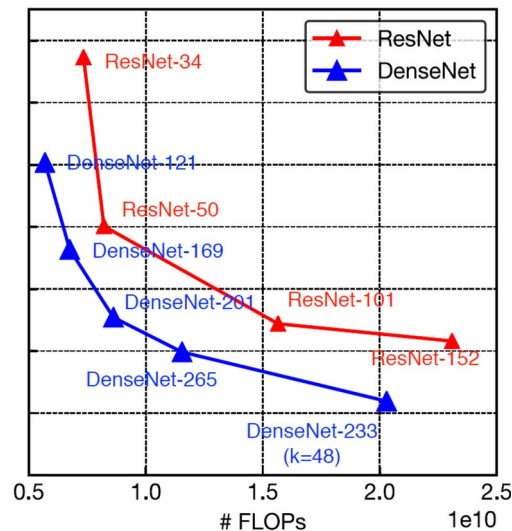
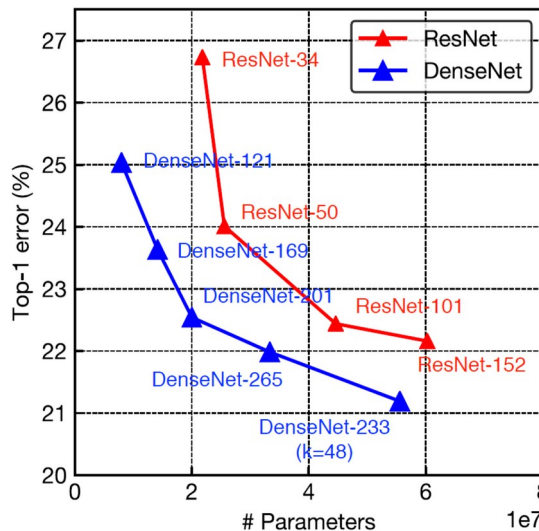
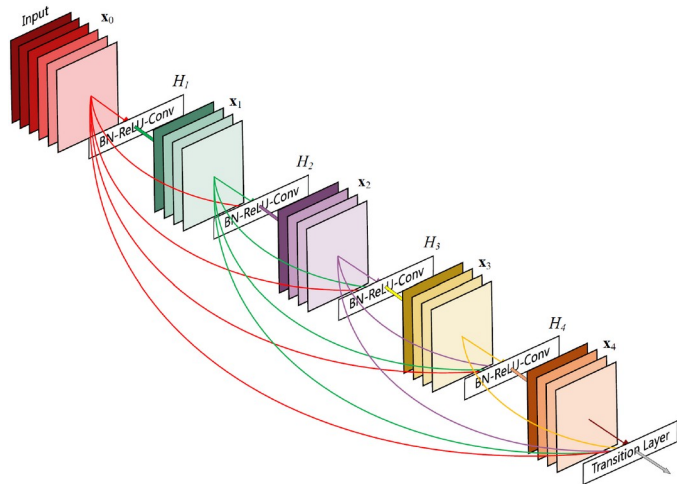


CNN Features off-the-shelf: an Astounding Baseline for Recognition

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Foundation models: generic transferable learning

- Evidence for scaling improving model function (here: ImageNet classification)
- Model comparison (DenseNet vs ResNet) via scaling behavior (function vs FLOPs)



Foundation models: generic transferable learning

- „Primordial“ scaling law observation across various learning procedures

DEEP LEARNING SCALING IS PREDICTABLE, EMPIRICALLY

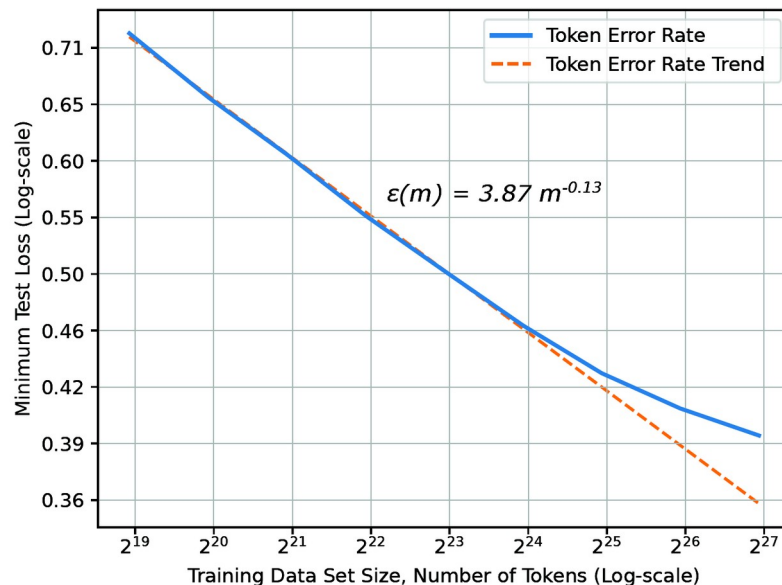
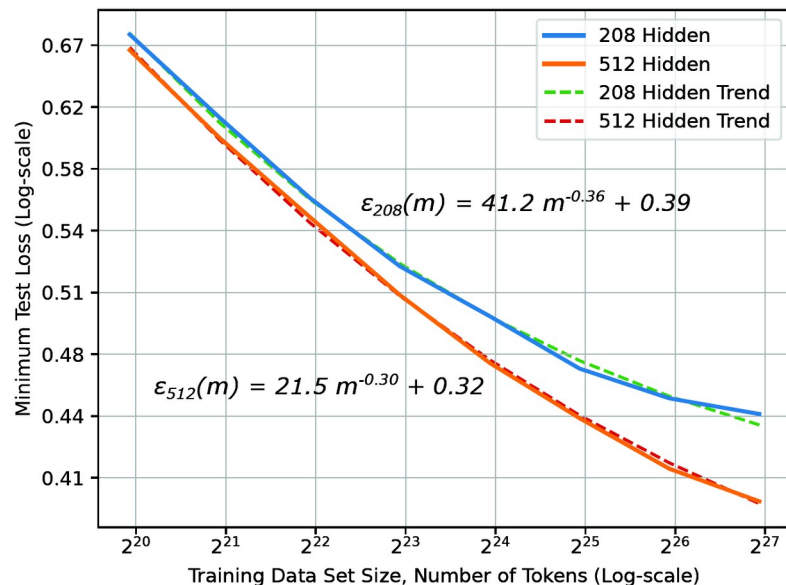
**Joel Hestness, Sharan Narang, Newsha Ardalani, Gregory Diamos, Heewoo Jun,
Hassan Kianinejad, Md. Mostofa Ali Patwary, Yang Yang, Yanqi Zhou**

`{joel,sharan,ardalaninewsha,gregdamos,junheewoo,hassankianinejad,
patwarymostofa,yangyang62,zhouyanqi}@baidu.com`

Baidu Research

Foundation models: generic transferable learning

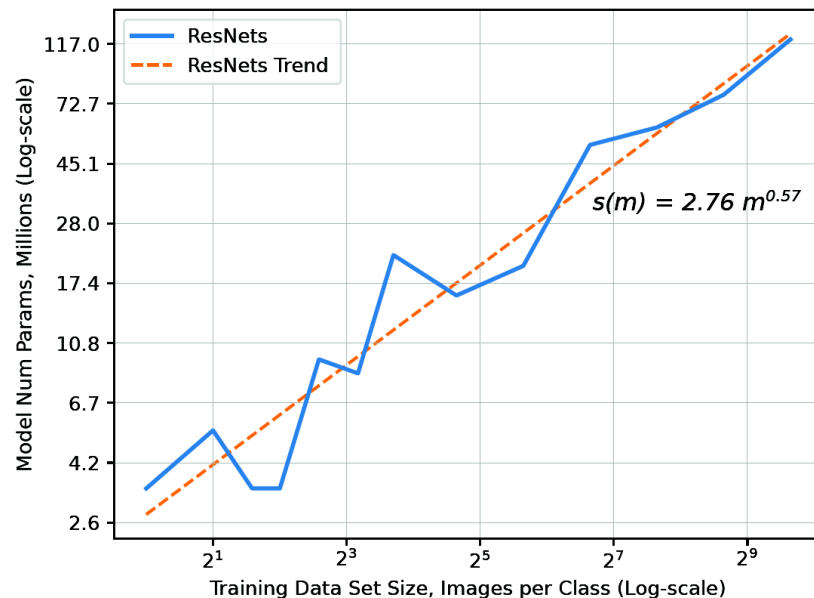
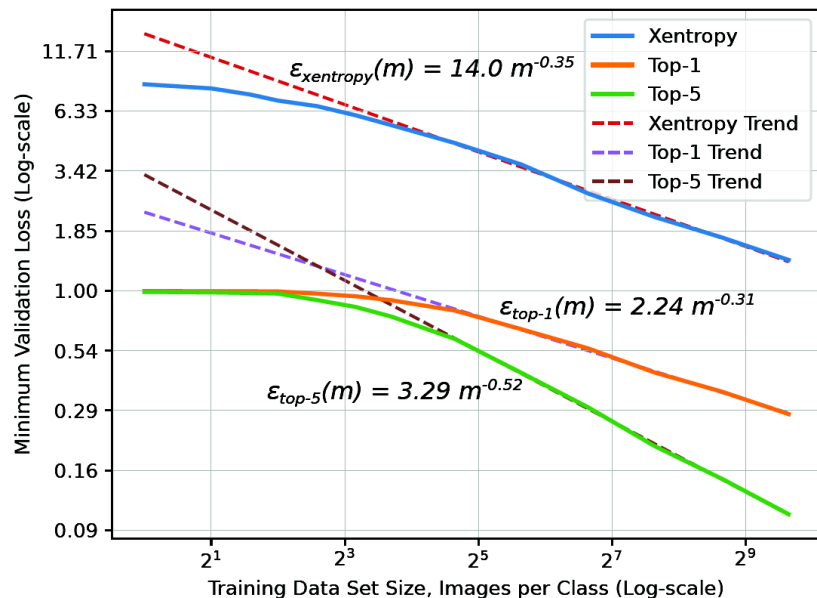
- „Primordial“ scaling law observations: language translation



Neural machine translation learning curves. Left: the learning curves for separate models
Right: composite learning curve of best-fit model at each data set size.

Foundation models: generic transferable learning

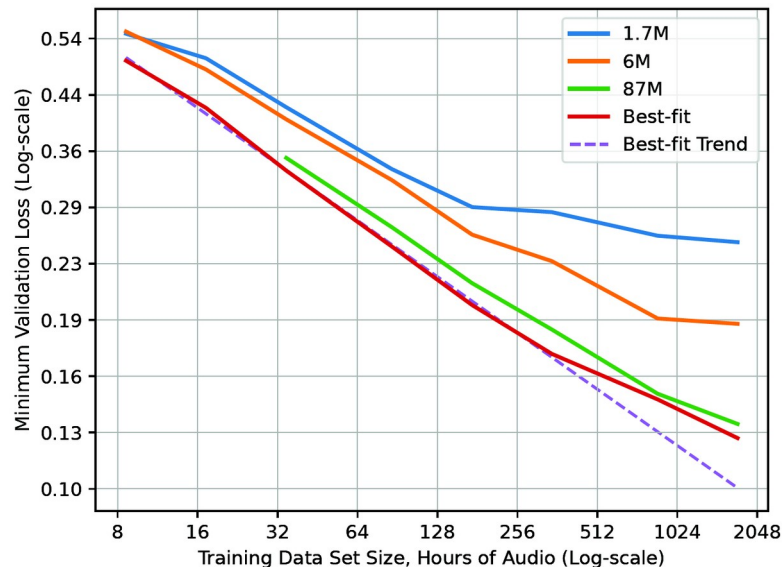
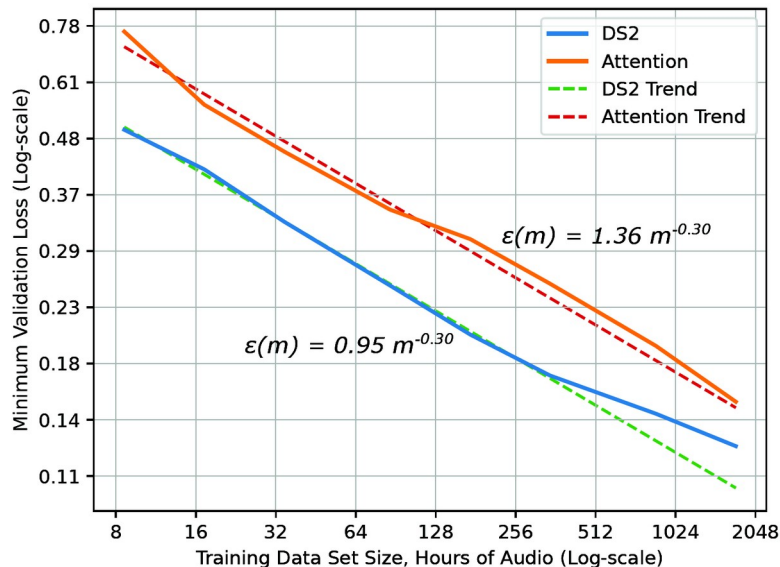
- „Primordial“ scaling law observations: image classification (ResNet supervised)



Learning curve and model size results and trends for ResNet image classification.

Foundation models: generic transferable learning

- „Primordial“ scaling law observations: audio speech-to-text translation



Learning curves for DS2 and attention speech models (left), and learning curves for various DS2 model sizes, 1.7M to 87M parameters (right).

Foundation models: generic transferable learning

- „Primordial“ scaling law observation: power law dependency of generalization error on training resources (here data samples seen)

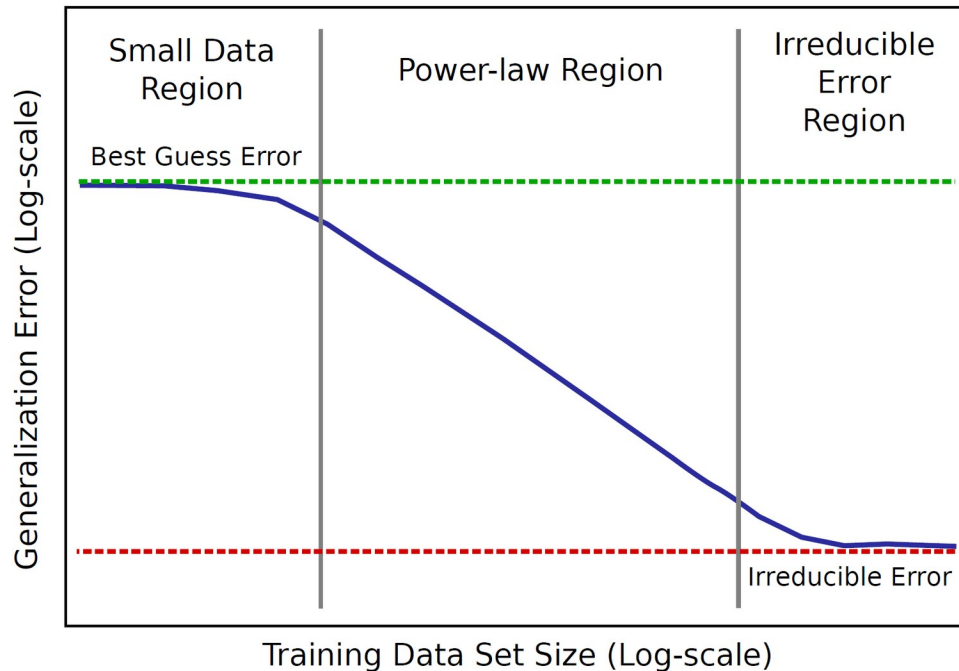
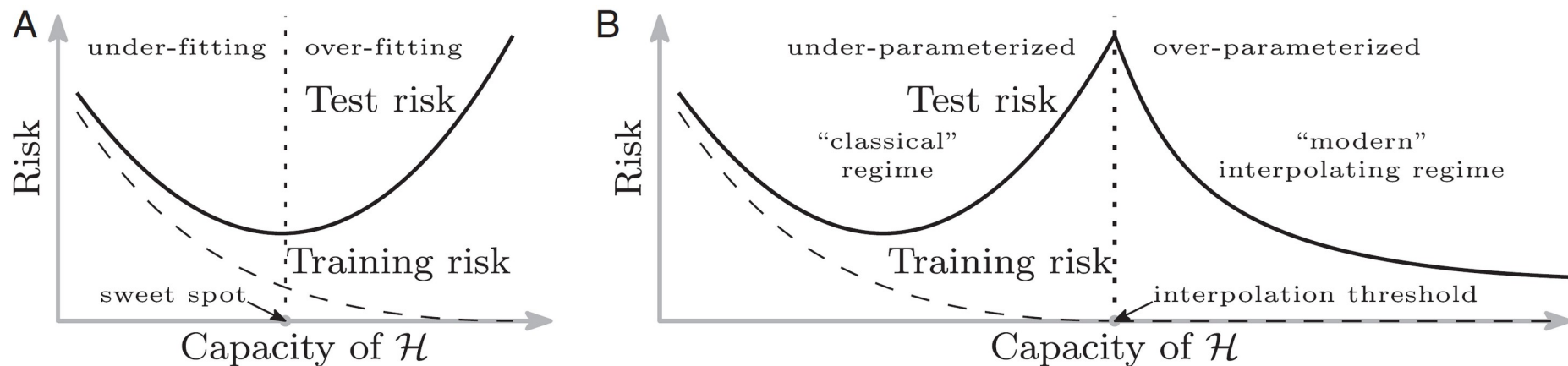


Figure 6: Sketch of power-law learning curves

Foundation models: generic transferable learning

- Reconciling generalization – large, overparameterized models generalize strongly

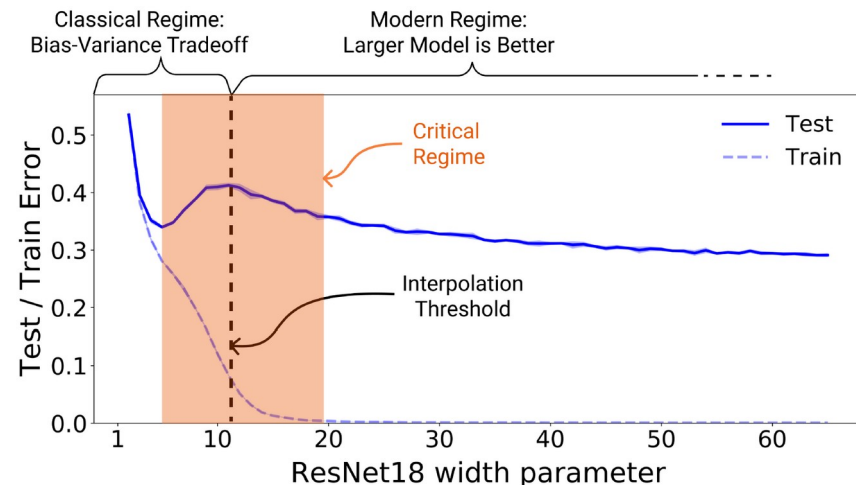
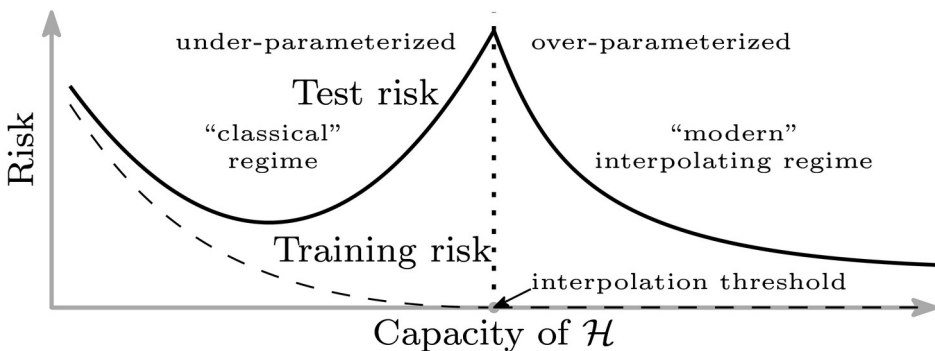


Reconciling modern machine-learning practice and the classical bias–variance trade-off

Mikhail Belkin^{a,b,1}, Daniel Hsu^c, Siyuan Ma^a, and Soumik Mandal^a

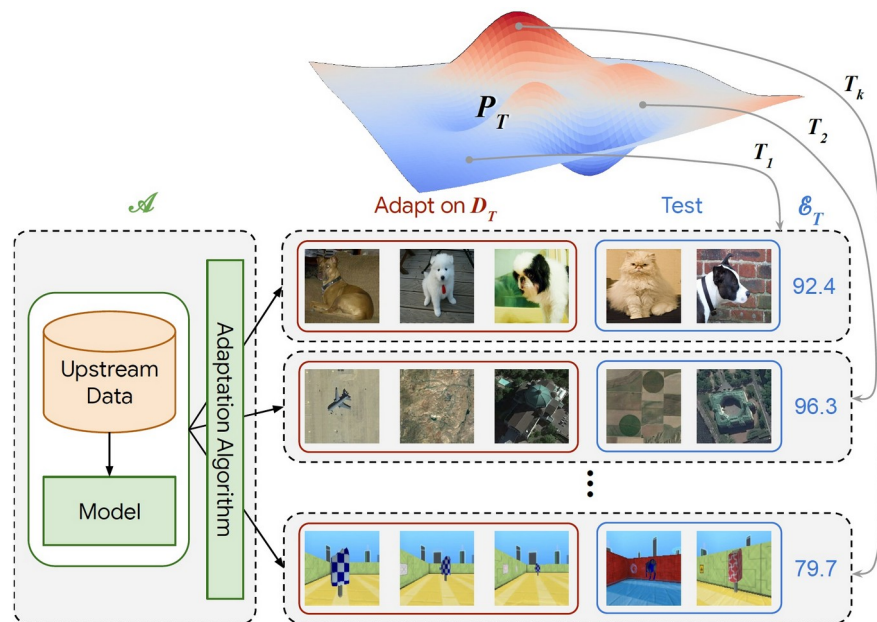
Foundation models: generic transferable learning

- Reconciling generalization – large, overparameterized models generalize strongly
- Double, triple descent phenomena observed in various experiments



Foundation models: generic transferable learning

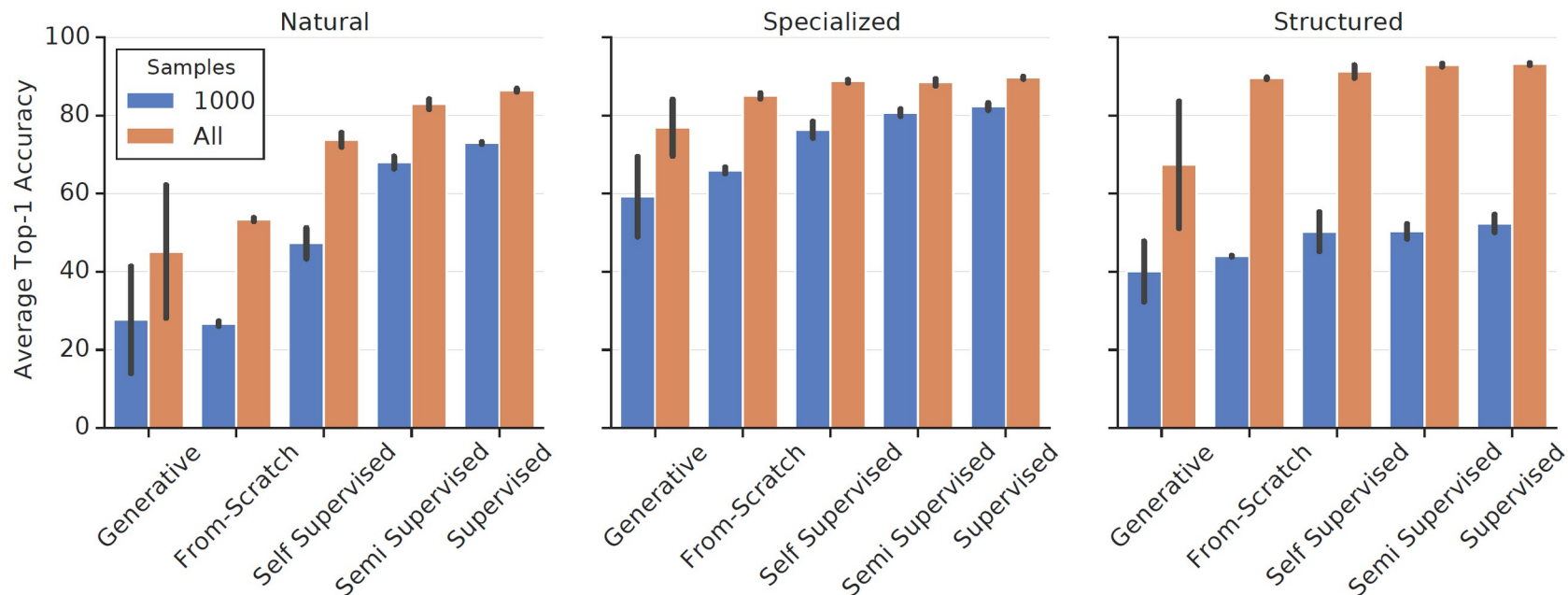
- V-TAB: testing transfer from pre-trained models vs training from scratch



Category	Dataset	Train size	Classes	Reference
● Natural	Caltech101	3,060	102	(Li et al., 2006)
● Natural	CIFAR-100	50,000	100	(Krizhevsky, 2009)
● Natural	DTD	3,760	47	(Cimpoi et al., 2014)
● Natural	Flowers102	2,040	102	(Nilsback & Zisserman, 2008)
● Natural	Pets	3,680	37	(Parkhi et al., 2012)
● Natural	Sun397	87,003	397	(Xiao et al., 2010)
● Natural	SVHN	73,257	10	(Netzer et al., 2011)
● Specialized	EuroSAT	21,600	10	(Helber et al., 2019)
● Specialized	Resisc45	25,200	45	(Cheng et al., 2017)
● Specialized	Patch Camelyon	294,912	2	(Veeling et al., 2018)
● Specialized	Retinopathy	46,032	5	(Kaggle & EyePacs, 2015)
● Structured	Clevr/count	70,000	8	(Johnson et al., 2017)
● Structured	Clevr/distance	70,000	6	(Johnson et al., 2017)
● Structured	dSprites/location	663,552	16	(Matthey et al., 2017)
● Structured	dSprites/orientation	663,552	16	(Matthey et al., 2017)
● Structured	SmallNORB/azimuth	36,450	18	(LeCun et al., 2004)
● Structured	SmallNORB/elevation	36,450	9	(LeCun et al., 2004)
● Structured	DMLab	88,178	6	(Beattie et al., 2016)
● Structured	KITTI/distance	5,711	4	(Geiger et al., 2013)

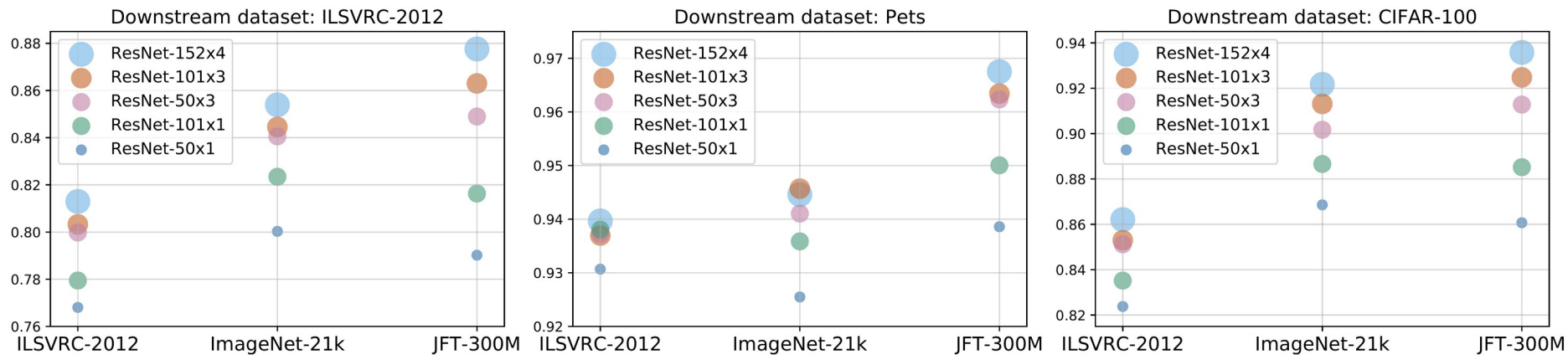
Foundation models: generic transferable learning

- V-TAB: testing transfer from pre-trained models vs training from scratch



Foundation models: generic transferable learning

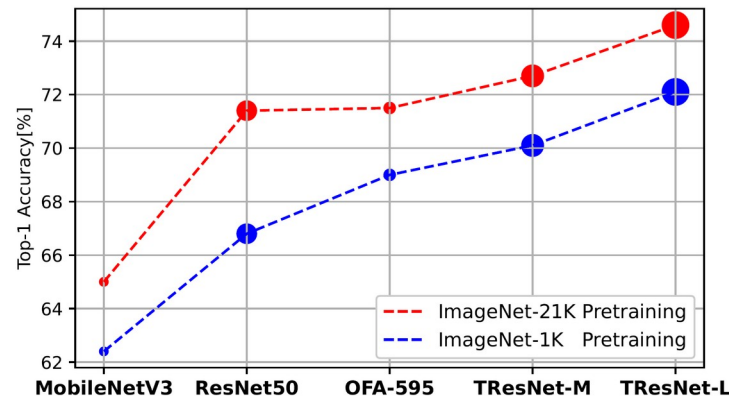
- Big Transfer: evidence for scaling improving transfer learning



Foundation models: generic transferable learning

- ImageNet-21k for the masses: evidence for scaling improving transfer learning

Downstream Task	Dataset	MobileNetV3		OFA-595		ResNet50		TResNet-M		TResNet-L	
		1K	21K	1K	21K	1K	21K	1K	21K	1K	21K
Single-label Classification	iNaturalist ⁽¹⁾	62.4	65.0	69.0	71.5	66.8	71.4	70.1	72.7	72.4	74.8
	CIFAR100 ⁽¹⁾	86.7	88.5	88.3	90.3	86.8	90.3	89.5	91.7	90.2	92.5
	Food 251 ⁽¹⁾	70.1	70.3	72.9	73.5	72.2	74.0	75.1	76.1	76.3	77.0
Multi-label Classification	MS-COCO ⁽²⁾	73.0	74.9	74.9	77.7	76.7	80.5	79.5	82.2	81.1	83.7
	Pascal-VOC ⁽²⁾	72.1	72.4	72.4	81.5	86.9	87.9	85.8	89.8	88.2	92.5
Video Action Recognition	Kinetics 200 ⁽³⁾	72.2	74.3	73.2	78.1	78.2	81.3	80.5	84.3	82.1	84.6



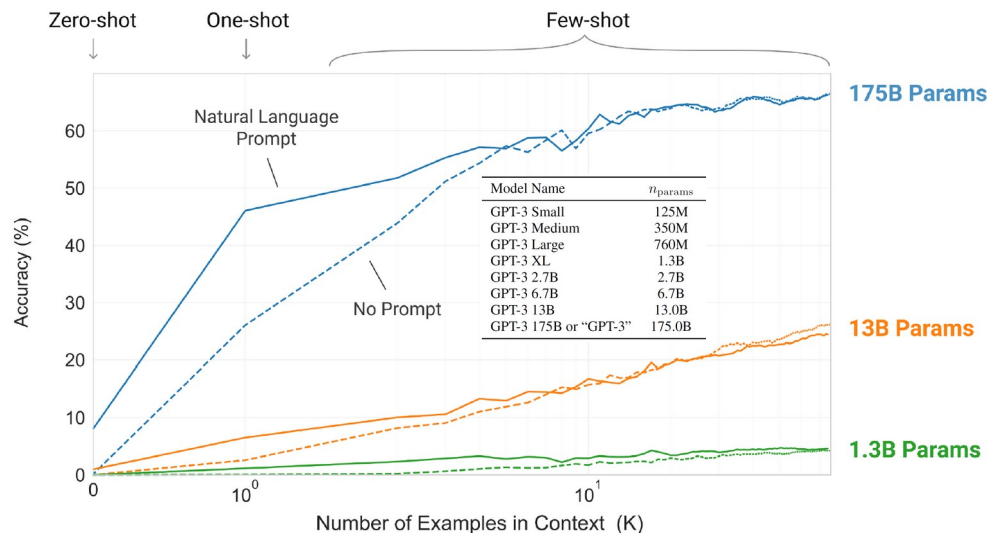
Foundation models: generic transferable learning

- Since ca. 2019: „**foundation models**“ -
- Following generic pre-training, **transfer** to various conditions and tasks possible
- **Scaling laws**: transfer, especially zero and few-shot, **improves when scaling up**
- Natural language as interface for flexible task formulation
- Advanced functionality like in-context learning gets stronger at larger scales

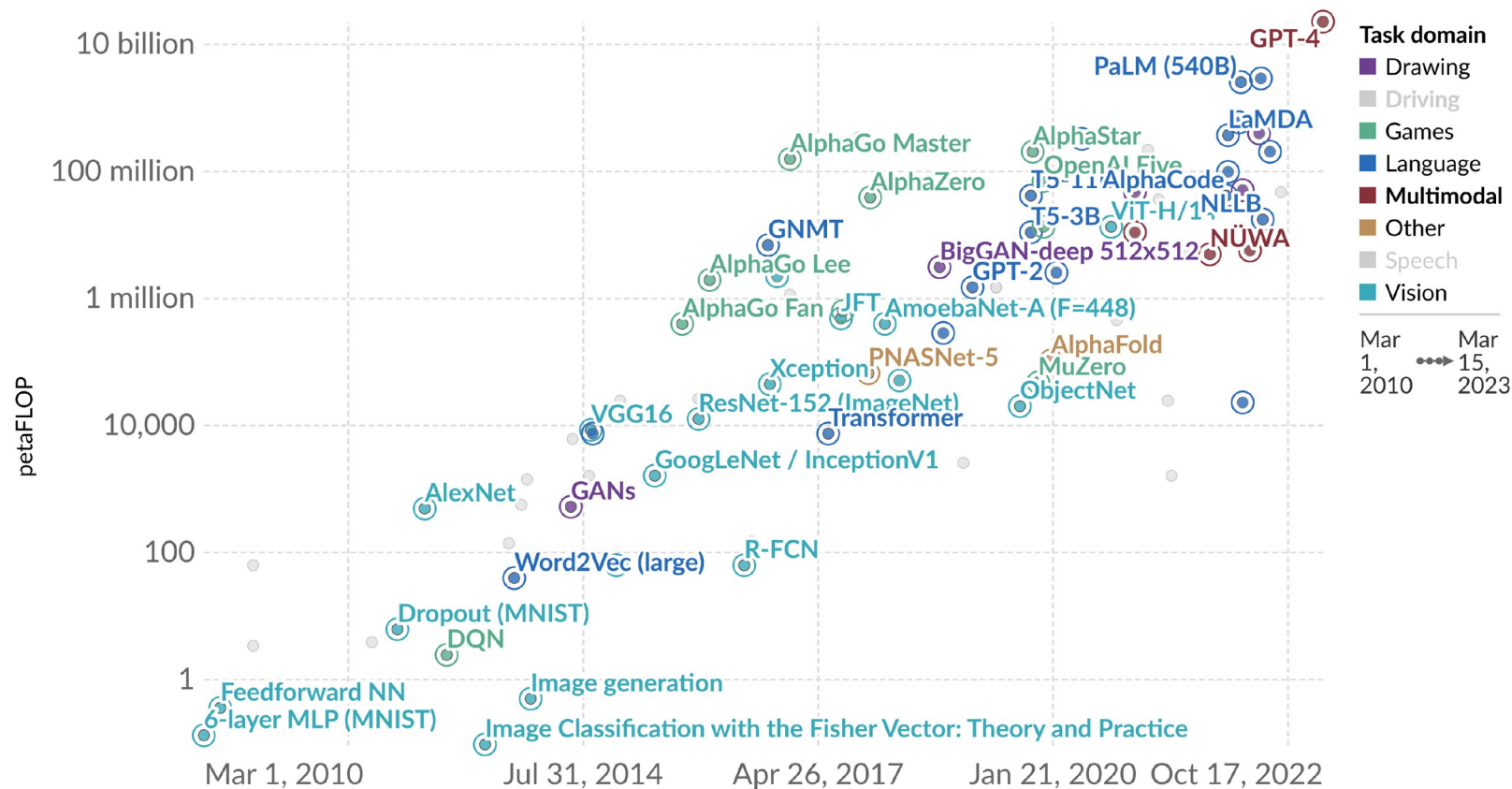
Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

1	Translate English to French:	task description
2	sea otter => loutre de mer	examples
3	peppermint => menthe poivrée	
4	plush girafe => girafe peluche	
5	cheese =>	prompt

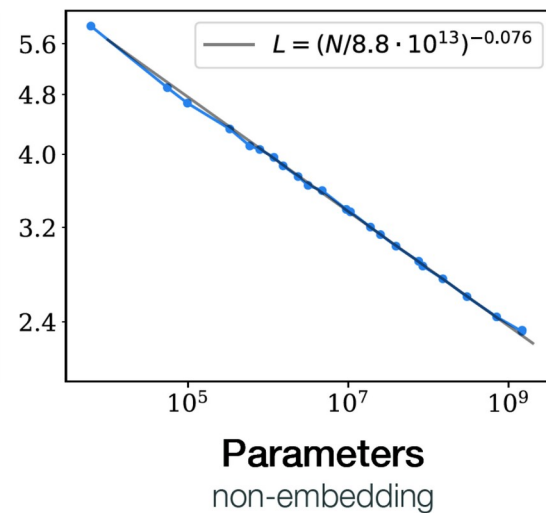
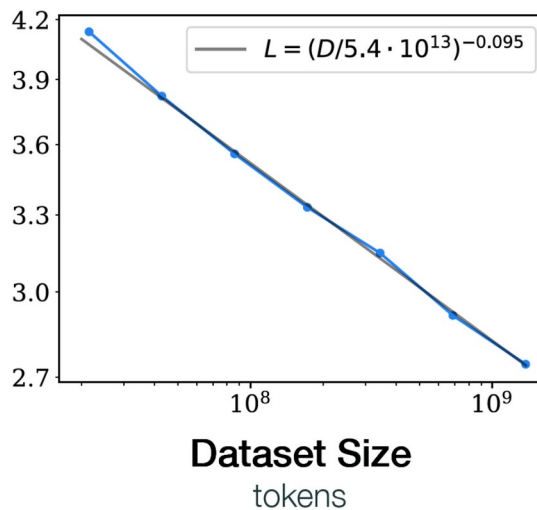
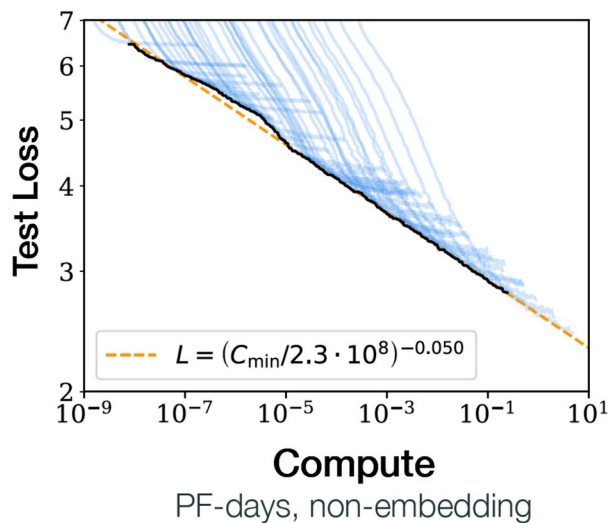


Foundation models: larger scale, stronger function



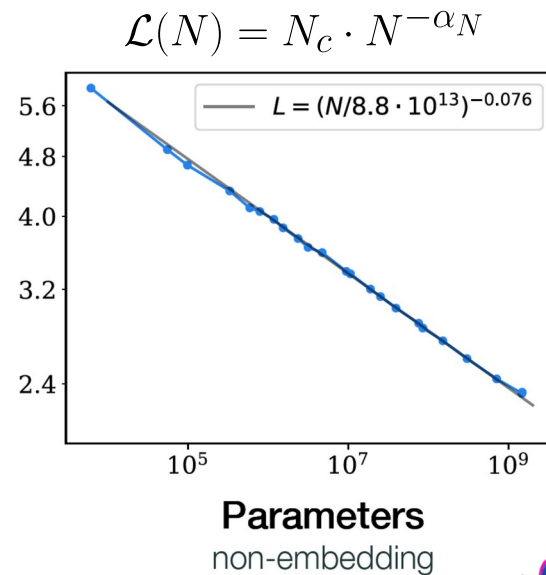
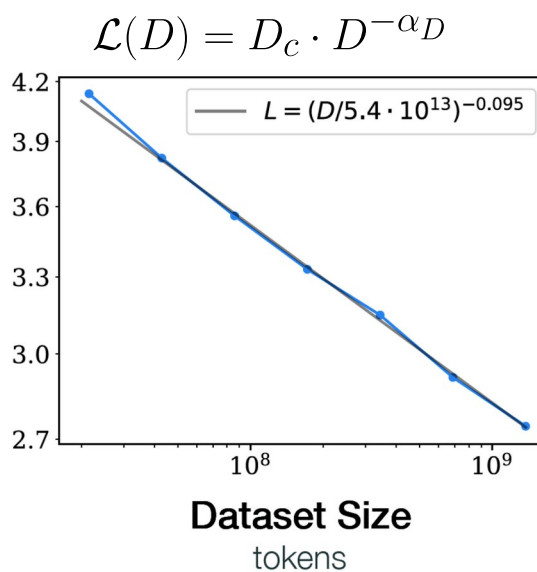
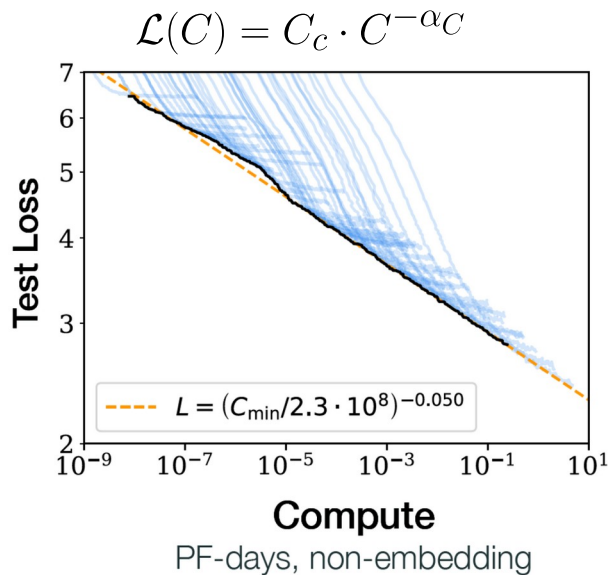
Foundation models: scaling laws

- **Scaling Laws:** larger model, data and compute scale during pre-training – **stronger generalization & transferability**
- **No change** in core algorithmic procedure required! Scaling up alone improves important core functions



Foundation models: scaling laws

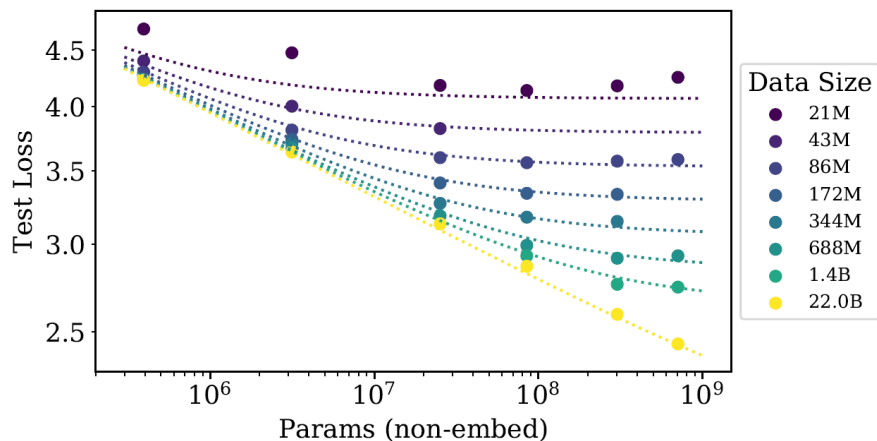
- **Scaling Laws:** predicting model properties and function across scales



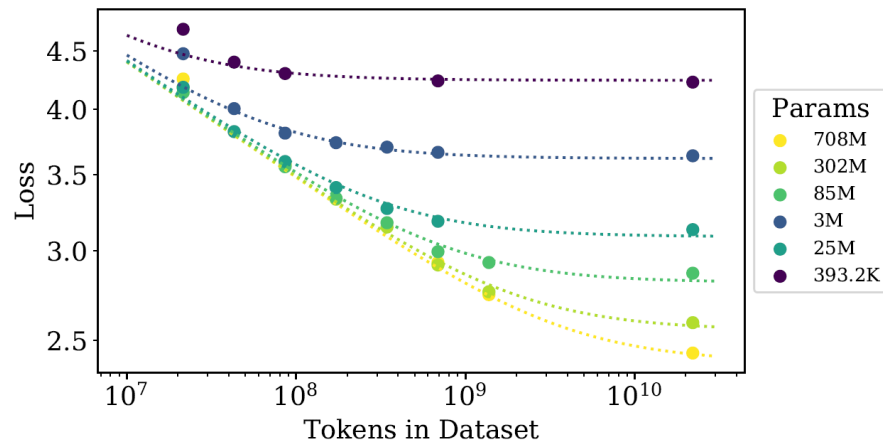
Foundation models: scaling laws

- **Scaling Laws:** predicting model properties and function across scales
- Bottlenecks: imposing limit on a scale prevents improvement when increasing others

$$\mathcal{L}(N) = N_c \cdot N^{-\alpha_N}$$

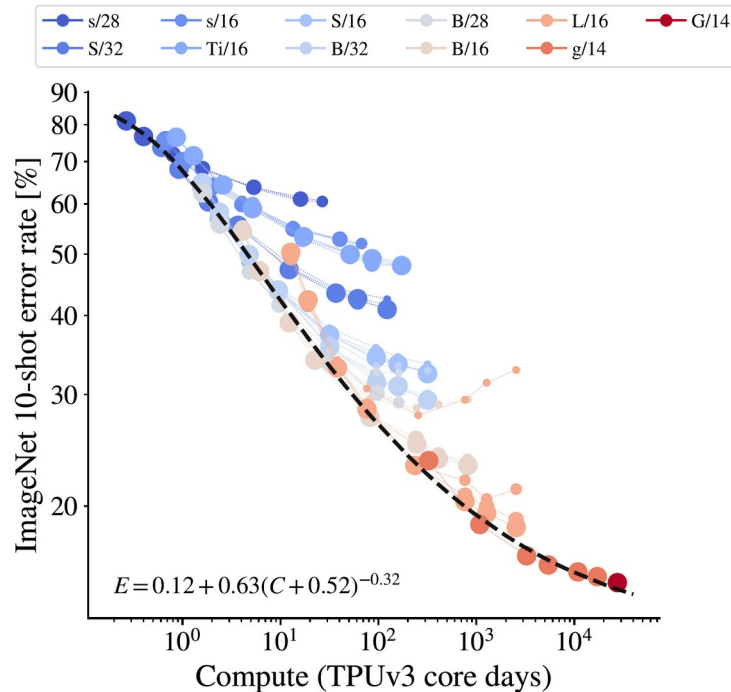
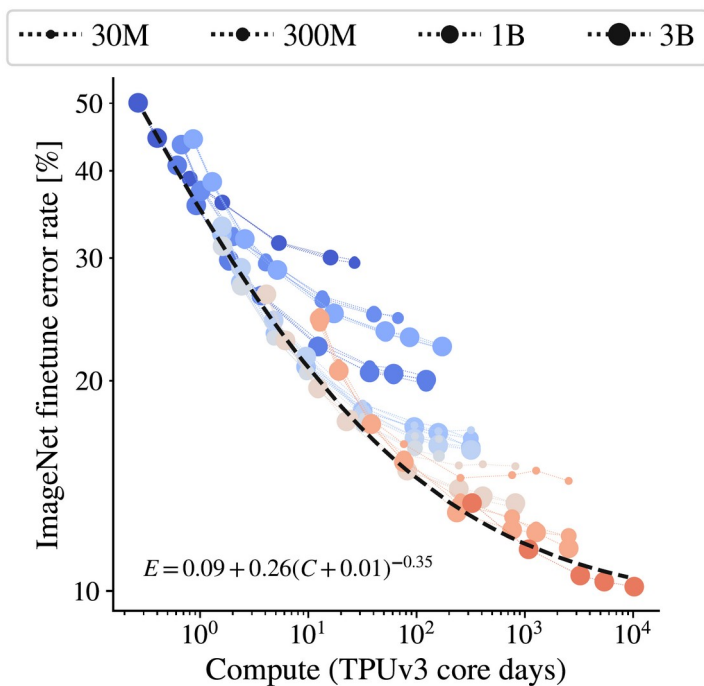


$$\mathcal{L}(D) = D_c \cdot D^{-\alpha_D}$$



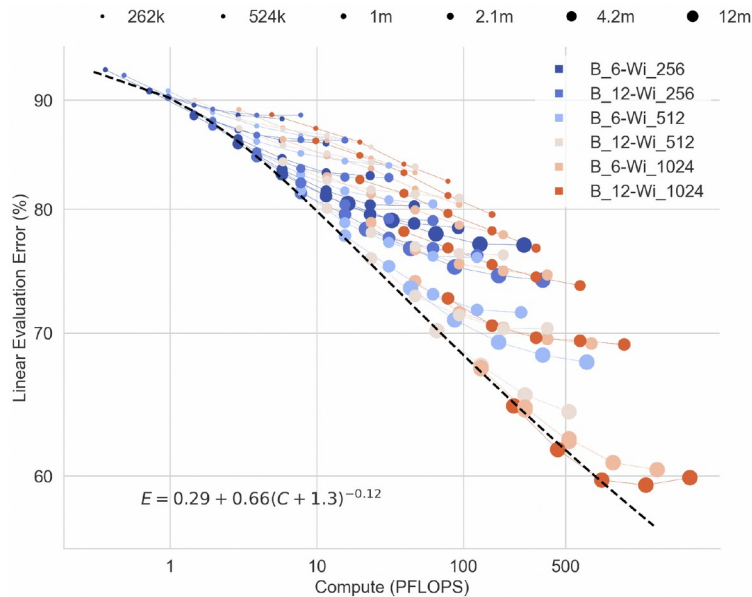
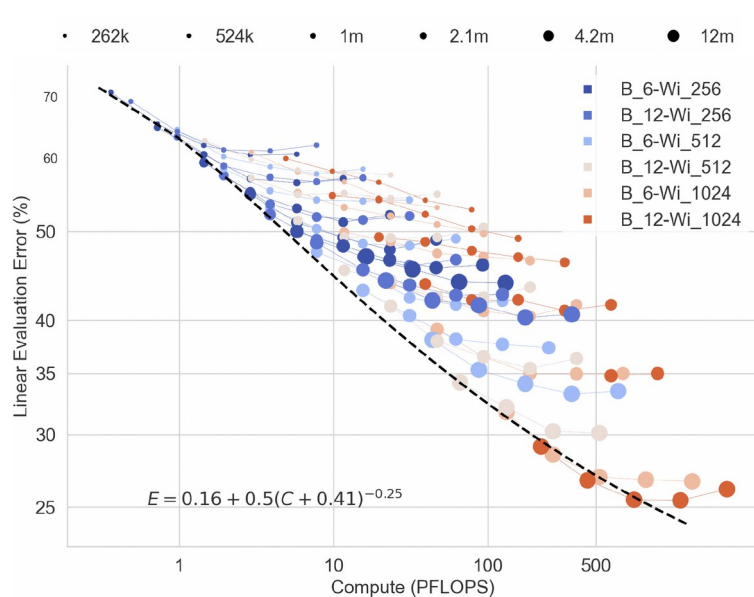
Foundation models: scaling laws

- Scaling Laws: exist for various generalist learning procedures
- Example: Supervised classification, ViT (JFT-3B dataset)



Foundation models: scaling laws

- Scaling Laws: exist for various generalist learning procedures
- Example: Supervised classification, plain MLPs (CIFAR100, ImageNet-1k)

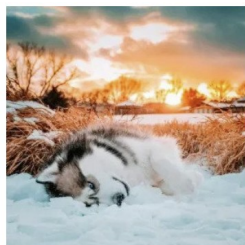


Foundation models: scaling laws

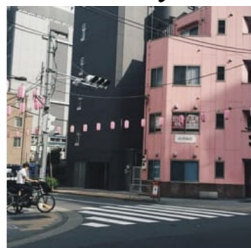
- Example: CLIP – language-vision foundation model, contrastive multi-modal loss
- **self-supervised** language-vision learning (scalable data – public web scale)



C: Green Apple Chair



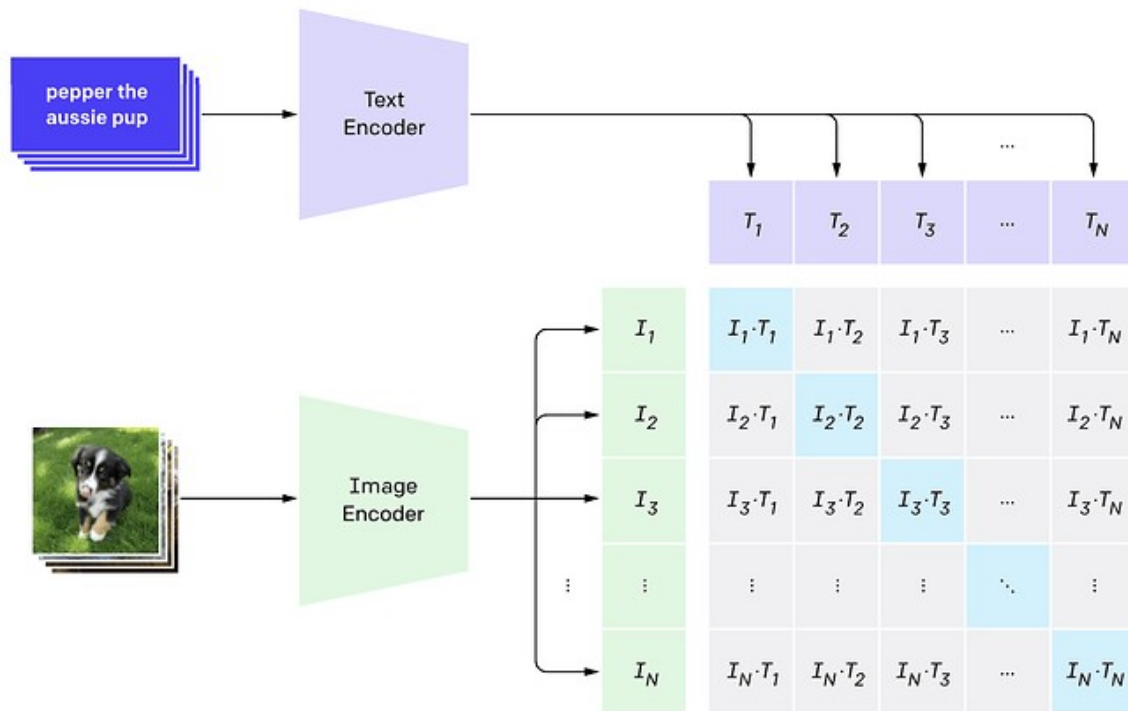
C: sun snow dog



C: pink, japan, aesthetic image

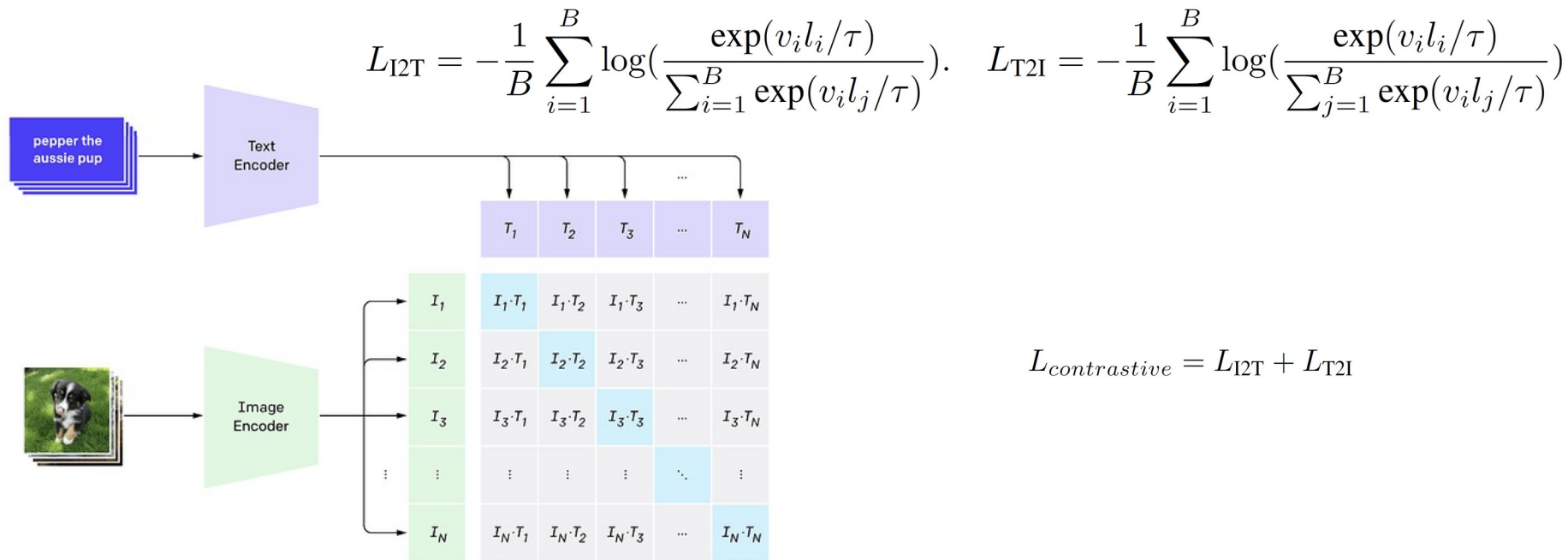


C: french cat



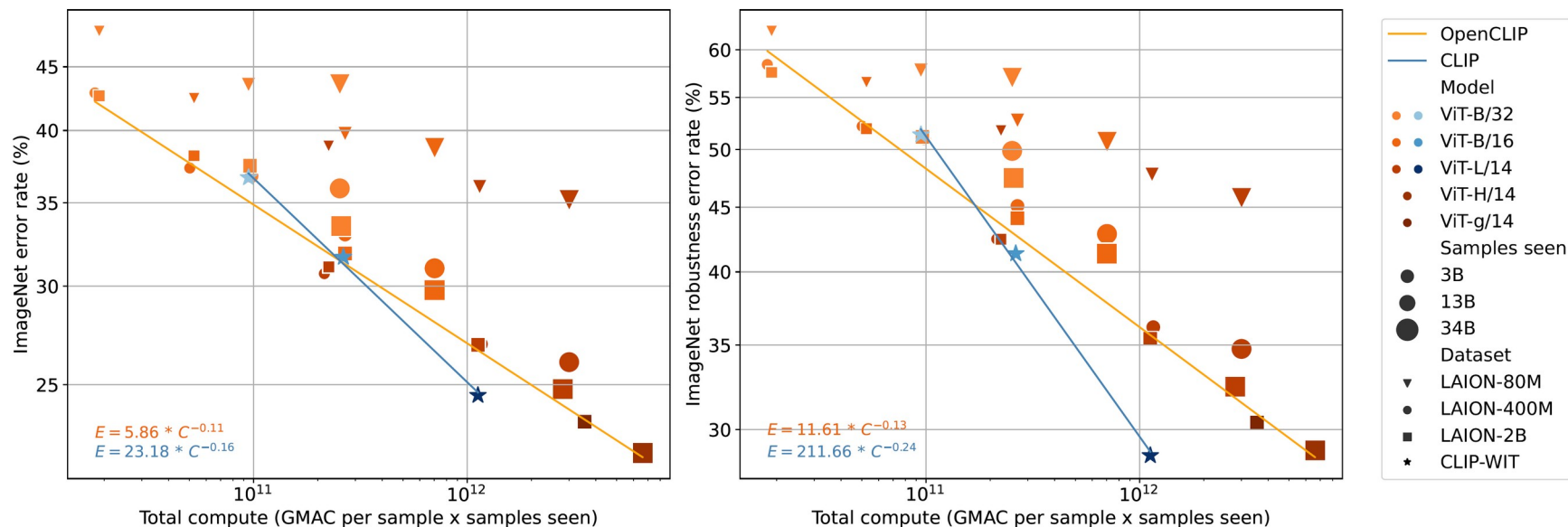
Foundation models: scaling laws

- Self-supervised language-vision learning (web-scale data)
- Contrastive image-text pair based loss (positive & negative pairs)



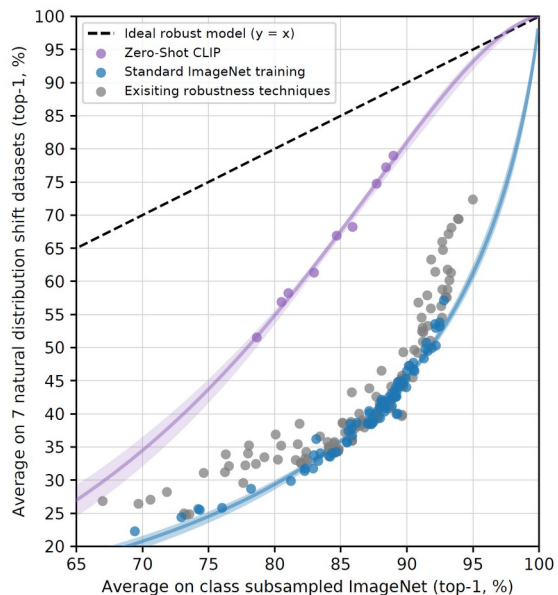
Reproducible scaling laws for foundation models

- Scaling laws with LAION and openCLIP: open-source data, models and code - reproducible science of foundation models



Scaling laws: predicting transfer improvement

- CLIP: Out-of-distribution robustness for strong transfer from contrastive language-vision learning

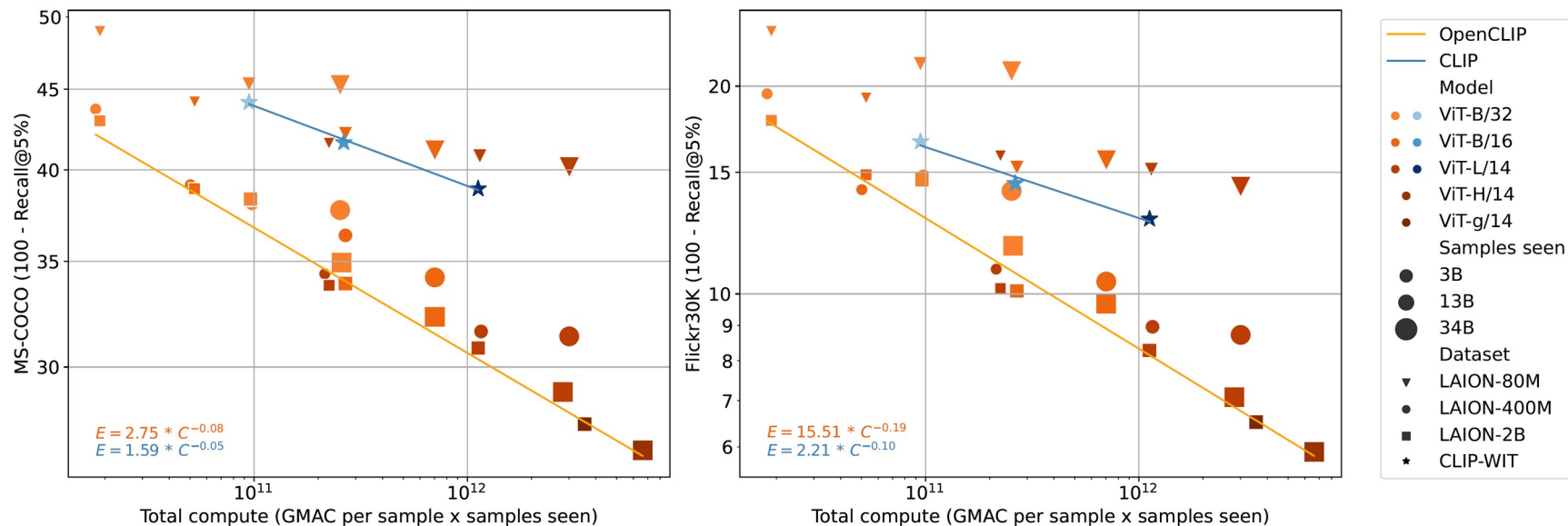


		Dataset Examples			ImageNet ResNet101	Zero-Shot CLIP	Δ Score
ImageNet					76.2	76.2	0%
ImageNetV2					64.3	70.1	+5.8%
ImageNet-R					37.7	88.9	+51.2%
ObjectNet					32.6	72.3	+39.7%
ImageNet Sketch					25.2	60.2	+35.0%
ImageNet-A					2.7	77.1	+74.4%



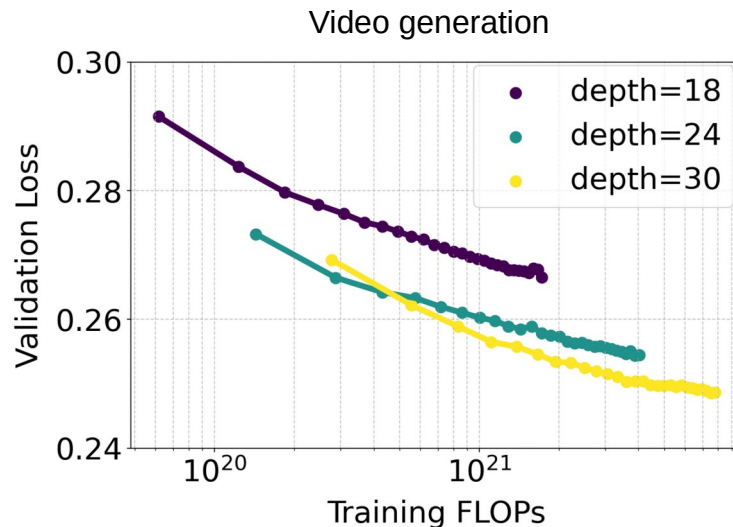
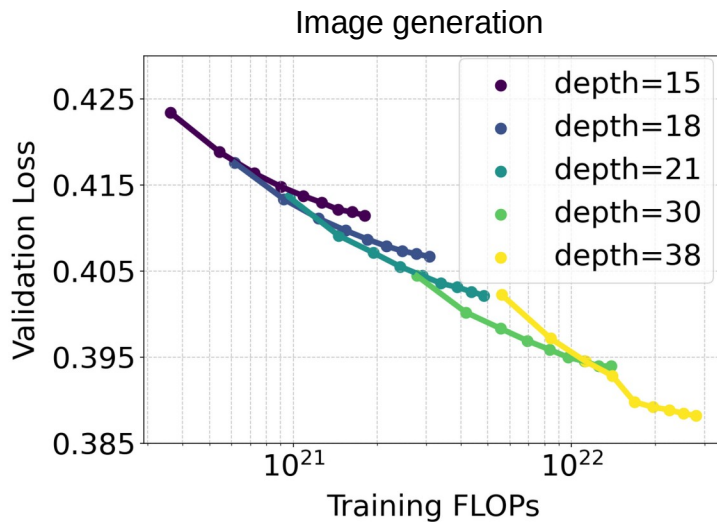
Reproducible scaling laws

- Scaling laws: zero-shot image retrieval, MS-COCO & Flickr30K



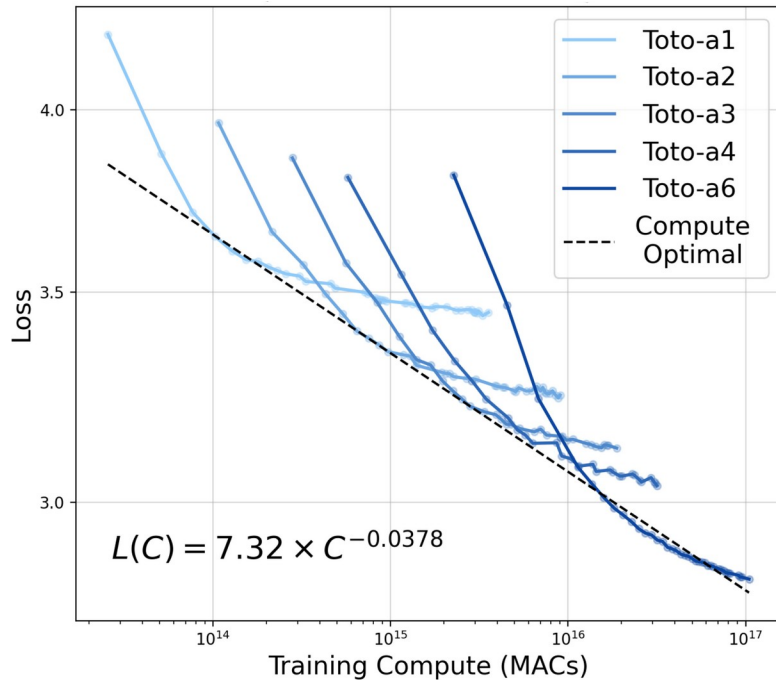
Foundation models: scaling laws

- Example: rectified flow transformers, diffusion models, image and video generation (as used in FLUX models, Black Forest Labs)
- Diffusion/flow based losses, DiT variants architecture



Foundation models: scaling laws

- Scaling law: derivation requires measurements on **well tuned** (hyperparams) configurations across scales span



Power law: loss-total compute dependency

$$\mathcal{L}(C) = C_c \cdot C^{-\alpha_C} + L_\epsilon$$

Power law: loss-(model scale, data samples) dependency

$$\mathcal{L}(N, D) = N_c \cdot N^{-\alpha_N} + D_c \cdot D^{-\alpha_D} + L_\epsilon$$

Example: openCLIP ViT, image-text samples

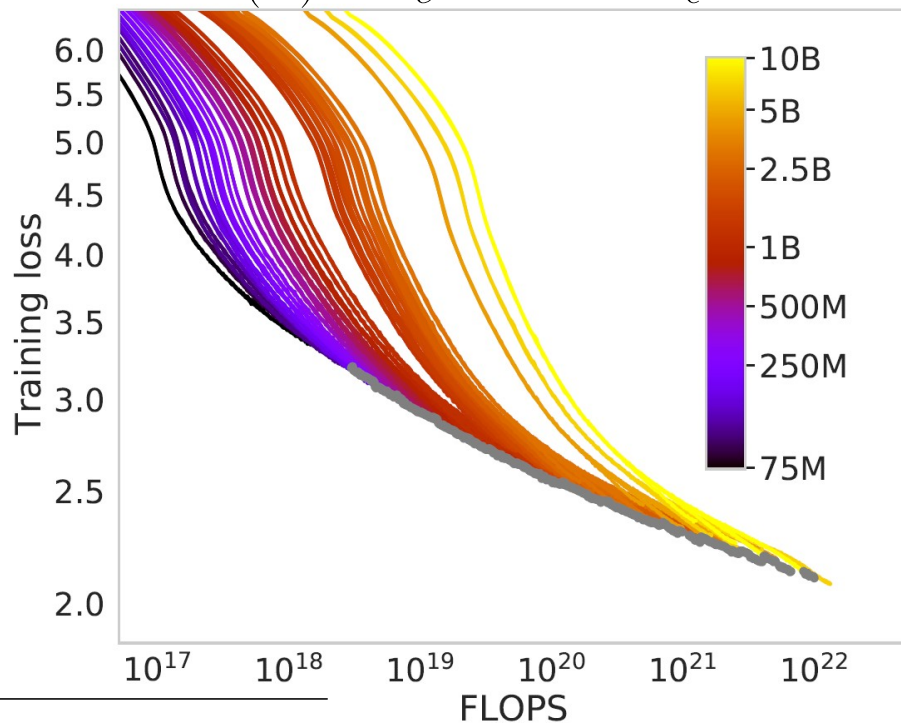
Model N / Data (D)	B/32 (100M)	B/16 (150M)	L/14 (300M)	H/14 (600M)	g/14 (1B)	G/14 (1.8B)
12.8M	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)
128M	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)
1.28B	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)
12.8B	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)

Foundation models: scaling laws

- Scaling law: prediction

Min Loss-total compute scaling law

$$\mathcal{L}(C) = C_c \cdot C^{-\alpha_C} + L_\epsilon$$



Approx. for compute, for LM $C=6ND$ (Kaplan et al, 2020)

$$C = \xi ND$$

- Measure loss for various N, D combinations
 - Eg, fix N, go through increasing D
- For each $C(N,D)$, get min $L(C(N,D))$
- Fit $L(C)$ through those points

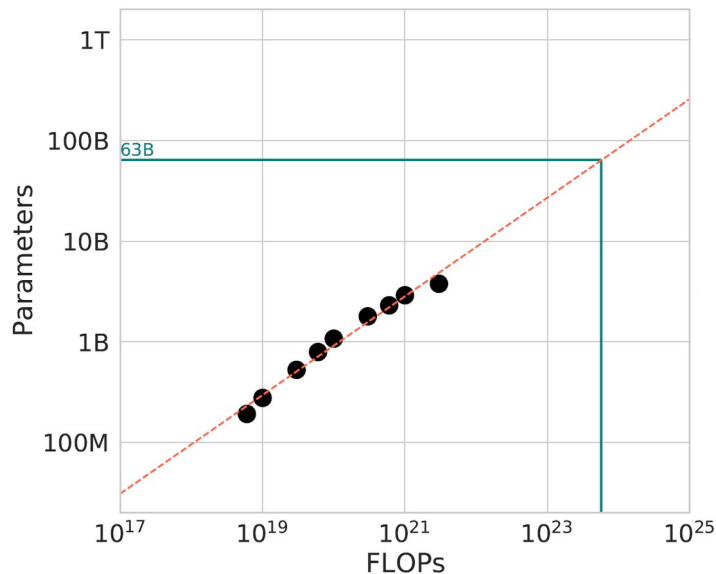
LM, text data tokens

Model N / Data (D)	75M	250M	500M	1B	2.5B	5B	10B
10B	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)
20B	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)
50B	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)
100B	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)
300B	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)	L(N,D)

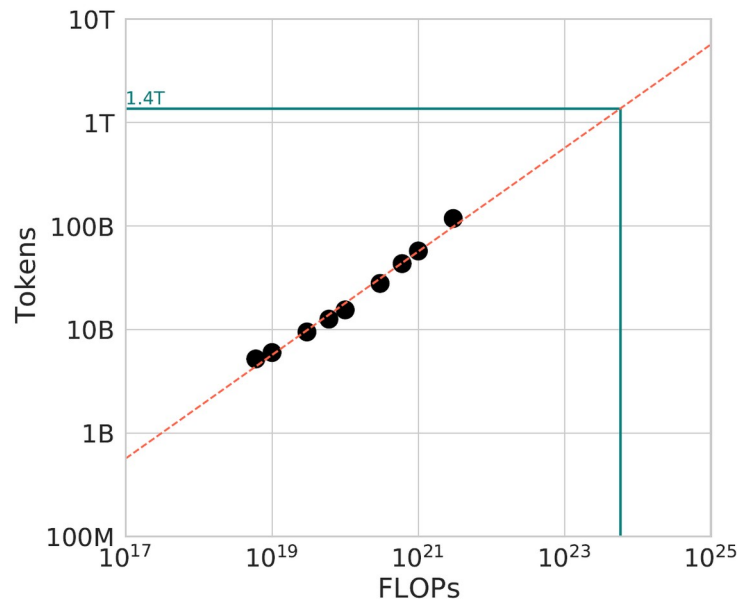
Foundation models: scaling laws

- Power law predicting N^* , D^* for a given compute C to gain min loss L
- Scaling coeff a , b : how much of total compute to put into params or samples seen

$$N^*(C) = G \left(\frac{C}{\xi} \right)^a$$



$$D^*(C) = G^{-1} \left(\frac{C}{\xi} \right)^b$$



Foundation models: scaling laws

- Power laws predicting N^* , D^* for a given compute C to gain min loss L
- Scaling coeff a , b : tell how much of compute to put into params or samples seen
- $a=b$: params and data should grow with same rate (Hoffmann et al)
- $a > b$: model params should grow faster; more compute into model scale (Kaplan)
- Discrepancy Kaplan vs Hoffmann: same procedure, why different estimates?

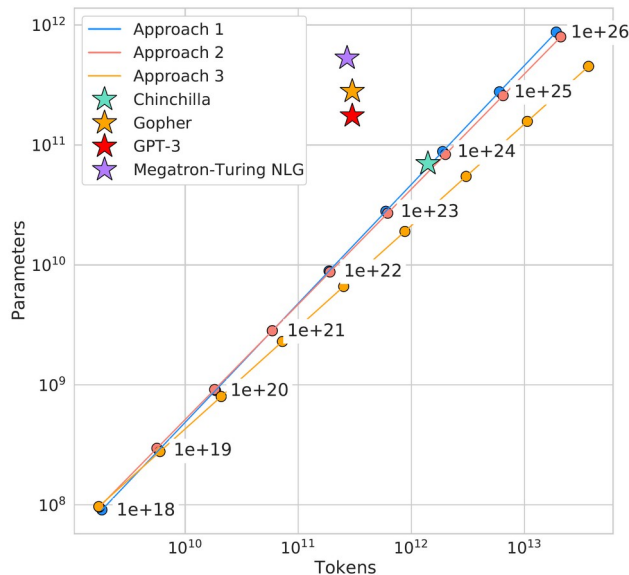
$$N^*(C) = G \left(\frac{C}{\xi} \right)^a \quad D^*(C) = G^{-1} \left(\frac{C}{\xi} \right)^b$$

Approach	Coeff. a where $N_{opt} \propto C^a$	Coeff. b where $D_{opt} \propto C^b$
1. Minimum over training curves	0.50 (0.488, 0.502)	0.50 (0.501, 0.512)
2. IsoFLOP profiles	0.49 (0.462, 0.534)	0.51 (0.483, 0.529)
3. Parametric modelling of the loss	0.46 (0.454, 0.455)	0.54 (0.542, 0.543)
Kaplan et al. (2020)	0.73	0.27

Foundation models: scaling laws

- Discrepancy Kaplan vs Hoffmann: different estimates give different predictions - has to be resolved

Prediction Kaplan et al : 3T! ...



Parameters	FLOPs	FLOPs (in <i>Gopher</i> unit)	Tokens
400 Million	1.92e+19	1/29,968	8.0 Billion
1 Billion	1.21e+20	1/4,761	20.2 Billion
10 Billion	1.23e+22	1/46	205.1 Billion
67 Billion	5.76e+23	1	1.5 Trillion
175 Billion	3.85e+24	6.7	3.7 Trillion
280 Billion	9.90e+24	17.2	5.9 Trillion
520 Billion	3.43e+25	59.5	11.0 Trillion
1 Trillion	1.27e+26	221.3	21.2 Trillion
10 Trillion	1.30e+28	22515.9	216.2 Trillion

Kaplan et al : 300B! ...
BLOOM followed that ...

$$N^*(C) = G \left(\frac{C}{\xi} \right)^a \quad D^*(C) = G^{-1} \left(\frac{C}{\xi} \right)^b$$

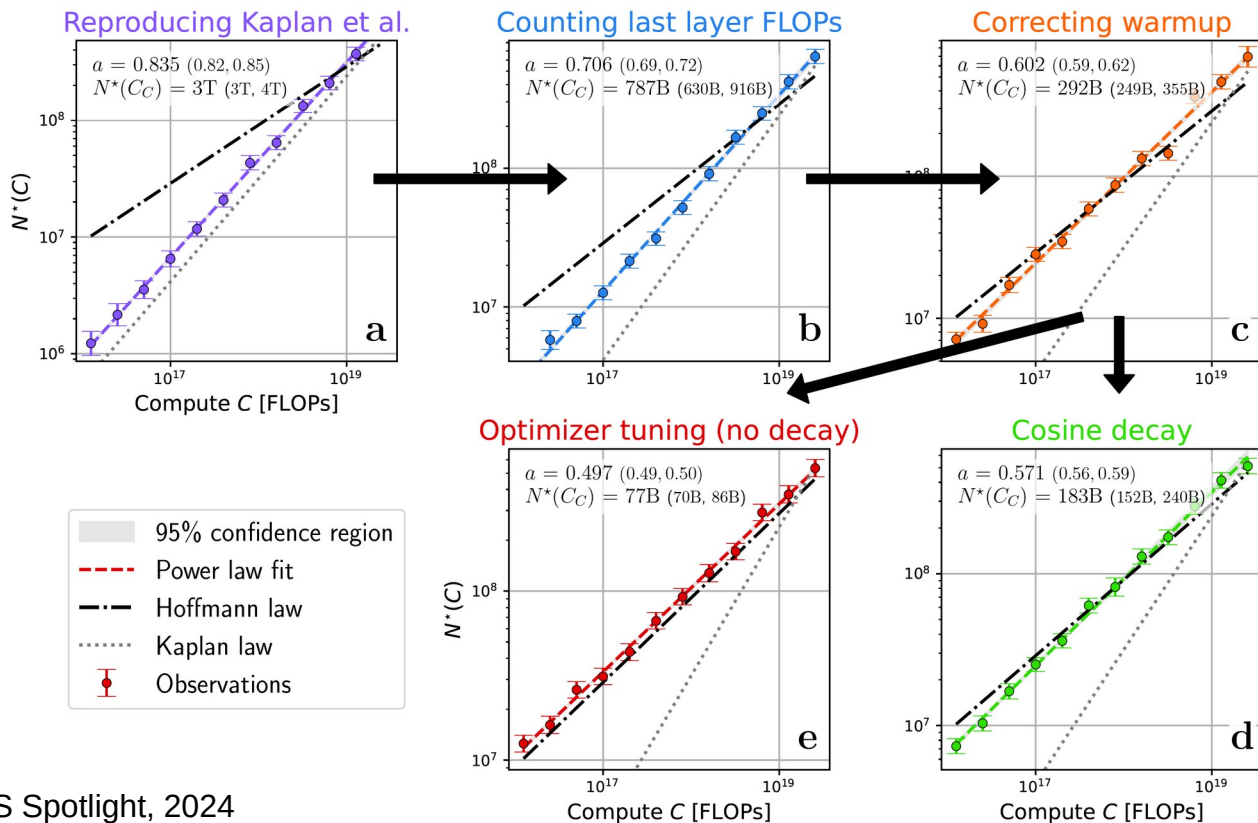
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Kaplan et al. (2020)	0.73	0.27

Foundation models: scaling laws

- Kaplan vs Chinchilla: measured same phenomena, with different measurement procedure

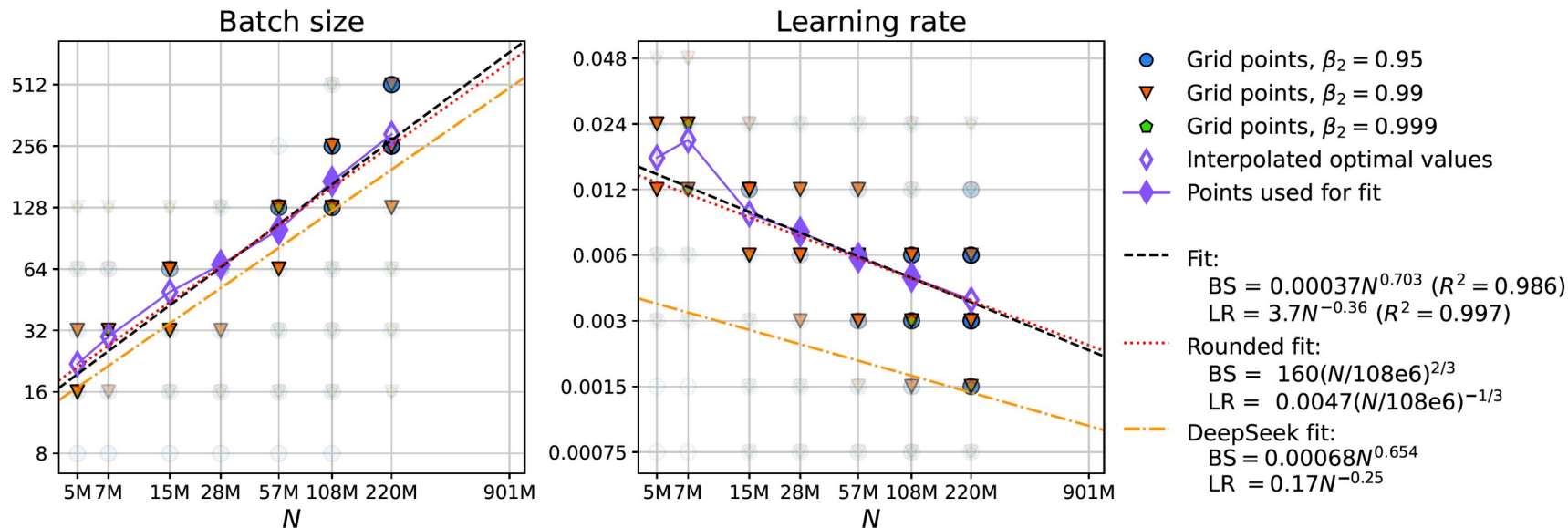
- EXTREMELY IMPORTANT:

TUNE hyperparams for each measurement !



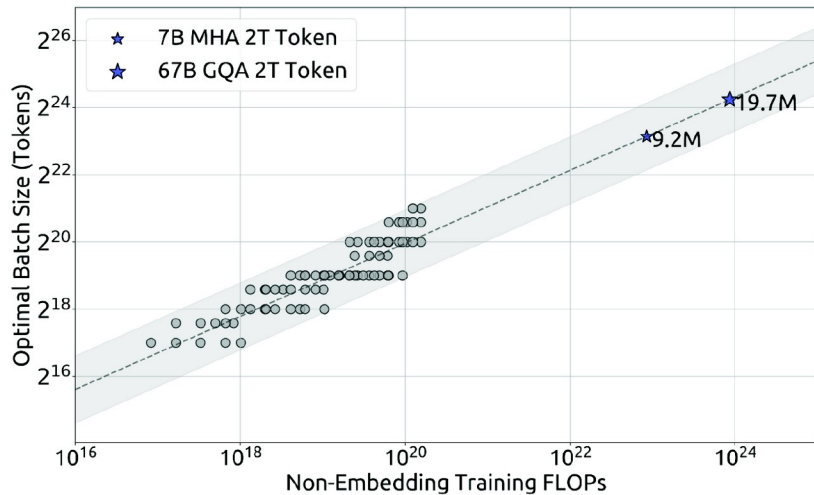
Foundation models: scaling laws

- EXTREMELY IMPORTANT: TUNE hyperparams for each measurement
- Scaling laws for hyperparameter prediction
- Other approaches for prediction, eg muP

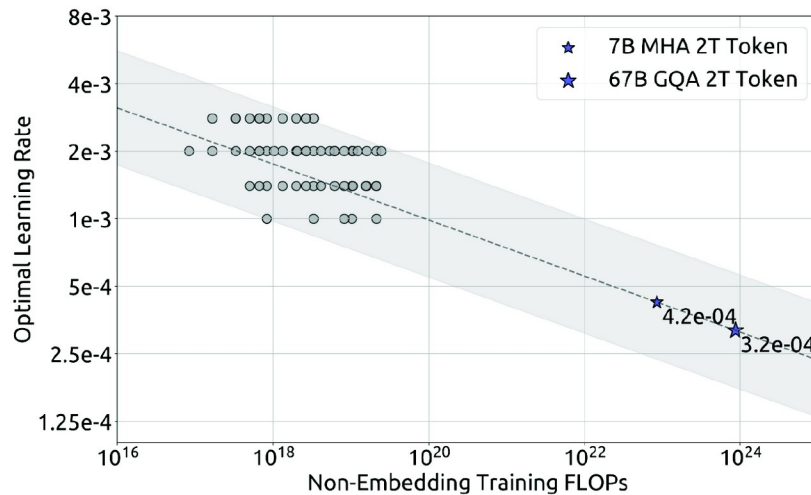


Foundation models: scaling laws

- Scaling law: predicting training/model properties and function
- Predictions are only accurate IF scaling law derivation is done properly!
- EXTREMELY IMPORTANT: TUNE hyperparams for each measurement



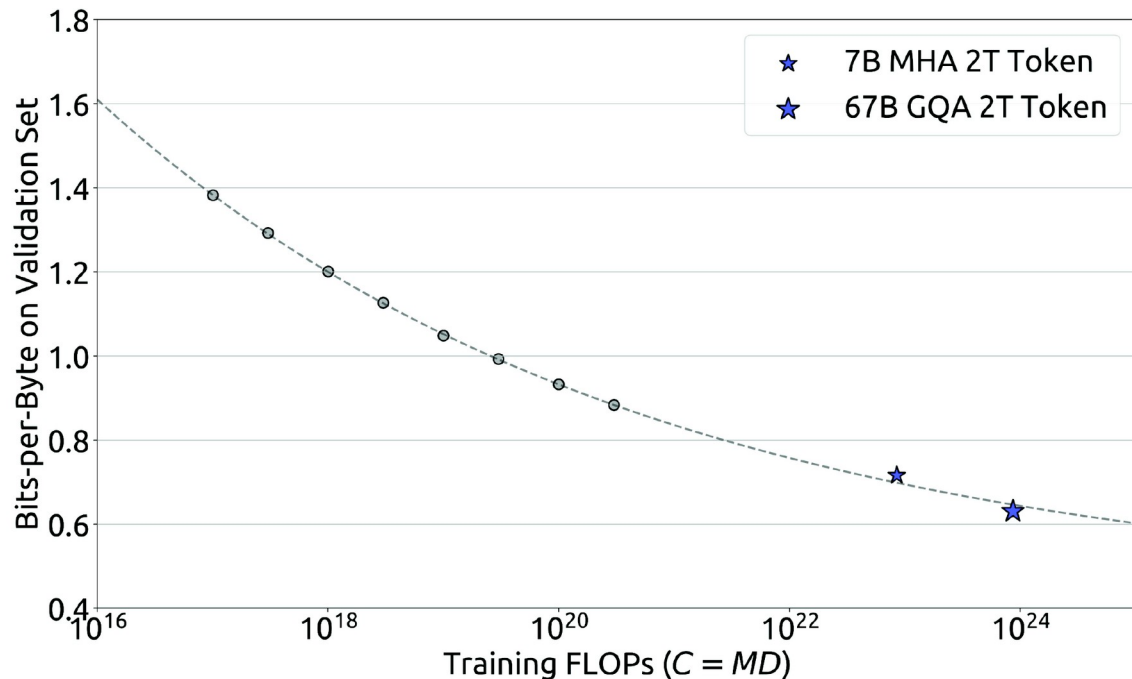
(a) Batch size scaling curve



(b) Learning rate scaling curve

Foundation models: scaling laws

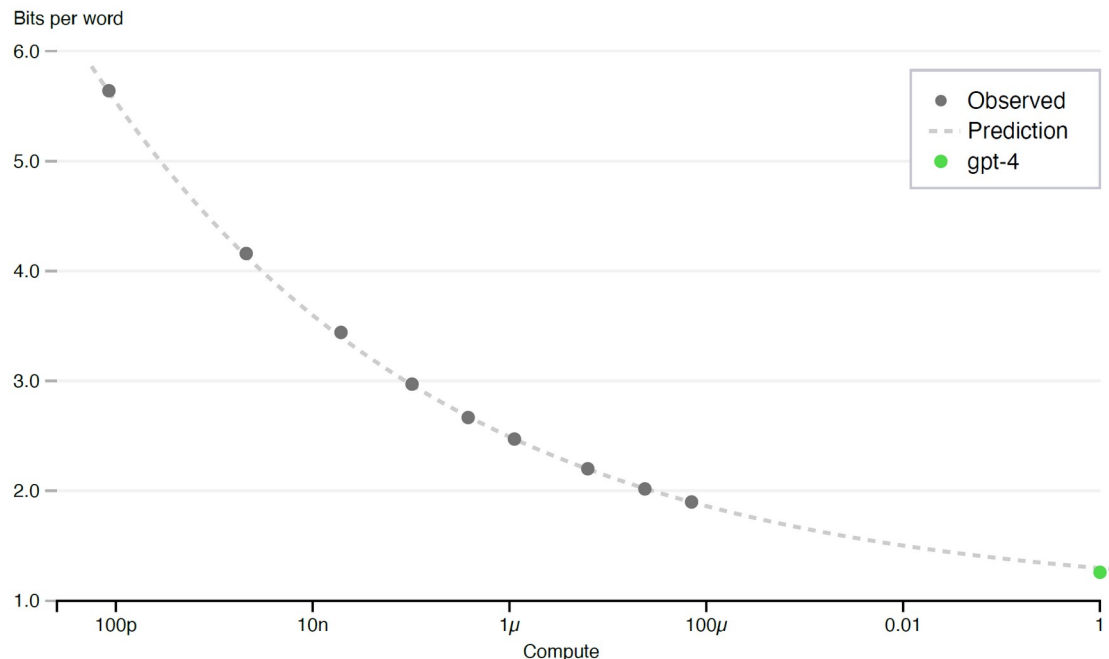
- Scaling law: predicting training/model properties and function
- Predictions are accurate if scaling law derivation is done properly



Foundation models: scaling laws

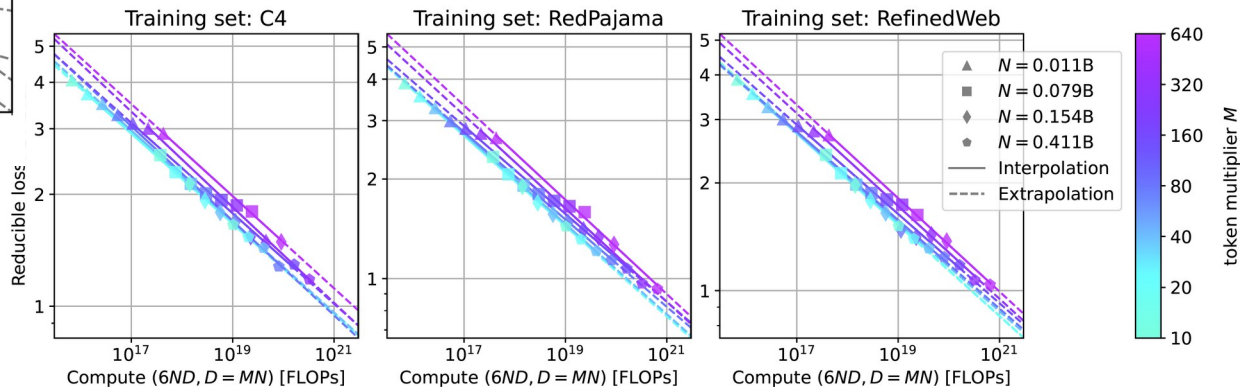
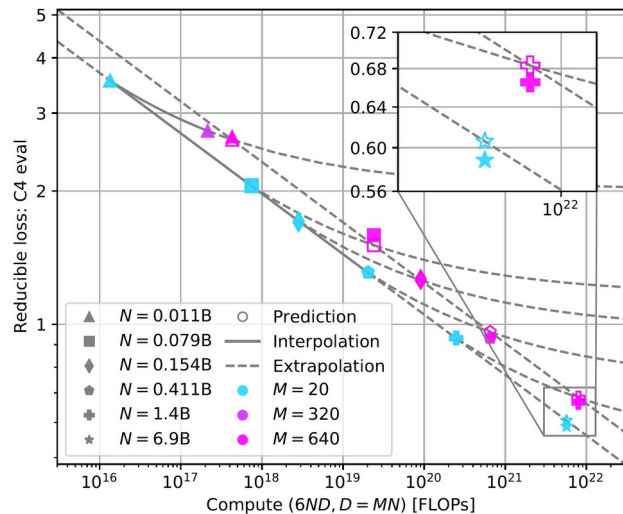
- Scaling law: predicting training/model properties and function
- Predictions are accurate if scaling law derivation is done properly

OpenAI codebase next word prediction



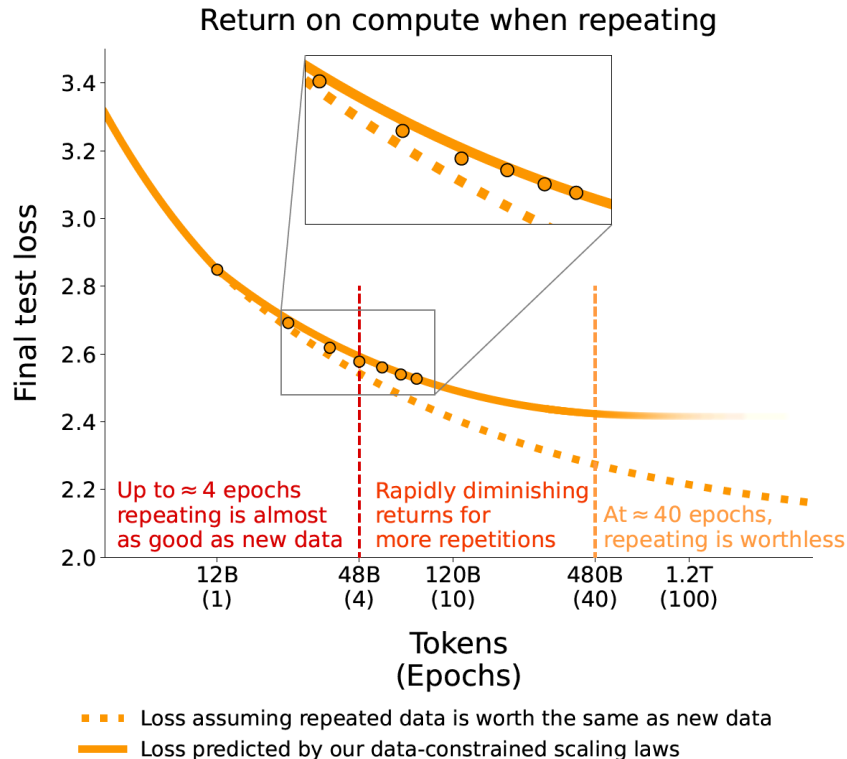
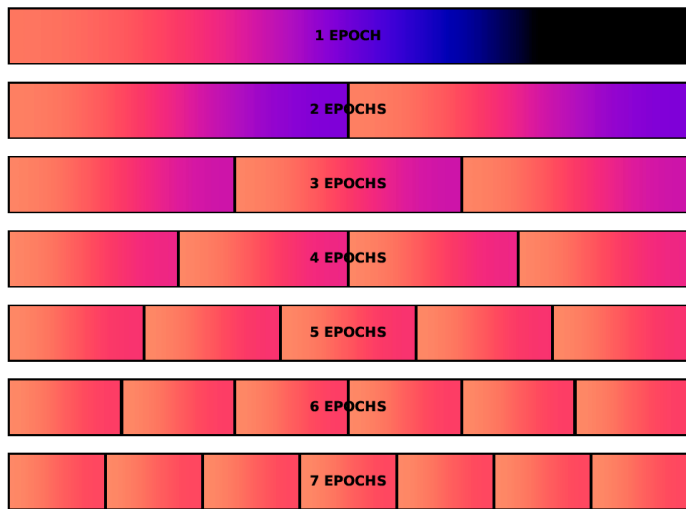
Foundation models: scaling laws

- Scaling law: predicting training/model properties and function
- „Overtraining“ : scaling laws for suboptimal compute budgets



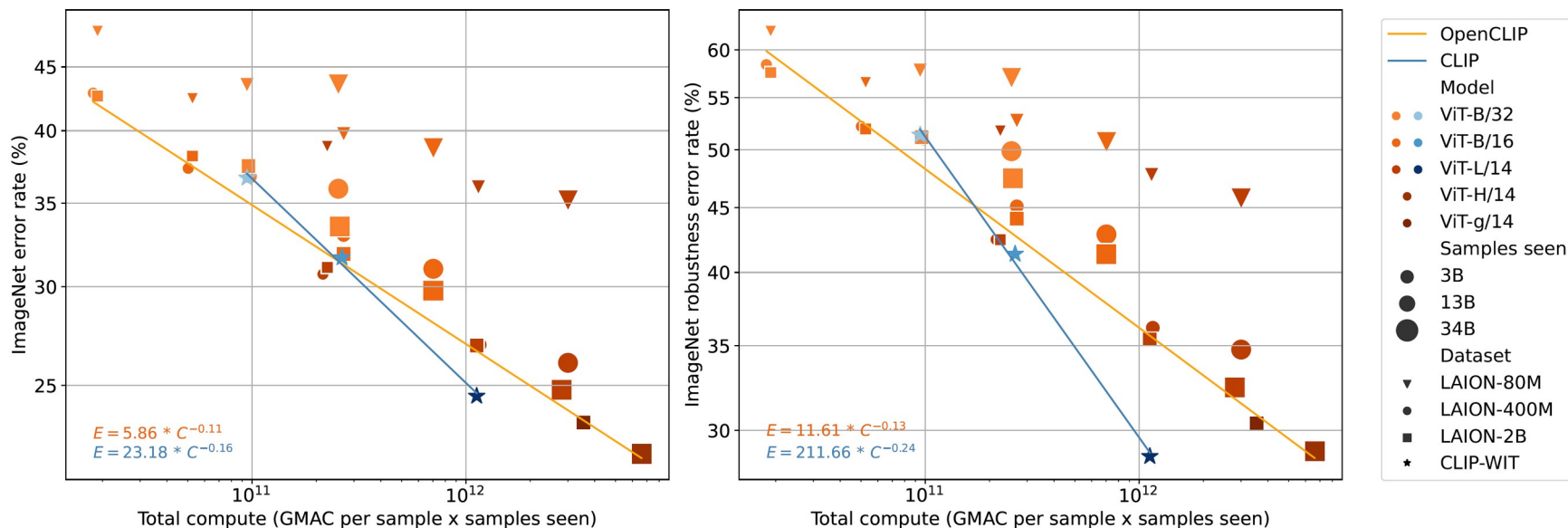
Foundation models: scaling laws

- Scaling law: predicting training/model properties and function
- Repeating data is an important factor



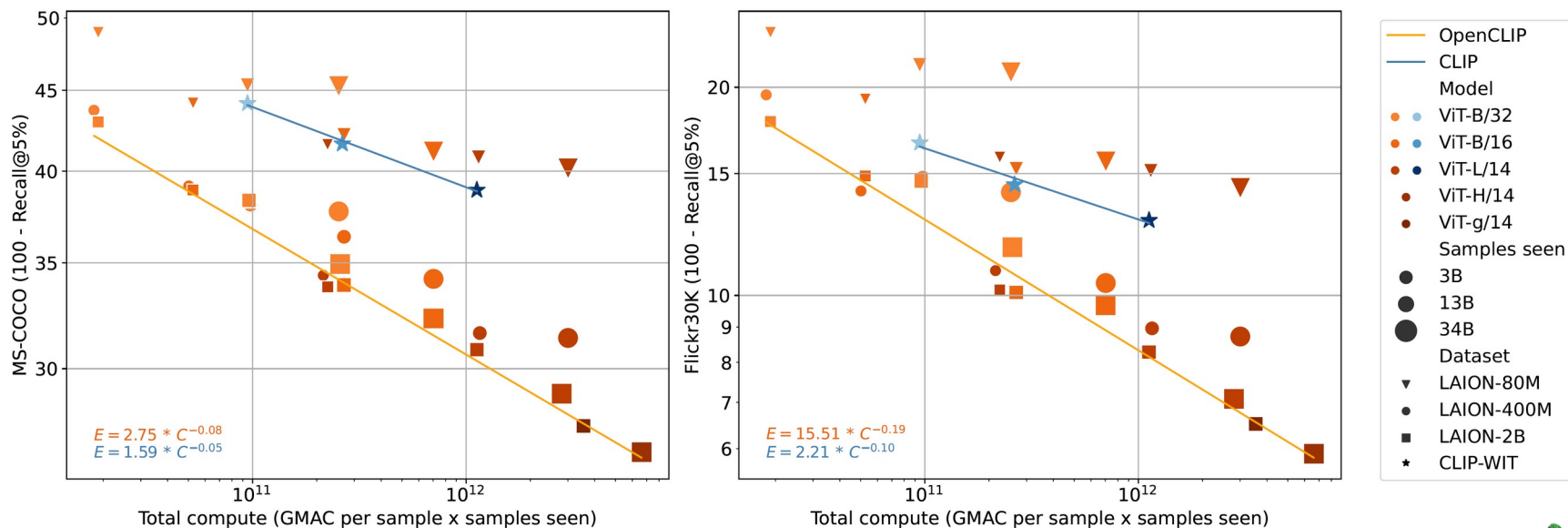
Scaling laws: pre-training procedure comparison

- Example: comparing CLIP pre-training with two different datasets, LAION-400M/2B (LAION) and WIT (openAI)
- Zero-shot ImageNet-1k classification; ImageNet robustness



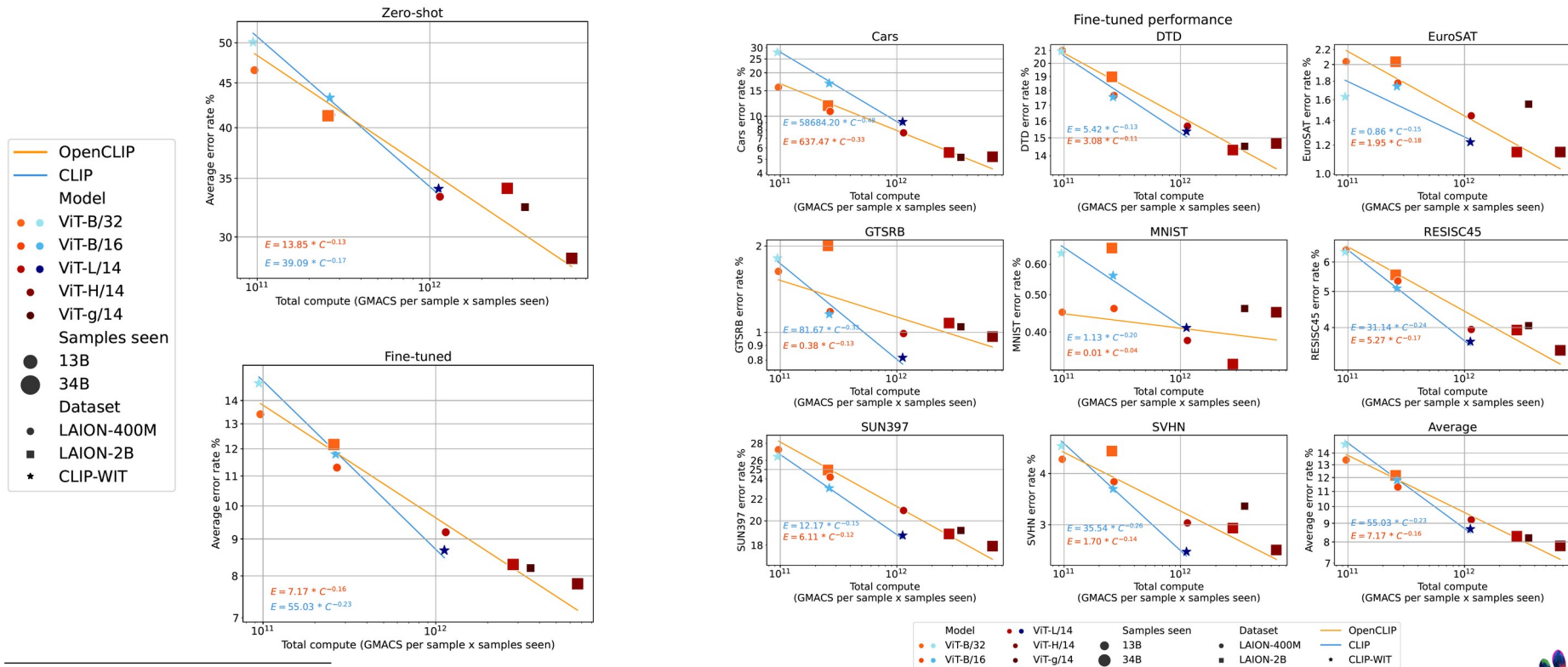
Scaling laws: pre-training procedure comparison

- Example: comparing CLIP pre-training with two different datasets, LAION-400M/2B (LAION) and WIT (openAI)
- Zero-shot image retrieval, MS-COCO & Flickr30K
- Task dependency (classification vs retrieval) revealed



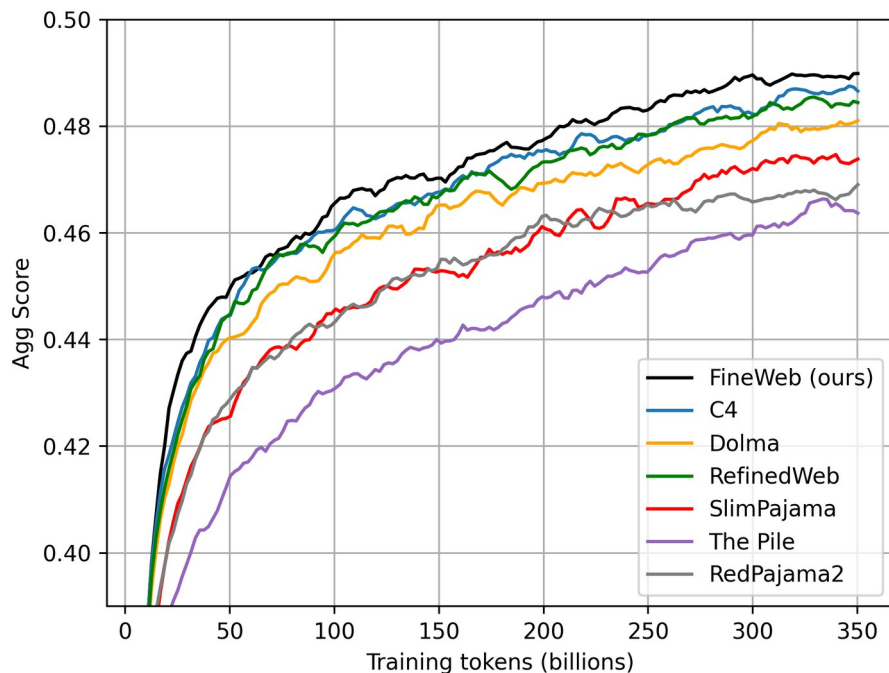
Scaling laws: pre-training procedure comparison

- Scaling laws for various downstream datasets, tasks & transfer procedures



Scaling laws: pre-training procedure comparison

- Principled dataset comparison: what is a better dataset for training?
- Fixed reference scale alone is not enough (here: 1.7B model scale)



Scaling laws: pre-training procedure comparison

- Comparison by deriving full scaling law per dataset. Example : LLMs pre-training with different datasets, DCLM-baselines (DataComp-LM), FineWeb-Edu, OLMO, Llama, RPJ, C4, etc

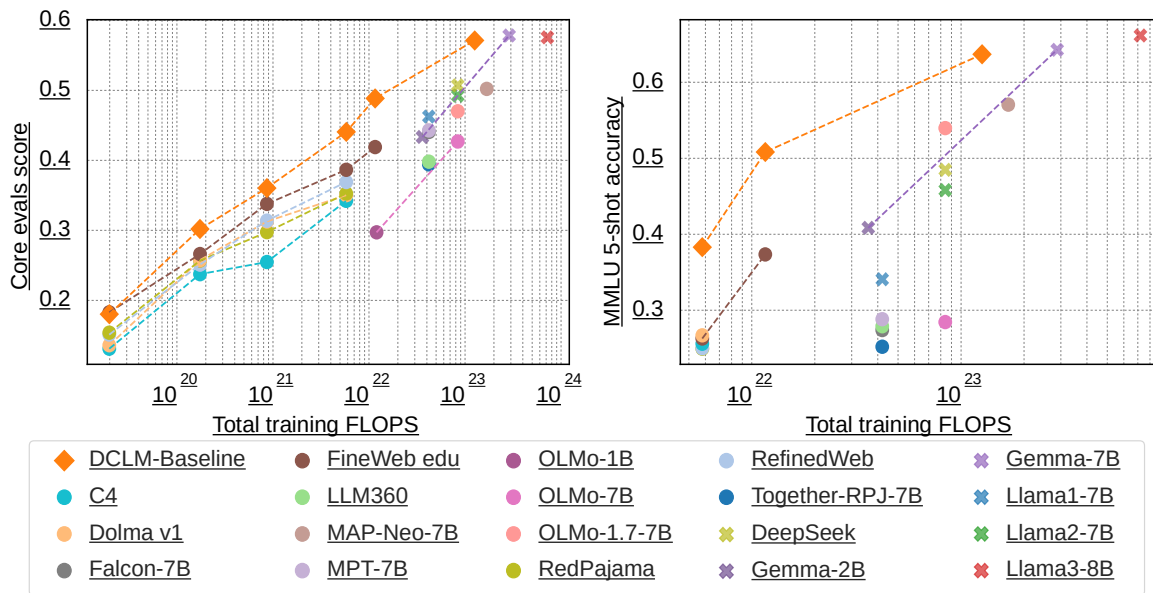


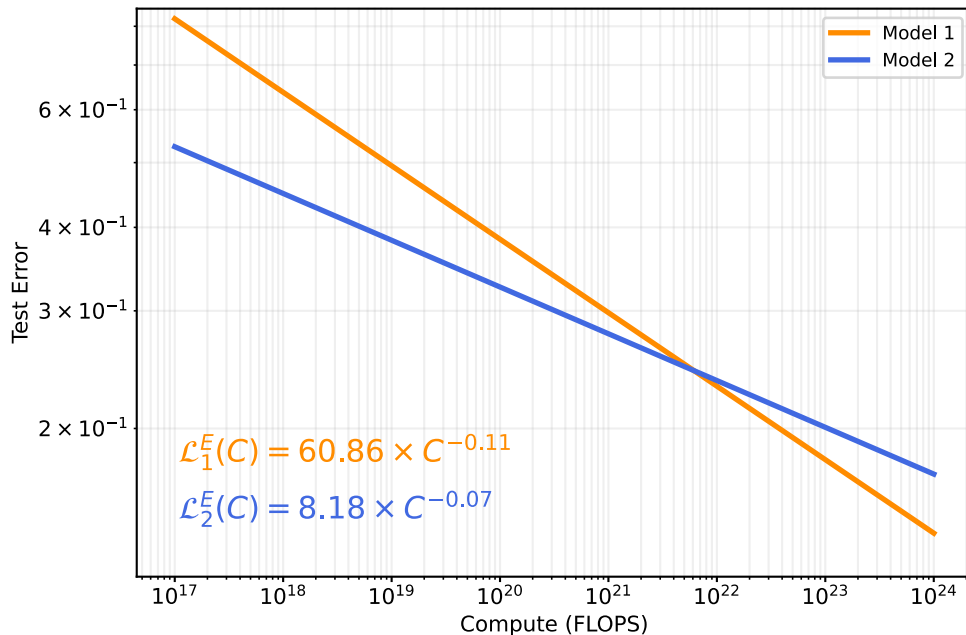
Figure 1: **Improving training sets leads to better models that are cheaper to train.**



Scaling laws: pre-training procedure comparison

- Comparison done properly requires proper scaling law derivation
 - measuring sufficient scaling span (comparison using single points not possible)
 - measuring at scales with sufficient signal (low performance range not predictive)

$$\mathcal{L}(C) = C_c \cdot C^{-\alpha_C} + L_\epsilon$$

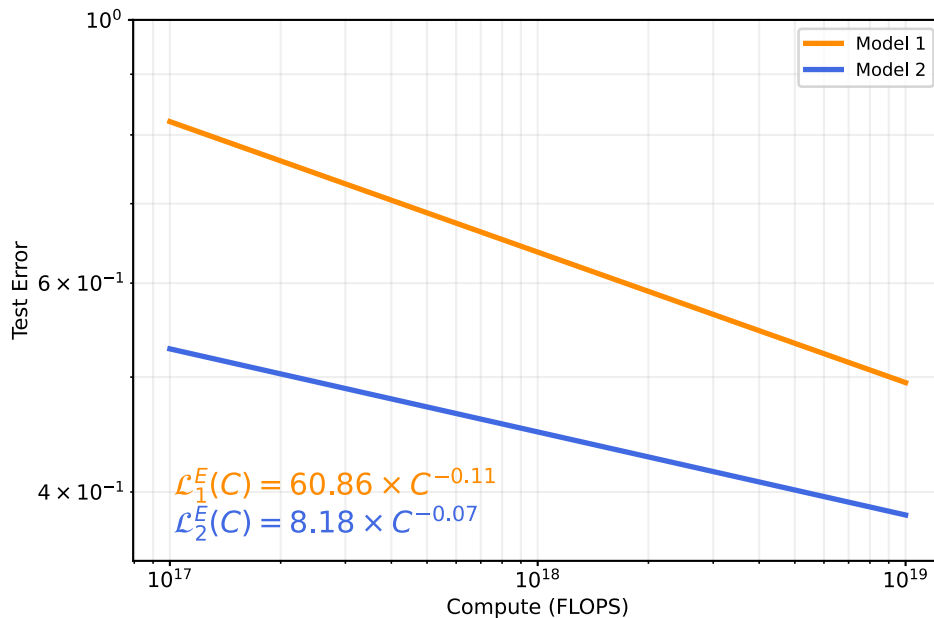


- Model 1 takes over Model 2 at larger compute scales – in higher performance region
- Model 2 outperforms Model 1 at smaller compute scales – in low performance region ...

Scaling laws: pre-training procedure comparison

- Comparison done properly requires proper scaling law derivation
 - measuring sufficient scaling span (comparison using single points not possible)
 - measuring at scales with sufficient signal (low performance range not predictive)

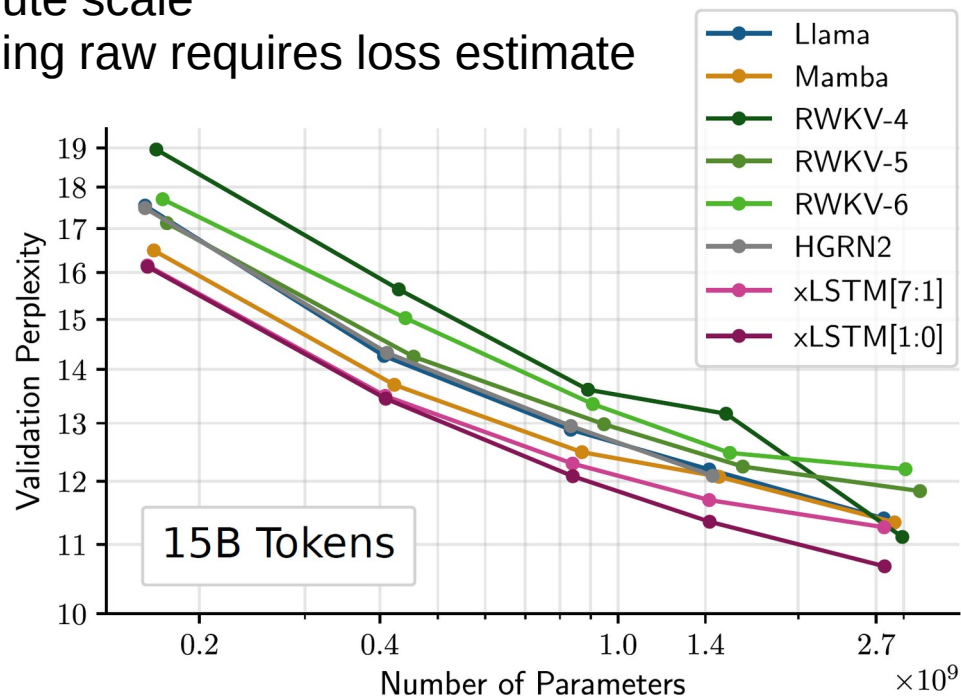
$$\mathcal{L}(C) = C_c \cdot C^{-\alpha_C} + L_\epsilon$$



- Measuring narrow span at smaller scales, Model 2 may look much better than Model 1
- Advantage at poor performance levels is often deceptive
- Common issue of most „toy problem“ study designs: seemingly large advantage of method X turns out to vanish at relevant performance levels

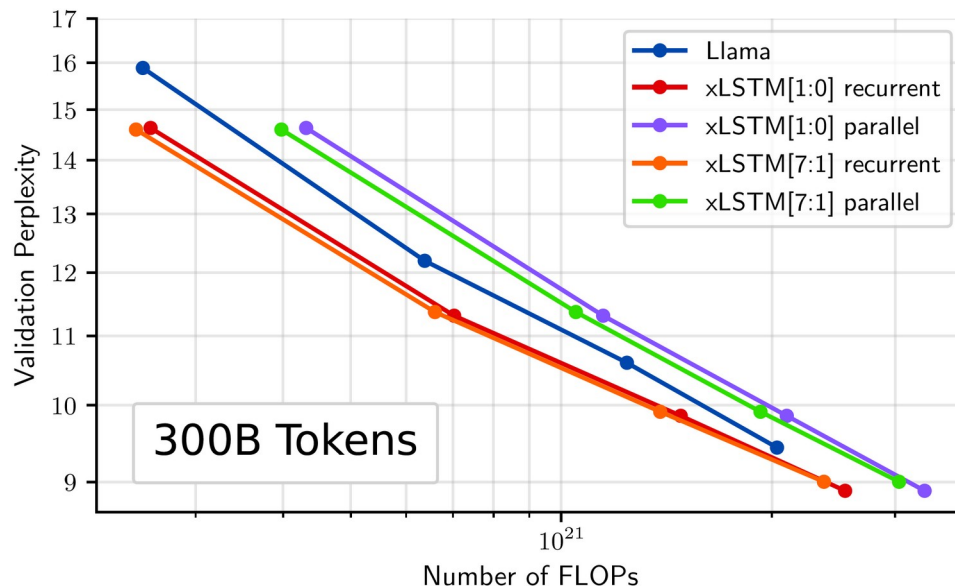
Scaling laws: pre-training procedure comparison

- Improper comparison: example xLSTM
- Comparison in poor performance region: inconclusive
- Small token budget: token/compute scale too small, bottlenecks expected
- No alignment on common compute scale
- Fixed token budget: Proper scaling raw requires loss estimate across combinations of N,D



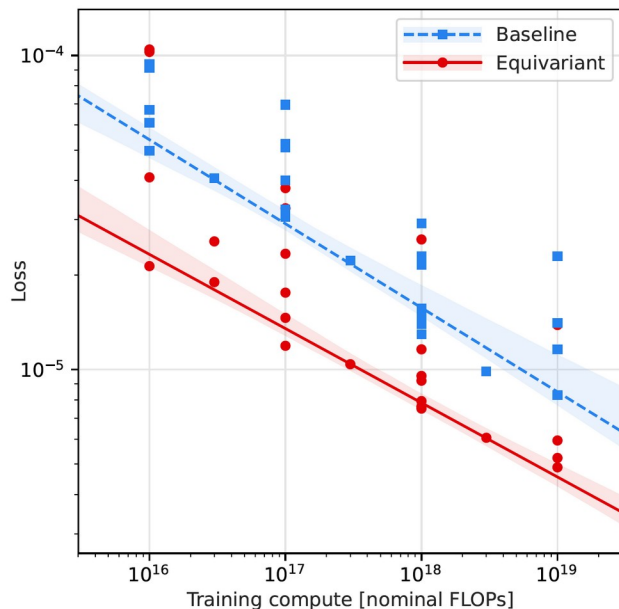
Scaling laws: pre-training procedure comparison

- Improper comparison: example xLSTM
- Fixed token budget 300B: proper scaling raw requires loss estimate across combinations of N,D
- Scale span too narrow (less than 2 orders of magnitude)
- Line crossing probable (Llama taking over xLSTM)



Scaling laws: pre-training procedure comparison

- Insufficient comparison: example strong (equivariance) vs weak inductive biases
- Upper scale compute insufficient for extrapolation
- Line crossing probably (baseline taking over equivariant model)



DOES EQUIVARIANCE MATTER AT SCALE?

Johann Brehmer*

Sönke Behrends

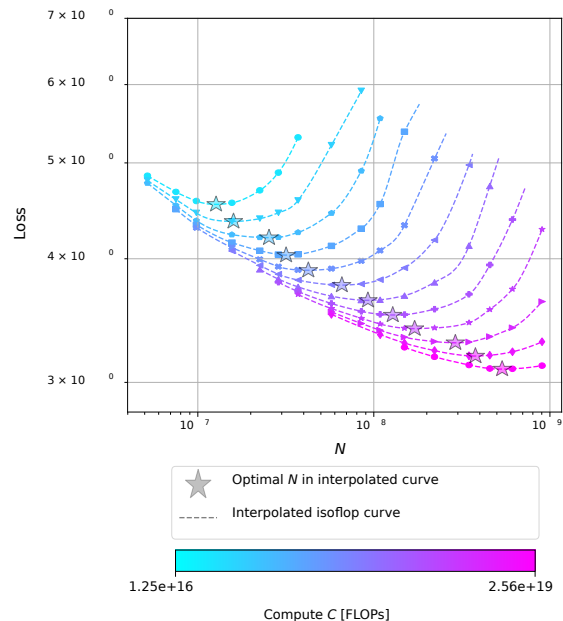
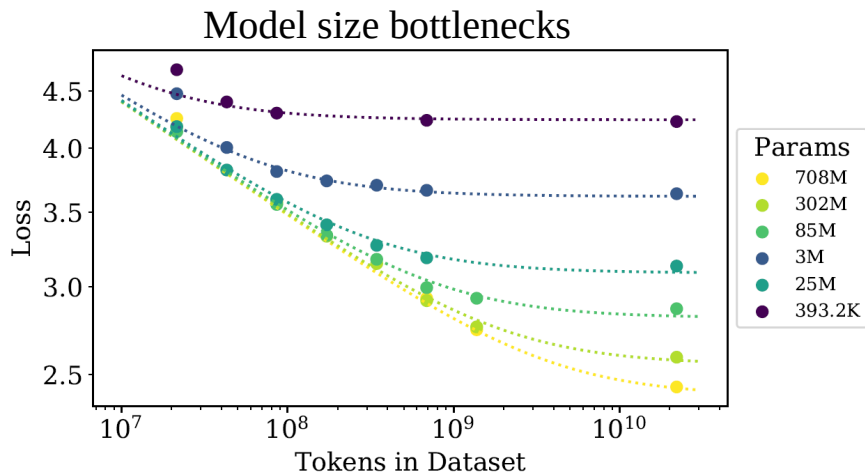
Pim de Haan*

Taco Cohen*

Scaling law	Param.	Baseline			Equivariant		
		Central	Lower	Upper	Central	Lower	Upper
Eq. (2): $\hat{L}(N, D) = A/N^\alpha + B/D^\beta$	A	1.27	0.484	5.07	0.000282	0.000162	0.000607
	B	0.202	0.0108	0.361	469	159	592
	α	0.909	0.832	1.03	0.348	0.293	0.417
	β	0.379	0.256	0.404	0.734	0.689	0.747
Eq. (4): $N^*(C) \propto C^a$	a	0.294	0.215	0.307	0.678	0.619	0.711
	b	0.706	0.693	0.785	0.322	0.289	0.381
Eq. (5): $L^*(C) = F/C^\gamma$	F	1.03	0.124	1.89	0.14	0.0524	0.517
	γ	0.268	0.213	0.284	0.236	0.212	0.267

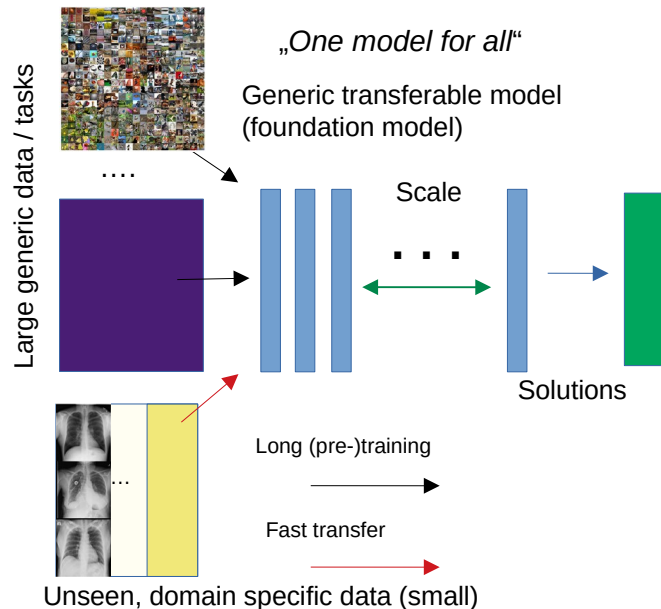
Foundation models: scaling laws

- Studying scaling laws: **imperative** for foundation models research
- Without scaling laws: no way to **properly compare models** across scales, no way to predict what happens on various scale combinations



Foundation models: reproducibility & progress

- **Problem:** research on foundation models & scaling laws executable and reproducible only by few large industry labs (Google; openAI; Microsoft; Facebook; NVIDIA; ...)
- **Important large foundation models:** GPT-3/4, PaLM, DALL-E 2/3, Flamingo, CLIP - **closed to public research**
- **Datasets** used to train those models: **REQUIRED! closed as well**
- **Non-reproducible, intransparent artefacts**



Research communities for open foundation models

- Rise of **grassroot research communities** to open-source and study foundation models & datasets required for their training
- **EleutherAI** (USA, 2020): language – Pile, Pythia, Llama (math)
- **BigScience** (EU, France, 2021): language, code, language-vision – BLOOM, StarCoder, LLaMA (mostly driven by HuggingFace)
- **LAION** (EU, Germany, 2021; **important hub** at **JSC**): multi-modal language-vision, language-audio – LAION-400M/5B, openCLIP, CLAP, openFlamingo, Open Assistant, open-LM, DataComp, Leo-LM
- **Open large datasets and foundation models: reproducibility !**
 - **joint efforts across institutions/organisations boundaries**



JÜLICH
Forschungszentrum

JÜLICH
SUPERCOMPUTING
CENTRE

Open-source foundation models & datasets

- Making **whole pipeline** – dataset composition, model training, benchmarks & evaluation – **fully reproducible**

OPEN-SOURCE

Dataset &
Dataset composition

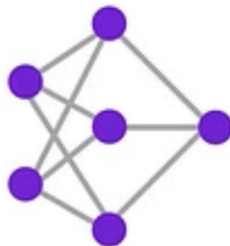


LAION-400M,
LAION-5B,
DataComp-1B

<https://github.com/mlfoundations/datacomp/>

OPEN-SOURCE

Training procedure,
model weights,
checkpoints



OpenCLIP,
openFlamingo

https://github.com/mlfoundations/open_clip

OPEN-SOURCE

Evaluation benchmarks,
downstream transfer procedures



openCLIP
Benchmarks

https://github.com/LAION-AI/CLIP_benchmark/

Supercomputers and experts handling them required!



Open-source foundation models & datasets

- Making **whole pipeline** – dataset composition, model training, benchmarks & evaluation – **fully reproducible**

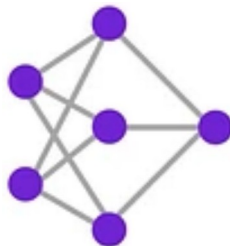
OPEN-SOURCE

Dataset &
Dataset composition



OPEN-SOURCE

Training procedure,
model weights,
checkpoints



OPEN-SOURCE

Evaluation benchmarks, model stress-test
downstream transfer procedures



BigScience



together.ai



Pile,
RedPajama,
Dolma.
DCLM-Baseline

BigScience



Pythia, Together-
INCITE, Olmo.
open-LM



Lm-eval-harness, bigcode-evaluation-
harness, LAION-AIW

<https://github.com/EleutherAI/lm-evaluation-harness>



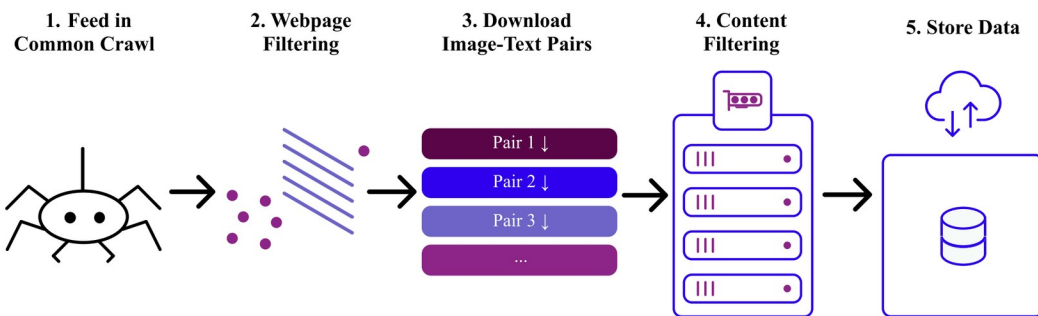
EleutherAI



BigScience

Open large-scale foundation data

- LAION-400M/5B: Open sourcing data collection procedures - transparent dataset, open source toolsets, reproducible training across various scales (**NeurIPS Outstanding Paper Award 2022**)
- Open dataset: collection of text and links to images on public Internet



Dataset	# English Img-Text Pairs
Public Datasets	
MS-COCO	330K
CC3M	3M
Visual Genome	5.4M
WIT	5.5M
CC12M	12M
RedCaps	12M
YFCC100M	100M ²
LAION-5B (Ours)	2.3B
Private Datasets	
CLIP WIT (OpenAI)	400M
ALIGN	1.8B
BASIC	6.6B

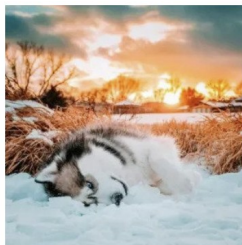


Open large-scale foundation data

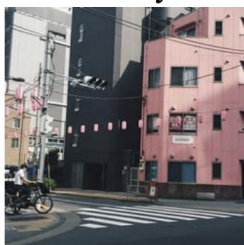
- LAION-400M/5B: Open sourcing data collection procedures - transparent dataset, open source toolsets, reproducible training across various scales



C: Green Apple Chair



C: sun snow dog



C: pink, japan,
aesthetic image

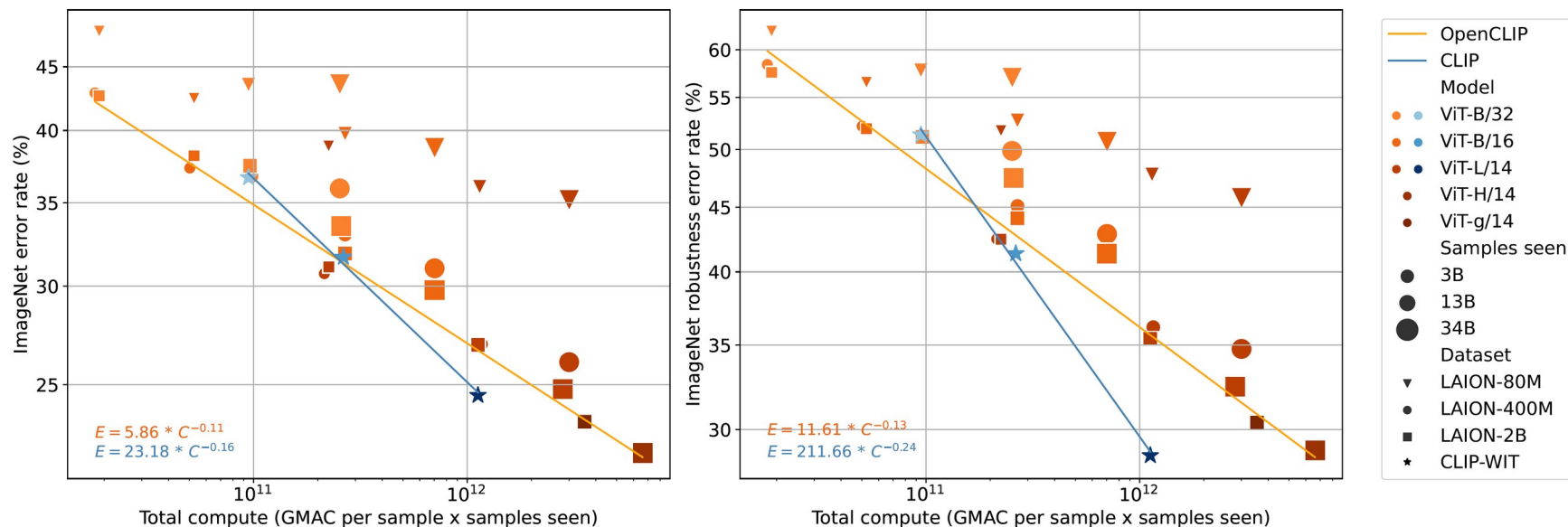
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- Follow-ups: DataComp-1B; Re-LAION (safety revision update, Aug 2024)



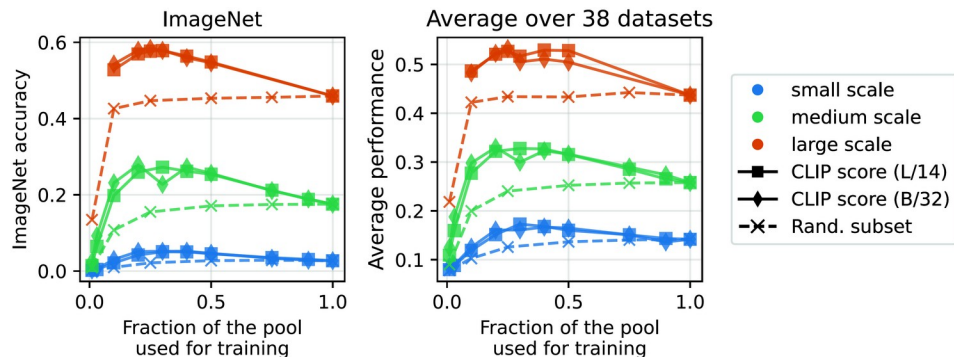
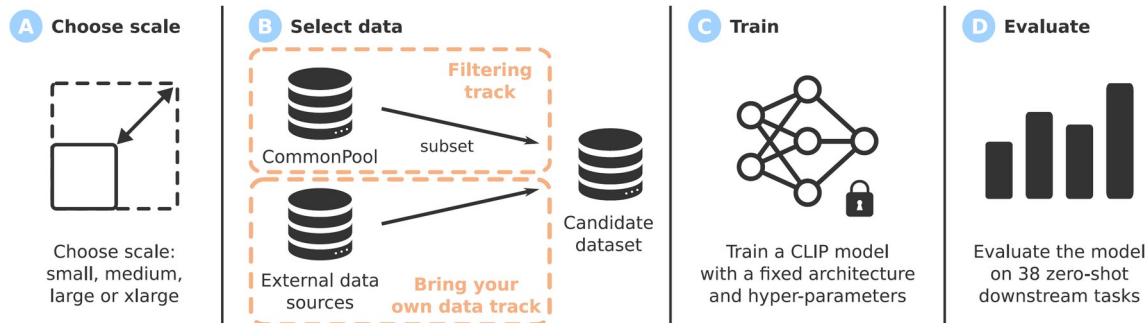
Reproducible scaling laws for foundation models

- Scaling laws with LAION-400M/2B and openCLIP: open-source data, models and code - **reproducible** science of foundation models



Data-centric scaling law interventions

- DataComp, DataComp-LM: what constitutes good data for FM training?



Dataset	Dataset size	# samples seen	Architecture	Train compute (MACs)	ImageNet accuracy
OpenAI's WIT [111]	0.4B	13B	ViT-L/14	1.1×10^{21}	75.5
LAION-400M [128, 28]	0.4B	13B	ViT-L/14	1.1×10^{21}	72.8
LAION-2B [129, 28]	2.3B	13B	ViT-L/14	1.1×10^{21}	73.1
LAION-2B [129, 28]	2.3B	34B	ViT-H/14	6.5×10^{21}	78.0
LAION-2B [129, 28]	2.3B	34B	ViT-g/14	9.9×10^{21}	78.5
DATAComp-1B (ours)	1.4B	13B	ViT-L/14	1.1×10^{21}	79.2



Open foundation models: comparison

- DataComp-LM: fully open, reproducible pipeline for language modelling; rivaling Llama-3-8B & Mistral-7B; fully open data (DCLM-Baseline, 2.6T training tokens; 4.4T tokens in total) & models (open-LM-1B/7B)

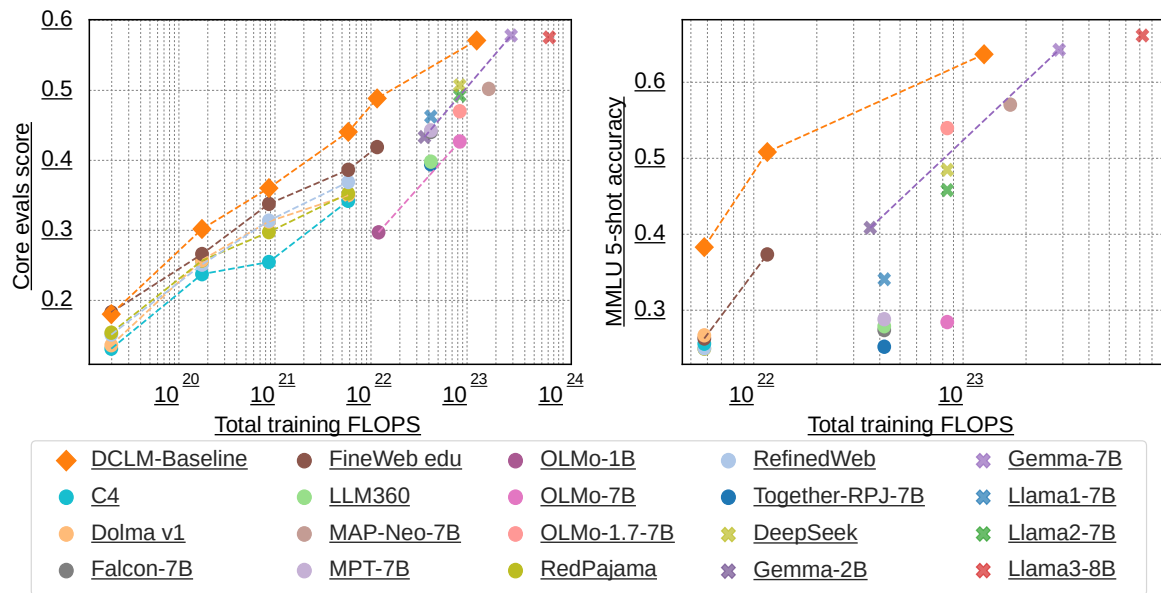
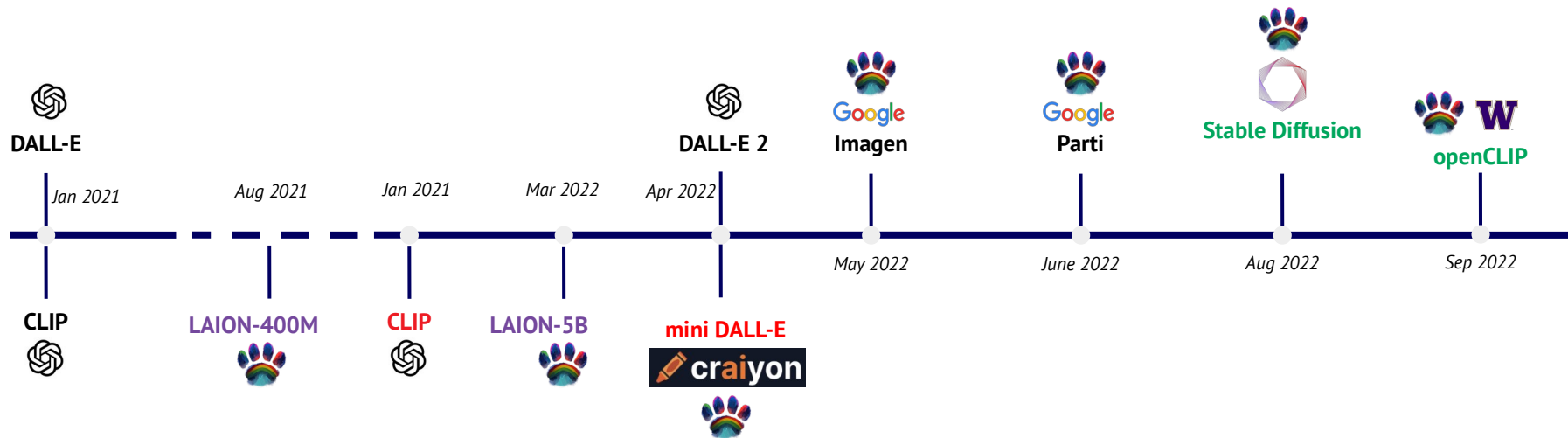


Figure 1: **Improving training sets leads to better models that are cheaper to train.**



From closed to open data and models: a timeline

- Open-source releases fertilize research and technology development



Closed model in black

Open release pre-trained models in red

Open data in purple

Open foundation models in green

Adapted from State of AI report, 2022



Open foundation models: building on foundations

Taming Transformers for High-Resolution Image Synthesis

Patrick Esser* Robin Rombach* Björn Ommer

Heidelberg Collaboratory for Image Processing, IWR, Heidelberg University, Germany

*Both authors contributed equally to this work

CVPR, 2021 VQGAN encoder/decoder: open-source release

High-Resolution Image Synthesis with Latent Diffusion Models

Robin Rombach¹ * Andreas Blattmann¹ * Dominik Lorenz¹ Patrick Esser^{IB} Björn Ommer¹

¹Ludwig Maximilian University of Munich & IWR, Heidelberg University, Germany

^{IB}Runway ML

CVPR, 2022

Latent Diffusion model: open-source release



NeurIPS, 2022, (Outstanding paper award)

**LAION-5B: A NEW ERA OF
OPEN LARGE-SCALE MULTI-
MODAL DATASETS**

Reproducible scaling laws for contrastive language-image learning



Mehdi Cherti^{1,5} §§ Romain Beaumont¹ §§ Ross Wightman^{1,3} §§

Mitchell Wortsman¹ §§ Gabriel Ilharco¹ §§ Cade Gordon²

Christoph Schuhmann¹ Ludwig Schmidt^{1,4} oo Jenia Jitsev^{1,5} §§ oo

LAION¹ UC Berkeley² HuggingFace³ University of Washington⁴

Juelich Supercomputing Center (JSC), Research Center Juelich (FZJ)⁵

contact@laion.ai, {m.cherti, j.jitsev}@fz-juelich.de

§§ Equal first contributions, oo Equal senior contributions

LAION-5B image-text dataset, openCLIP models: open-source release



Open-source
power



Stable Diffusion: **Latent Diffusion + openCLIP + LAION datasets**

Stable Diffusion 1.5, trained on LAION-5B image-text dataset.

Prompt: "An epic scene of a supercomputing center building of the future, embedded in a rich wild green exotic blooming jungle forest, nearby a lake"



Open science for large-scale foundation models

- Open-source releases: millions of downloads of pre-trained models

OpenCLIP DataComp

OpenCLIP LAION-2B

CLAP: Contrastive Language-Audio
Pretraining



OpenCLIP LAION-2B

OpenCLIP models trained on LAION-2B

laion/CLIP-ViT-bigG-14-laion2B-39B-b160k
Zero-Shot Image Classification • Updated Jan 16 • 415k • 226

laion/CLIP-ViT-g-14-laion2B-s34B-b88K
Zero-Shot Image Classification • Updated Mar 22 • 13.7k • 18

laion/CLIP-ViT-g-14-laion2B-s12B-b42K
Updated Feb 23 • 38.2k • 39

laion/CLIP-ViT-H-14-laion2B-s32B-b79K
Zero-Shot Image Classification • Updated Jan 16 • 973k • 305

laion/CLIP-ViT-L-14-laion2B-s32B-b82K
Zero-Shot Image Classification • Updated Jan 16 • 80k • 43

laion/CLIP-ViT-B-16-laion2B-s34B-b88K
Zero-Shot Image Classification • Updated Apr 19, 2023 • 5.81M • 27

laion/CLIP-ViT-B-32-laion2B-s34B-b79K
Zero-Shot Image Classification • Updated Jan 15 • 1.58M • 89

GitHub repository view for `mlfoundations / open_clip`. The repository is public and has 76 issues, 35 pull requests, and 77 watchers. It is a Python project for OpenCLIP.

Key features and files listed:

- `.github/workflows`: Add build to deploy pip installs (last month)
- `docs`: Refactor build / dist to use pyproject.toml (#909) (last month)
- `scripts`: Refactor build / dist to use pyproject.toml (#909) (last month)
- `src`: Release 2.26.1 (last month)
- `tests`: Refactor build / dist to use pyproject.toml (#909) (last month)
- `tutorials`: Quick fixes for int8 inference, as well as tutorial (#508) (last year)

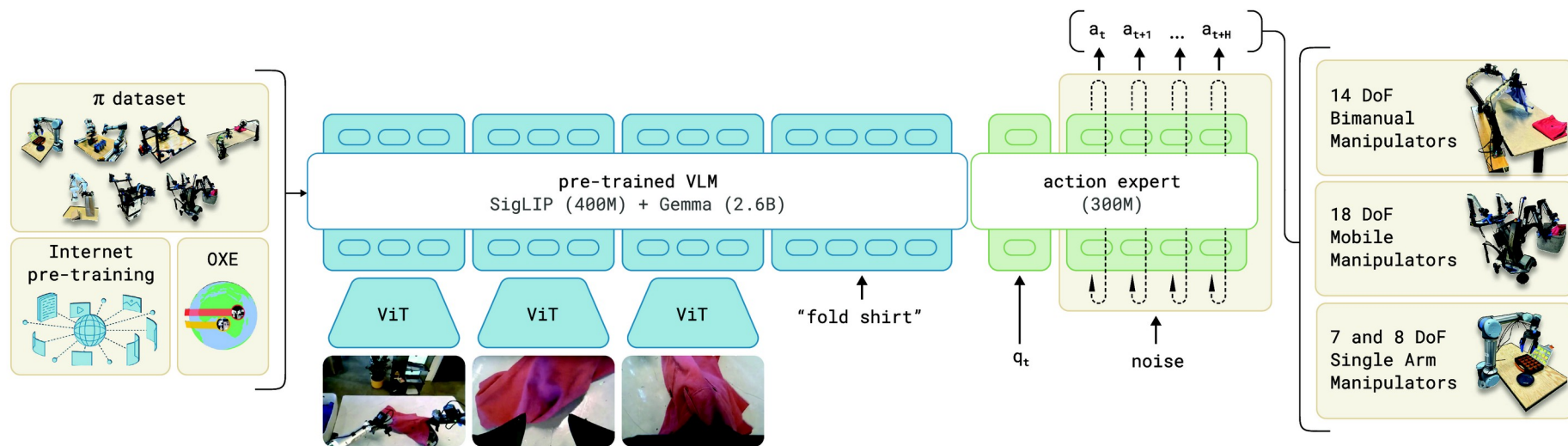
Tags: `computer-vision`, `deep-learning`, `pytorch`, `pretrained-models`, `language-model`, `contrastive-loss`, `multi-modal-learning`, `zero-shot-classification`.

Links: [Readme](#), [View license](#), [Cite this repository](#), [Activity](#).



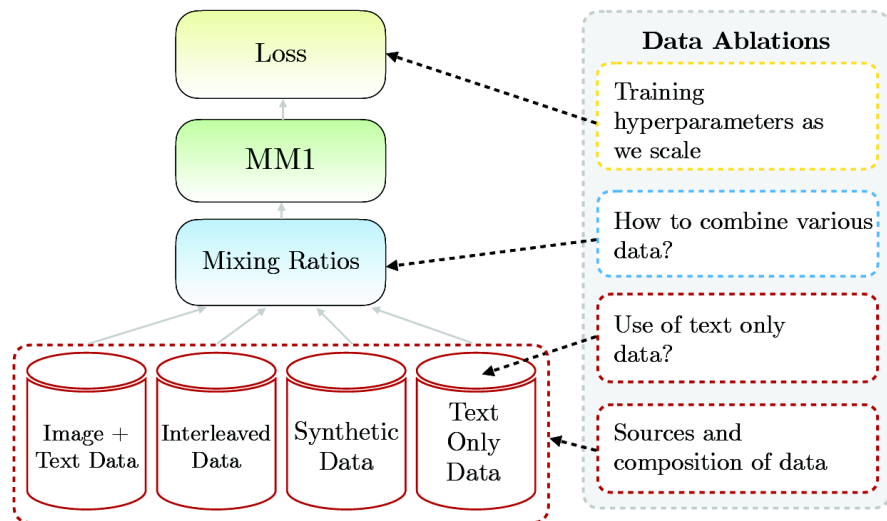
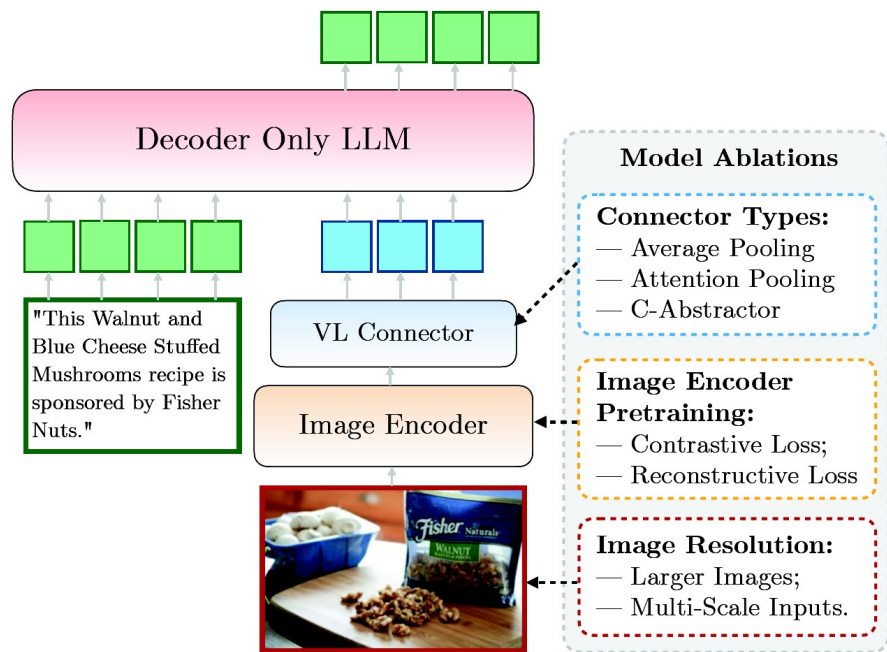
Foundation models as re-usable components

- Combining pre-trained foundation models for multi-modal generalist function (no or little adaptation required): Flamingo, BLIP-2, ImageBind, LENS, LLaVA, EMU, MM-1, PaliGemma, ...



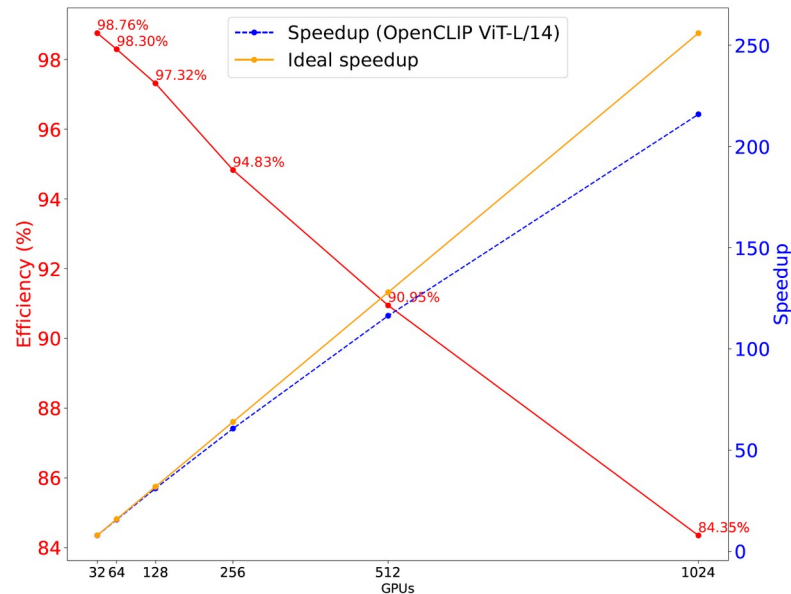
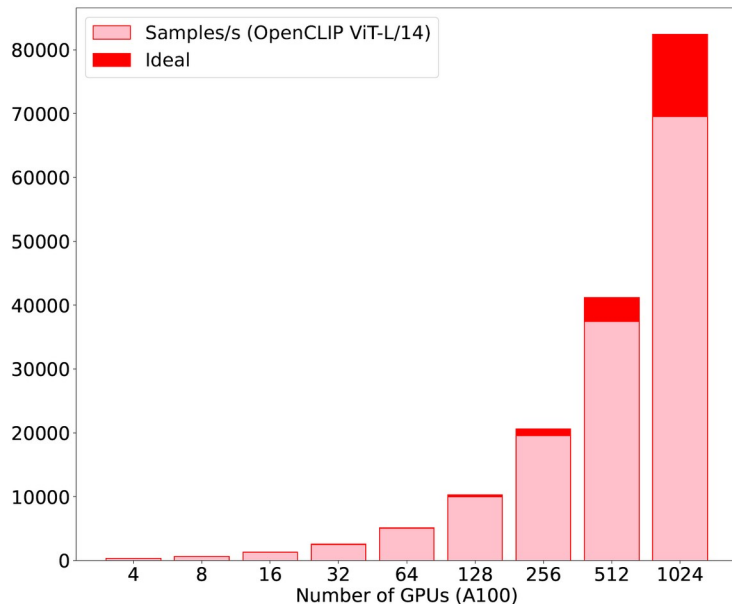
Foundation models with strong generalization

- Systematic search for multimodal generalist foundation models with **improved scalability and generalization**: Open-Sci (Open- ψ), Project Nucleus



Supercomputers for foundation model research

- Supercomputers: necessary for scaling law derivation (eg openCLIP ViT L/14: 122 hours with 1024 A100 - total of 124K GPU hours)
- Common effort avoids replication of same expensive measurements



Open science for large-scale foundation models

- **LAION: Large-scale Artificial Intelligence Open Network**
 - **compute**: applying for publicly funded supercomputers
 - **JUWELS Booster**, Germany: Gauss Center for Supercomputing
 - **Summit**, USA: INCITE Leadership computing call
 - **LUMI** (Finland), **Leonardo** (Italy): Extreme Scale **EuroHPC** call



Open science for large-scale foundation models

- Supercomputers in EU – hubs for large-scale basic AI research
- Open science for advancing powerful, safe generic AI tools for public



*Stable Diffusion 1.5, trained on **LAION-5B** image-text dataset.*

Prompt: "An epic scene of a supercomputing center building of the future, embedded in a rich wild green exotic blooming jungle forest, nearby a lake"



Open foundation models: improving scaling

- Interventions along the **whole reproducible pipeline** – dataset composition, model training, benchmarks & evaluation – to boost scaling

OPEN-SOURCE

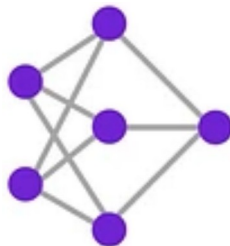
Dataset &
Dataset composition



Dataset
composition
studies, scaling
laws

OPEN-SOURCE

Training procedure,
model weights,
checkpoints



Supercomputers required!

Learning
procedure
studies, scaling
laws

OPEN-SOURCE

Evaluation benchmarks,
downstream transfer procedures



Novel benchmarks
to measure
generalization,
transfer capability



Open foundation models: improving scaling

- Long-term goal: improve open foundation models scalability, provide strongly transferable generalist models as basis for basic research

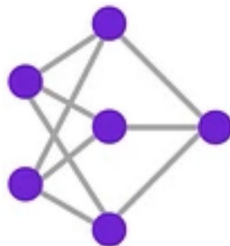
OPEN-SOURCE

Dataset &
Dataset composition



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LAION 

Ψ

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laws

**Novel benchmarks
for model
capabilities,
transfer**

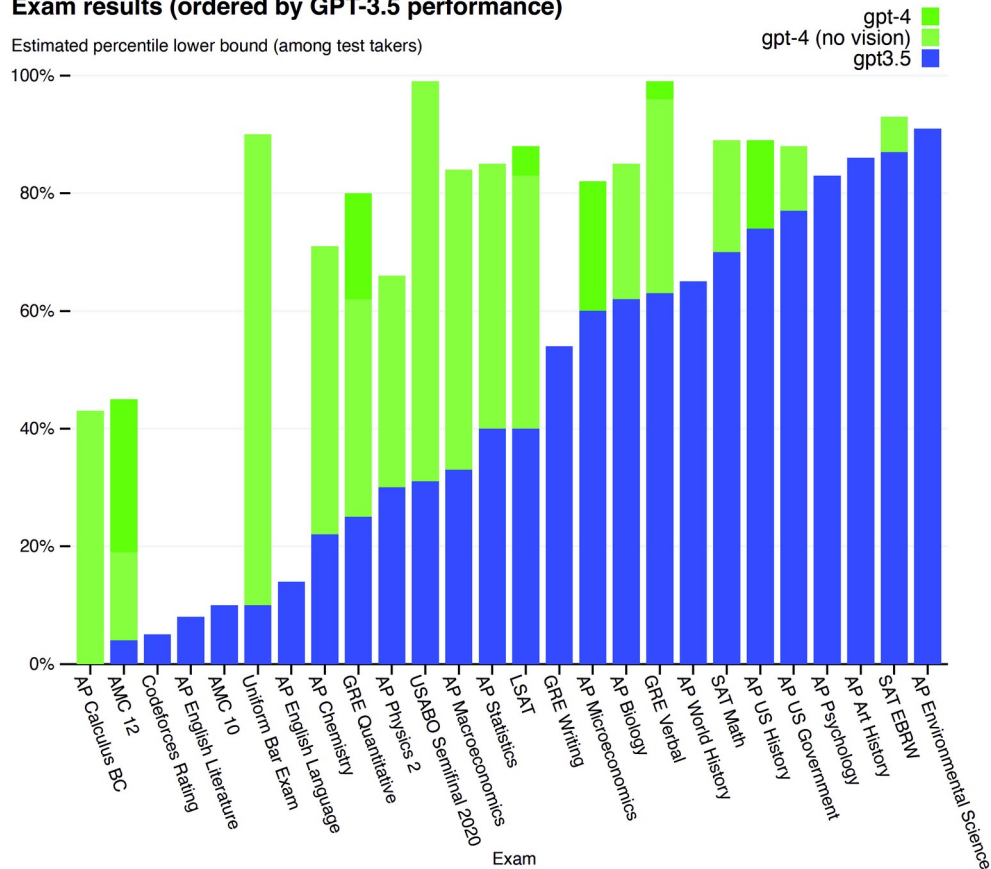


Scaling laws: predicting generalization

Figure 4. GPT performance on academic and professional exams. In each case, we simulate the conditions and scoring of the real exam. Exams are ordered from low to high based on GPT-3.5 performance. GPT-4 outperforms GPT-3.5 on most exams tested. To be conservative we report the lower end of the range of percentiles, but this creates some artifacts on the AP exams which have very wide scoring bins. For example although GPT-4 attains the highest possible score on AP Biology (5/5), this is only shown in the plot as 85th percentile because 15 percent of test-takers achieve that score.

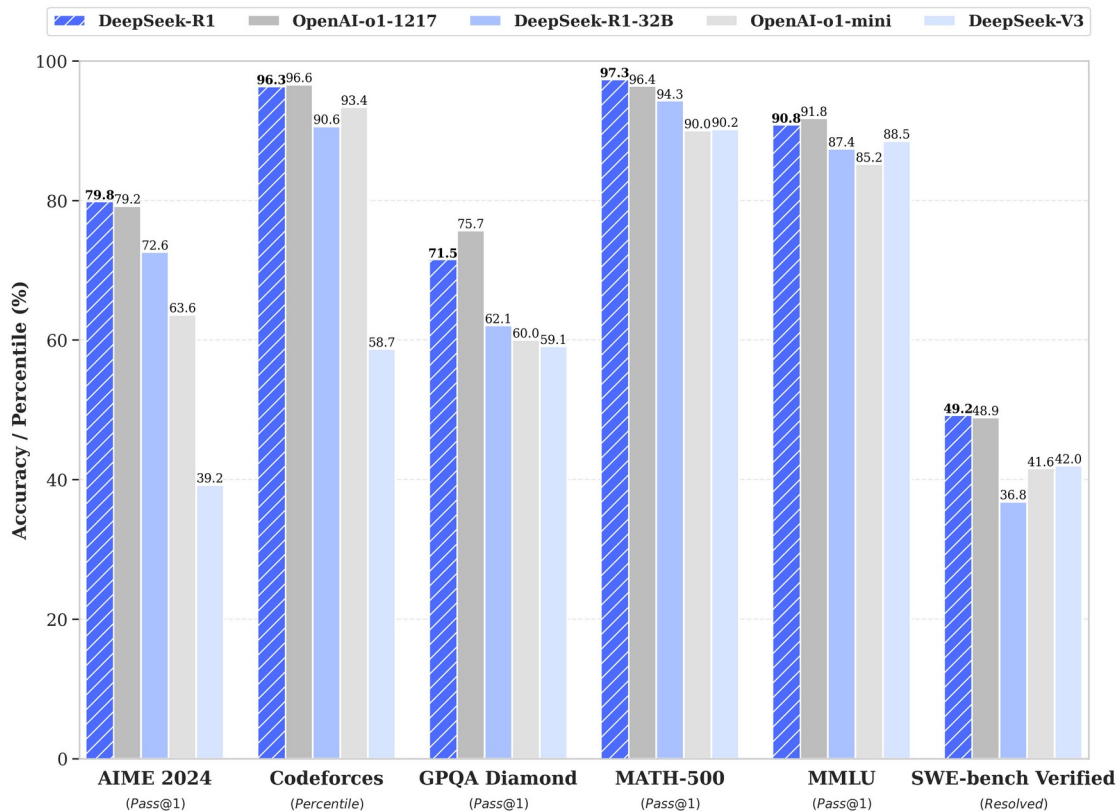
Exam results (ordered by GPT-3.5 performance)

Estimated percentile lower bound (among test takers)



Scaling laws: predicting generalization

- Do benchmark downstream tasks reflect generalization properly?



Scaling laws: predicting generalization

- Do benchmark downstream tasks reflect generalization properly?
- Big issue: insensitivity to basic deficits, eg lack of robustness to problem variations
- Big issue: test set leakage, training data contamination

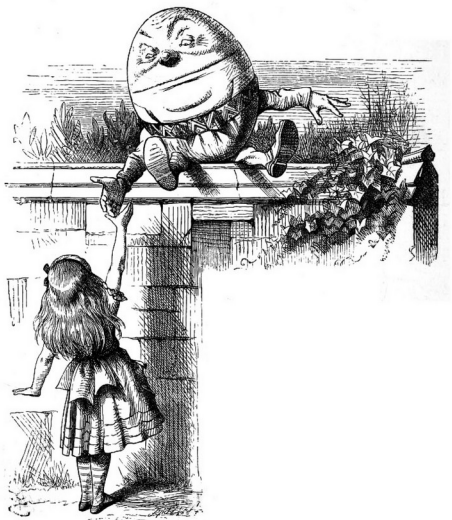


Figure 1: Alice is reasoning: will it break? Illustration of Humpty Dumpty from Through the Looking Glass, by John Tenniel, 1871. Source: Wikipedia.

AIW Variations 1-4

Variation 1: Alice has **3 brothers** and she also has **6 sisters**. [Correct answer: **7**]

Variation 2: Alice has **2 sisters** and she also has **4 brothers**. [Correct answer: **3**]

Variation 3: Alice has **4 sisters** and she also has **1 brother**. [Correct answer: **5**]

Variation 4: Alice has **4 brothers** and she also has **1 sister**. [Correct answer: **2**]

How many sisters does Alice's brother have?



open-sci
collective

Foundation models: scaling laws & generalization

- Questions Break

Scaling laws: predicting generalization

- Using variations of simple problem templates to measure model robustness

AIW Original, Variations 1-6. Prompt IDs 264 266 268 270 455 456

Variation 1: Alice has **3 brothers** and she also has **6 sisters**. [Correct answer: **7**]

Variation 2: Alice has **2 sisters** and she also has **4 brothers**. [Correct answer: **3**]

Variation 3: Alice has **4 sisters** and she also has **1 brother**. [Correct answer: **5**]

Variation 4: Alice has **4 brothers** and she also has **1 sister**. [Correct answer: **2**]

Variation 5: Alice has **2 brothers** and she also has **3 sisters**. [Correct answer: **4**]

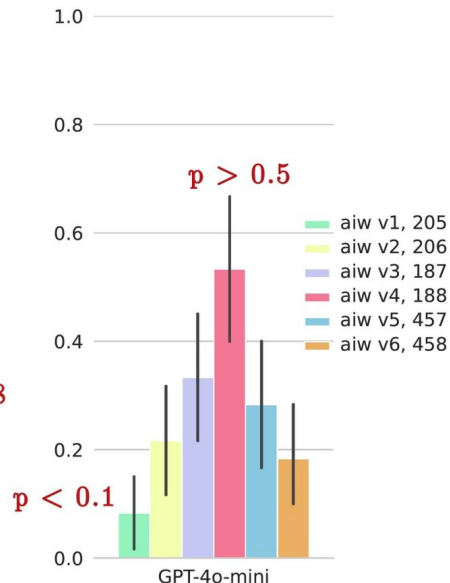
Variation 6: Alice has **5 sisters** and she also has **3 brothers**. [Correct answer: **6**]

How many sisters does Alice's brother have?

Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all

Correct response rate for AIW variations 1-6, THINKING v2



- 60 trials for each AIW variation 1-6
- Measure p , correct response rate, for each AIW variation
- Prompt IDs: 205,206,187,188,457,458

AIW Original, Variations 1-6. Prompt IDs 264 266 268 270 455 456

Variation 1: Alice has **3 brothers** and she also has **6 sisters**. [Correct answer: 7]
Variation 2: Alice has **2 sisters** and she also has **4 brothers**. [Correct answer: 3]
Variation 3: Alice has **4 sisters** and she also has **1 brother**. [Correct answer: 5]
Variation 4: Alice has **4 brothers** and she also has **1 sister**. [Correct answer: 2]
Variation 5: Alice has **2 brothers** and she also has **3 sisters**. [Correct answer: 4]
Variation 6: Alice has **5 sisters** and she also has **3 brothers**. [Correct answer: 6]

How many sisters does Alice's brother have?

Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all

AIW Ext Alice and Bob, Alice's Brothers, Variations 1-6

Alice and Bob are sister and brother.

Variation 1: Alice has **3 sisters** and Bob has **6 brothers**. [Correct answer: 7]

Variation 2: Alice has **2 sisters** and Bob has **2 brothers**. [Correct answer: 3]

Variation 3: Alice has **1 sister** and Bob has **4 brothers**. [Correct answer: 5]

Variation 4: Alice has **3 sisters** and Bob has **1 brother**. [Correct answer: 2]

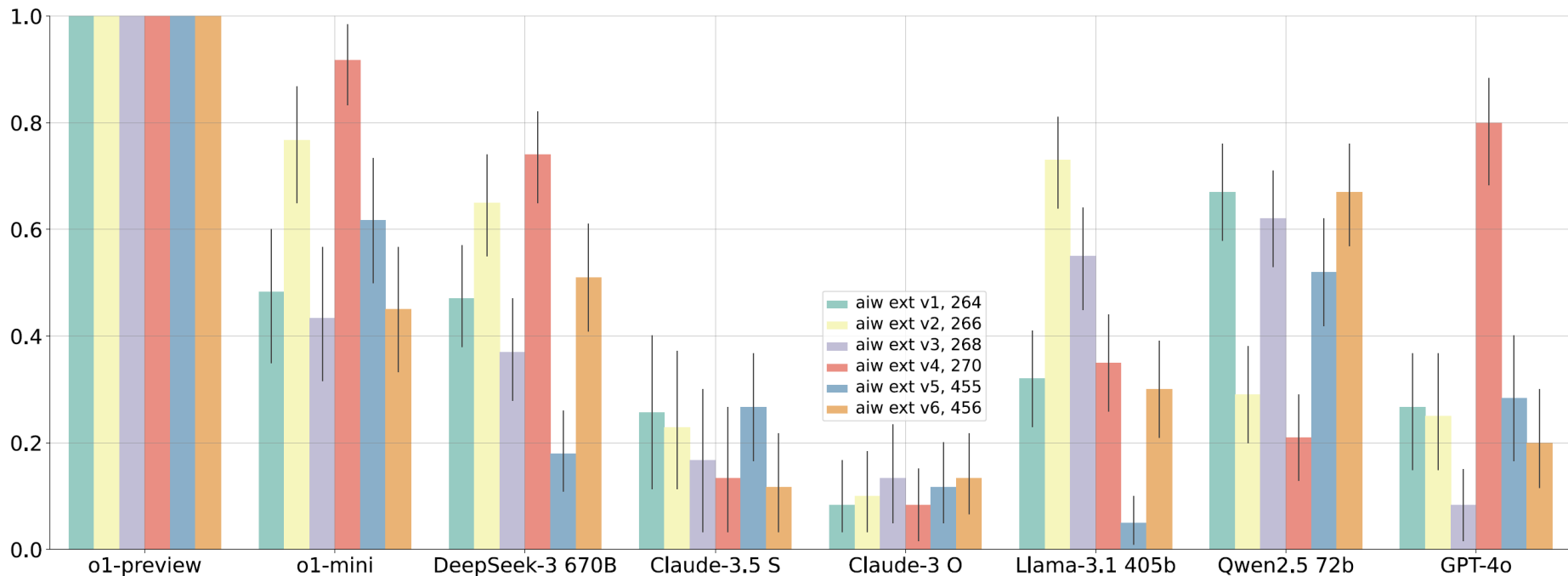
Variation 5: Alice has **2 sisters** and Bob has **3 brothers**. [Correct answer: 5]

Variation 6: Alice has **3 sisters** and Bob has **5 brothers**. [Correct answer: 2]

How many brothers does Alice have?

Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all



Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all

AIW Friends, Variations 1-6, Prompt IDs: 577 580 581 582 583 584

Variation 1: Alice has **3 male friends** and she also has **6 female friends**. [Correct answer: 7]

Variation 2: Alice has **2 female friends** and she also has **4 male friends**. [Correct answer: 3]

Variation 3: Alice has **4 female friends** and she also has **1 male friend**. [Correct answer: 5]

Variation 4: Alice has **4 male friends** and she also has **1 female friend**. [Correct answer: 2]

Variation 5: Alice has **2 male friends** and she also has **3 female friends**. [Correct answer: 4]

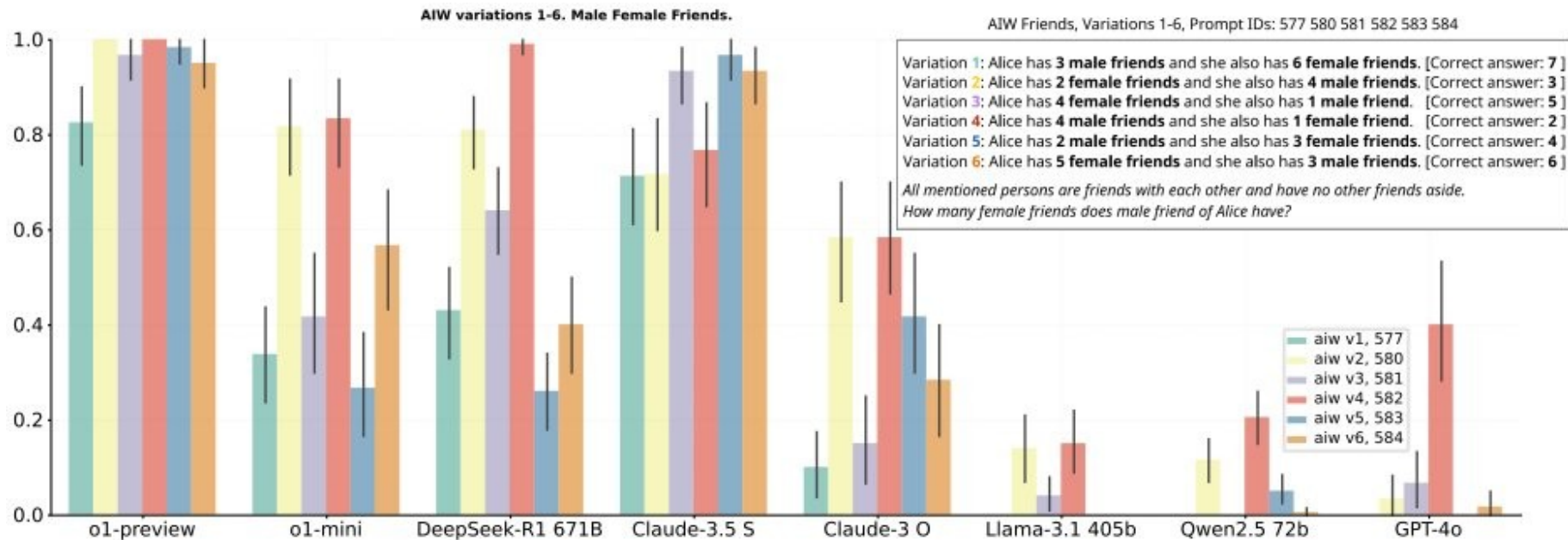
Variation 6: Alice has **5 female friends** and she also has **3 male friends**. [Correct answer: 6]

All mentioned persons are friends with each other and have no other friends aside.

How many female friends does male friend of Alice have?

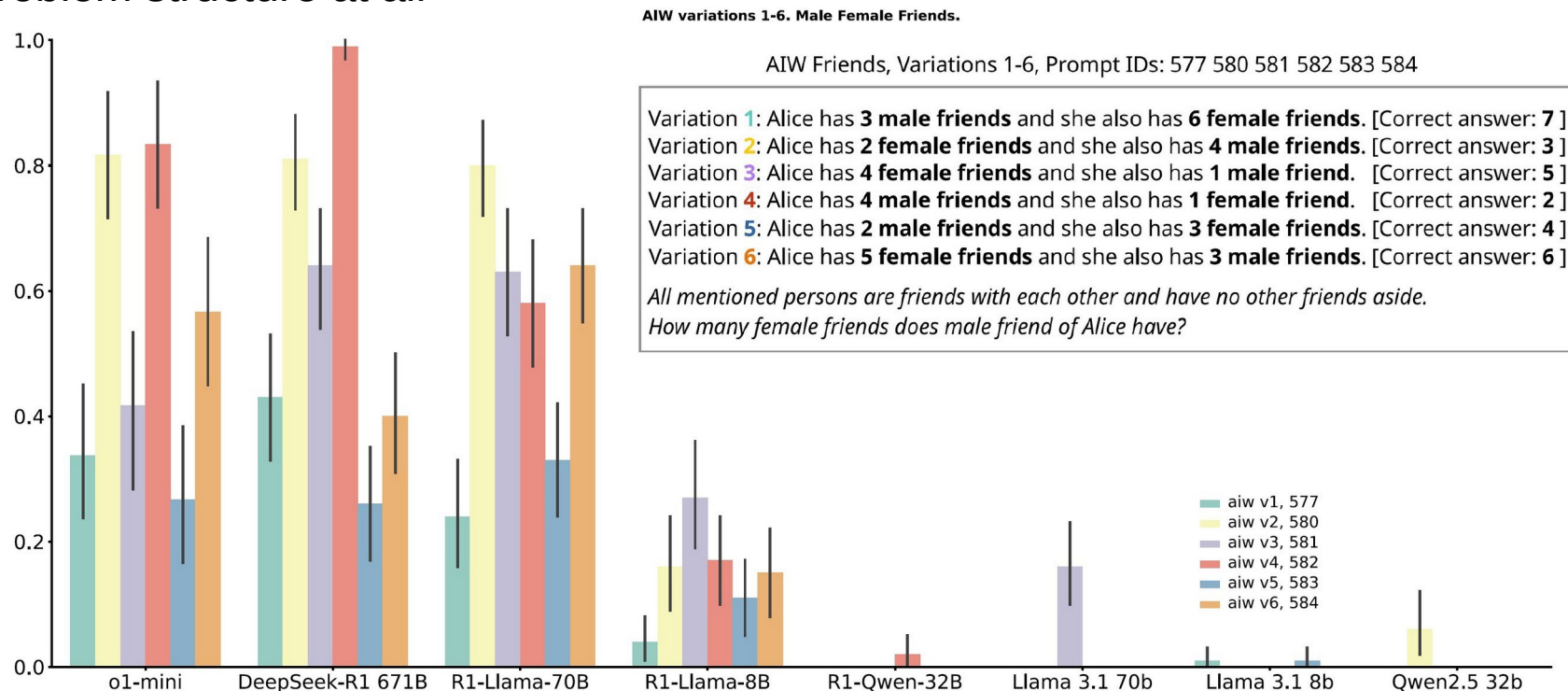
Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all



Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all



Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all

AIW+, Variations 1-6. THINKING v2, Prompt IDs: 559 560 561 562 563 564

Variation 1: Alice has **1** sister and **1** brother in total. Her mother has 2 brothers. She also has 1 sister who does not have children and who has **6** nephews and nieces in total. Alice's father has 2 sisters. He also has a brother who has **5** nephews and nieces in total, and who also has **2 sons**. [Correct answer: **7**]

Variation 2: Alice has **2** sisters and **1** brother in total. Her mother has 2 brothers. She also has 1 sister who does not have children and who has **6** nephews and nieces in total. Alice's father has 2 sisters. He also has a brother who has **4** nephews and nieces in total, and who also has **1 son**. [Correct answer: **3**]

Variation 3: Alice has **2** sisters and **1** brother in total. Her mother has 2 brothers. She also has 1 sister who does not have children and who has **7** nephews and nieces in total. Alice's father has 2 sisters. He also has a brother who has **5** nephews and nieces in total, and who also has **1 son**. [Correct answer: **5**]

Variation 4: Alice has **1** sister and **3** brothers in total. Her mother has 2 brothers. She also has 1 sister who does not have children and who has **6** nephews and nieces in total. Alice's father has 2 sisters. He also has a brother who has **5** nephews and nieces in total, and who also has **1 daughter**. [Correct answer: **2**]

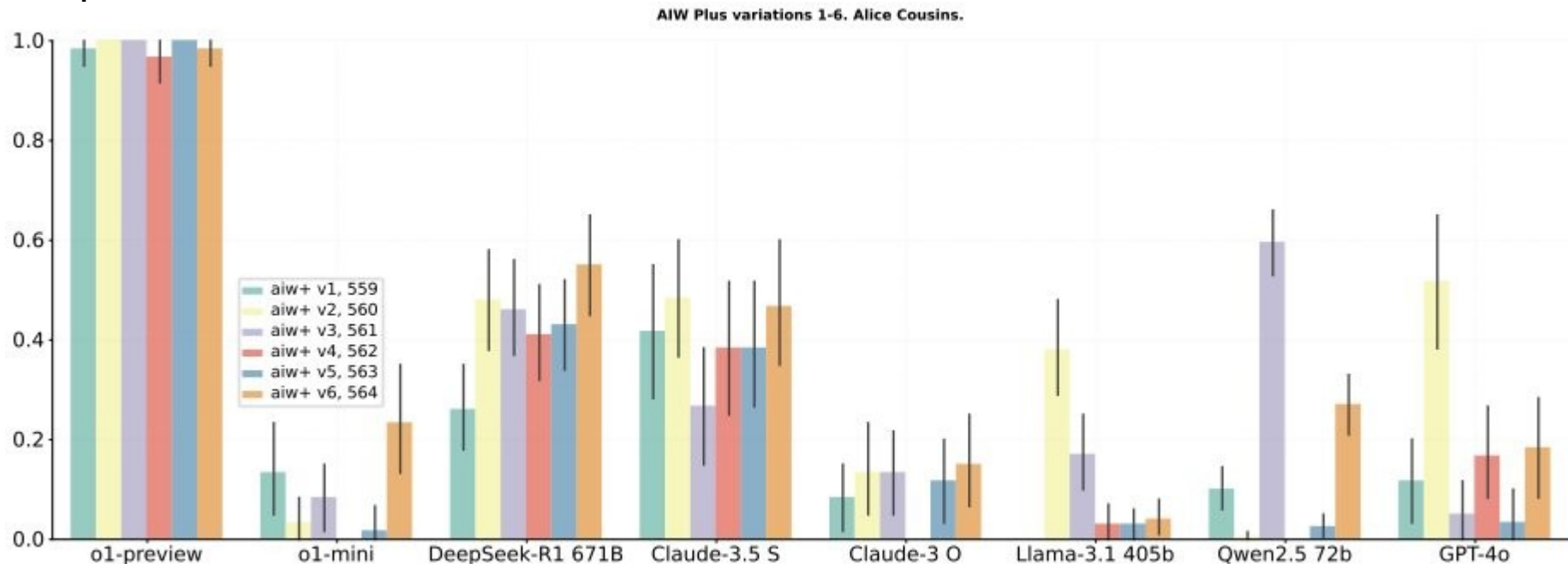
Variation 5: Alice has **2** sisters and **1** brother in total. Her mother has 2 brothers. She also has 1 sister who does not have children and who has **6** nephews and nieces in total. Alice's father has 2 sisters. He also has a brother who has **5** nephews and nieces in total, and who also has **1 son**. [Correct answer: **4**]

Variation 6: Alice has **1** sister and **1** brother in total. Her mother has 2 brothers. She also has 1 sister who does not have children and who has **6** nephews and nieces in total. Alice's father has 2 sisters. He also has a brother who has **5** nephews and nieces in total, and who also has **1 daughter**. [Correct answer: **6**]

How many cousins does Alice's sister have?

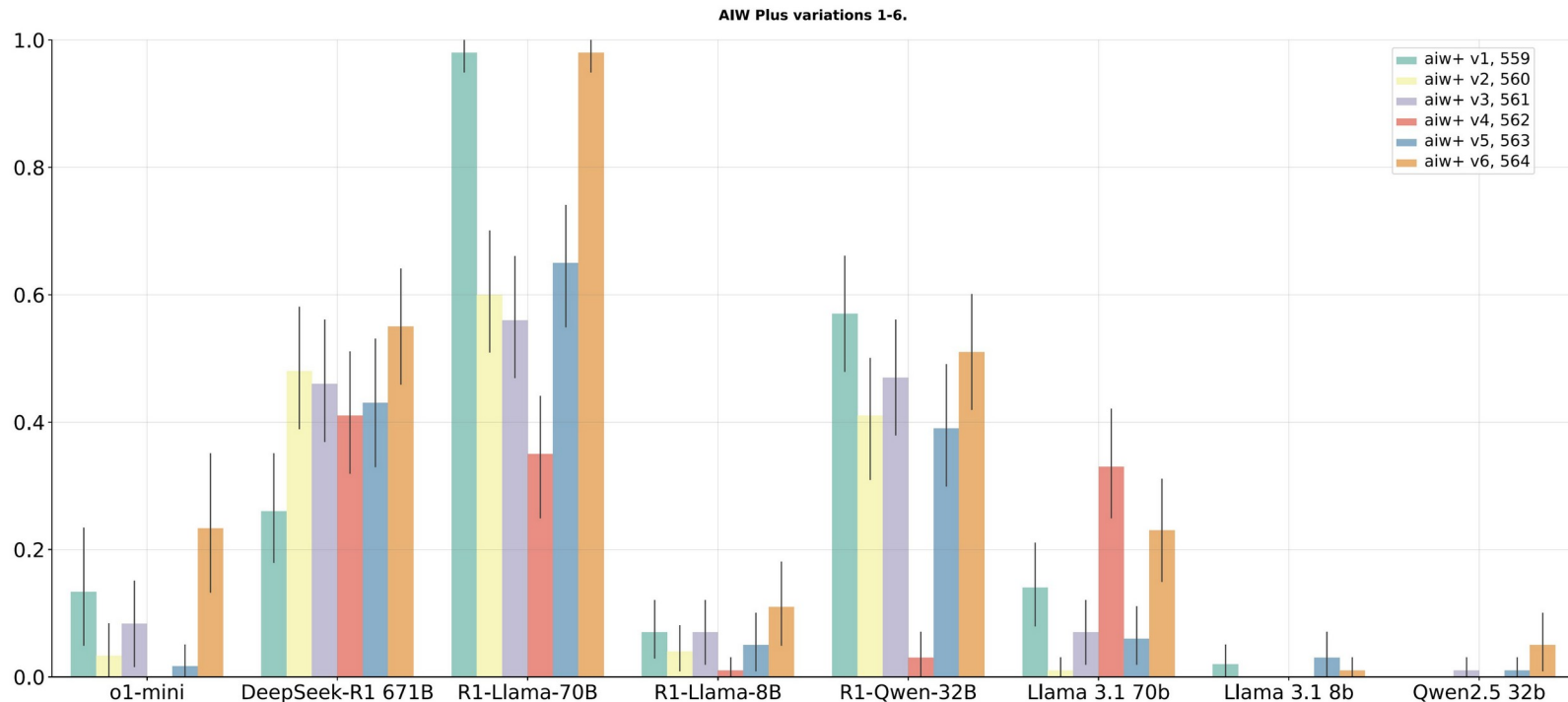
Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all



Scaling laws: predicting generalization

- SOTA LLMs show strong fluctuations across variations that DO NOT CHANGE problem structure at all



Open foundation models: improving scaling

- Interventions along the **whole reproducible pipeline** – dataset composition, model training, benchmarks & evaluation – to boost scaling

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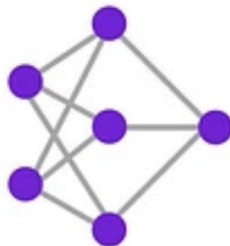
Dataset &
Dataset composition



Dataset
composition
studies, scaling
laws

OPEN-SOURCE

Training procedure,
model weights,
checkpoints



Supercomputers required!

Learning
procedure
studies, scaling
laws

OPEN-SOURCE

Evaluation benchmarks,
downstream transfer procedures



Novel benchmarks
to measure
generalization,
transfer capability



Open foundation models: improving scaling

- Long-term goal: improve open foundation models scalability, provide strongly transferable generalist models as basis for basic research

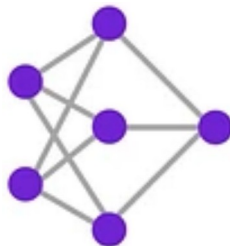
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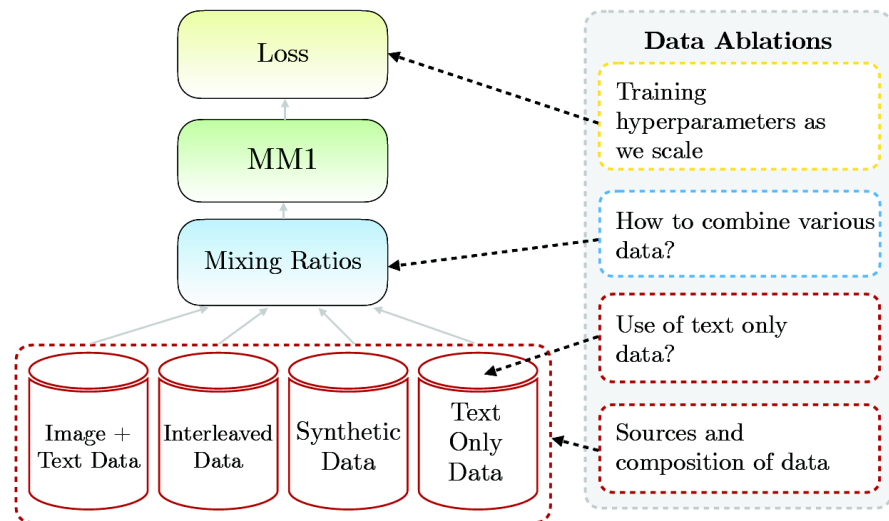
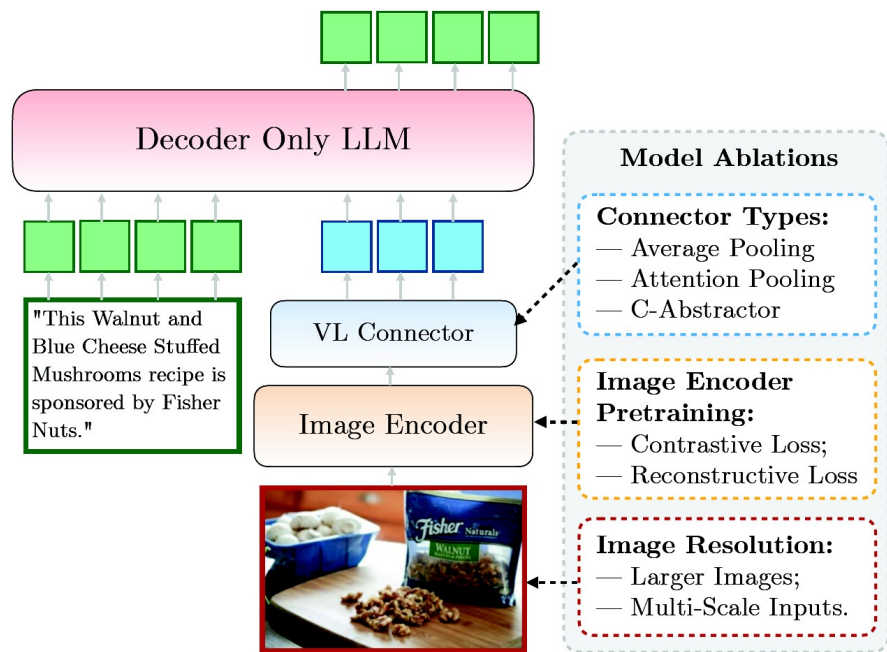
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Foundation models with strong generalization

- Systematic search for multimodal generalist foundation models with **improved scalability and generalization**: Open-Sci (Open- ψ), Project Nucleus



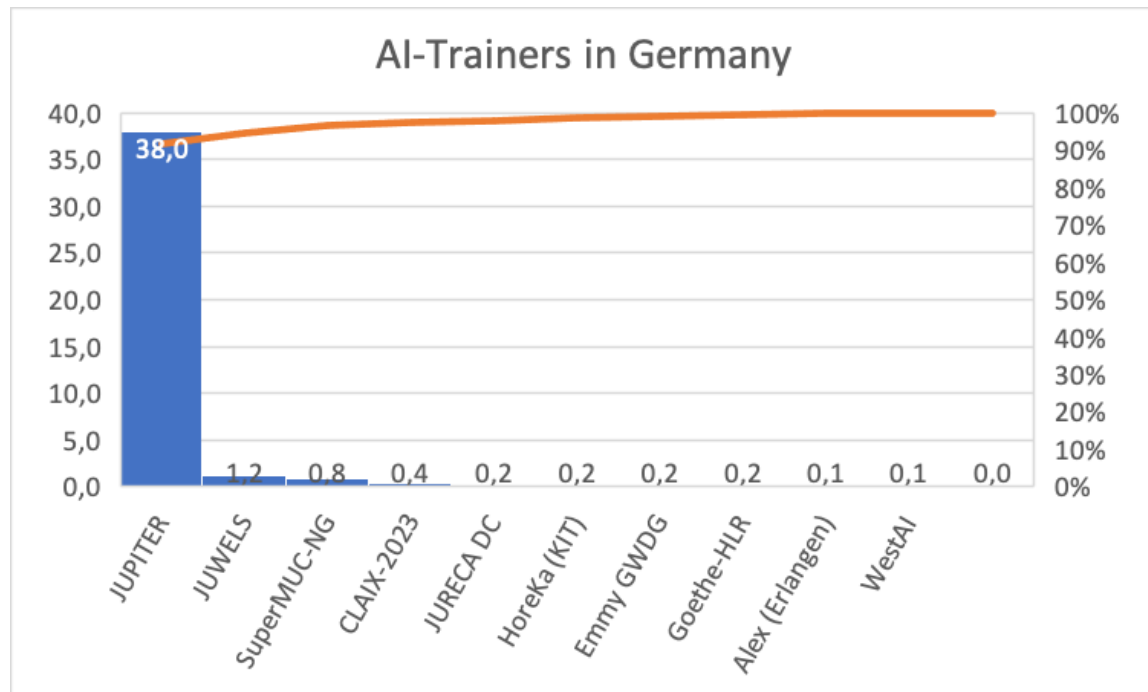
Open science for large-scale foundation models

- **Compute**: using publicly funded supercomputers at JSC
 - **JUWELS Booster**: 3700 A100 GPUs, 40 GB per GPU
 - **JUPITER**: 24000 H100 GPUs ($> 6x$), 96 GB per GPU (Q2 2025)



Open science for large-scale foundation models

- **Compute:** using publicly funded supercomputers at JSC
 - **JUWELS Booster:** 3700 A100, 1.2 ExaFLOPs, fp16
 - **JUPITER:** 24000 H100 GPUs, 38 ExaFLOPs, fp8



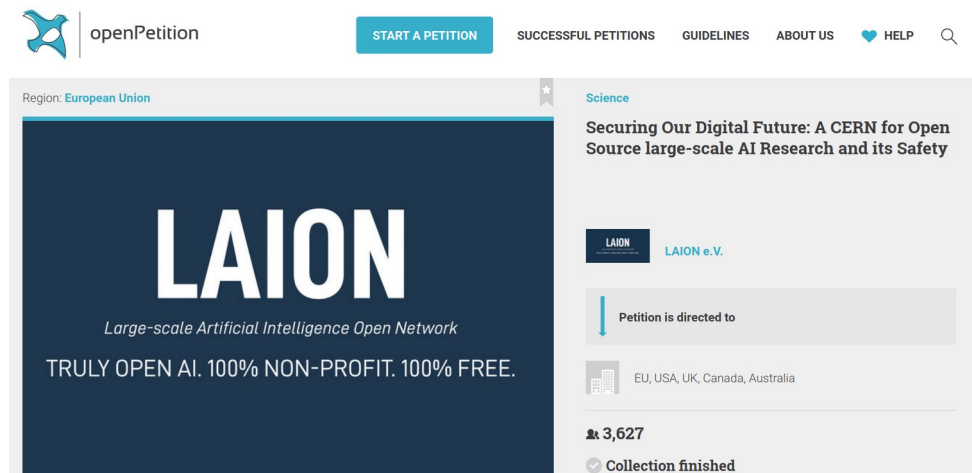
Open foundation models: outlook

- „Moonshot“: **open-sci-MM – strong open multi-modal foundation model family, learning with any modality – text, code, tables, vision, audio, ...**
 - Securing sovereignty in basic research on foundations of ML/AI
 - Requires dedicated, large-scale compute!
- BigScience BLOOM: GPT-3 replication, dedicated partition of 480 GPUs (Jean Zay, Paris Saclay). Back 2021 → ca. 650K A100 GPU hours; ca. 3 months training
- Now: GPT-4 level models (already 1 year old), language only: ca. 10M H100 GPU hours → ca. 2.5 weeks on **whole** JUPITER for **single training run ...**
- Multi-modal foundation models: at least 10x more compute → almost **6 months** for single training run taking **whole** JUPITER
- Without dedicated partitions / machines : **basic research impossible**



LAION: research community & alliances

- Various alliances in EU: **ELLIS**, Tuebingen AI Center, MPI for Intelligent Systems, WestAI, U Freiburg, HuggingFace, U Turku (**HPLT**), ...
- Various alliances worldwide: Stanford, U Washington, Allen AI Institute, Together AI, Ontocord AI, Tokyo Tech, U Berkeley, U Tel Aviv, ...



LAION: strong grassroots research community

- Collaborative work of broadly distributed community: **Outstanding NeurIPS 2022 paper award**, strongly impacting open source releases
- **Falling Walls Award: Scientific Breakthrough 2023**
- LAION public Discord server: > **35k members**

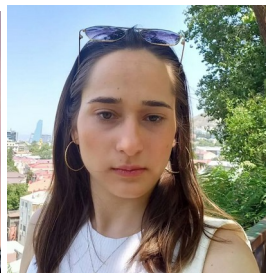
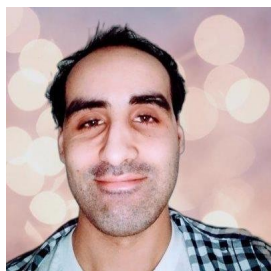


Open foundation models: outlook

- „Moonshot“: **open-sci-MM - open multi-modal foundation model family**
 - Identifying strongest candidates via scaling law derivation based search
- **OpenEuroLLM – LAION/ELLIS/HPLT & friends** : EU consortium for building open foundation models with strongly improved generalization & reasoning
 - Will deliver the strong language component for open-sci-MM
 - Join us! Multiple open ML researcher (junior/senior postdoc levels), large scale machine learning engineers, science managers/administrators positions open (drop a message j.jitsev@fz-juelich.de)



Acknowledgements



Dr. Mehdi Cherti, Marianna Nezhurina,
JSC



Visit <https://laion.ai/>
Join public LAION Discord server
for more projects
and research tracks
> 30k members !

LAION community & friends (Romain Beaumont, Ross Wightmann, Irina Rish, ...)



Prof. Ludwig Schmidt, UoW



Christoph Schumann

**Let's build open, robust, safe
AI foundations together!**

BigScience



EleutherAI

LAION

Large-scale Artificial Intelligence Open Network

Open- ψ
(open-sci)
Collective



Tübingen AI Center



JÜLICH
SUPERCOMPUTING
CENTRE



WEST AI
KI-Servicezentrum



Thanks
for
your
Attention



Supplementary Material